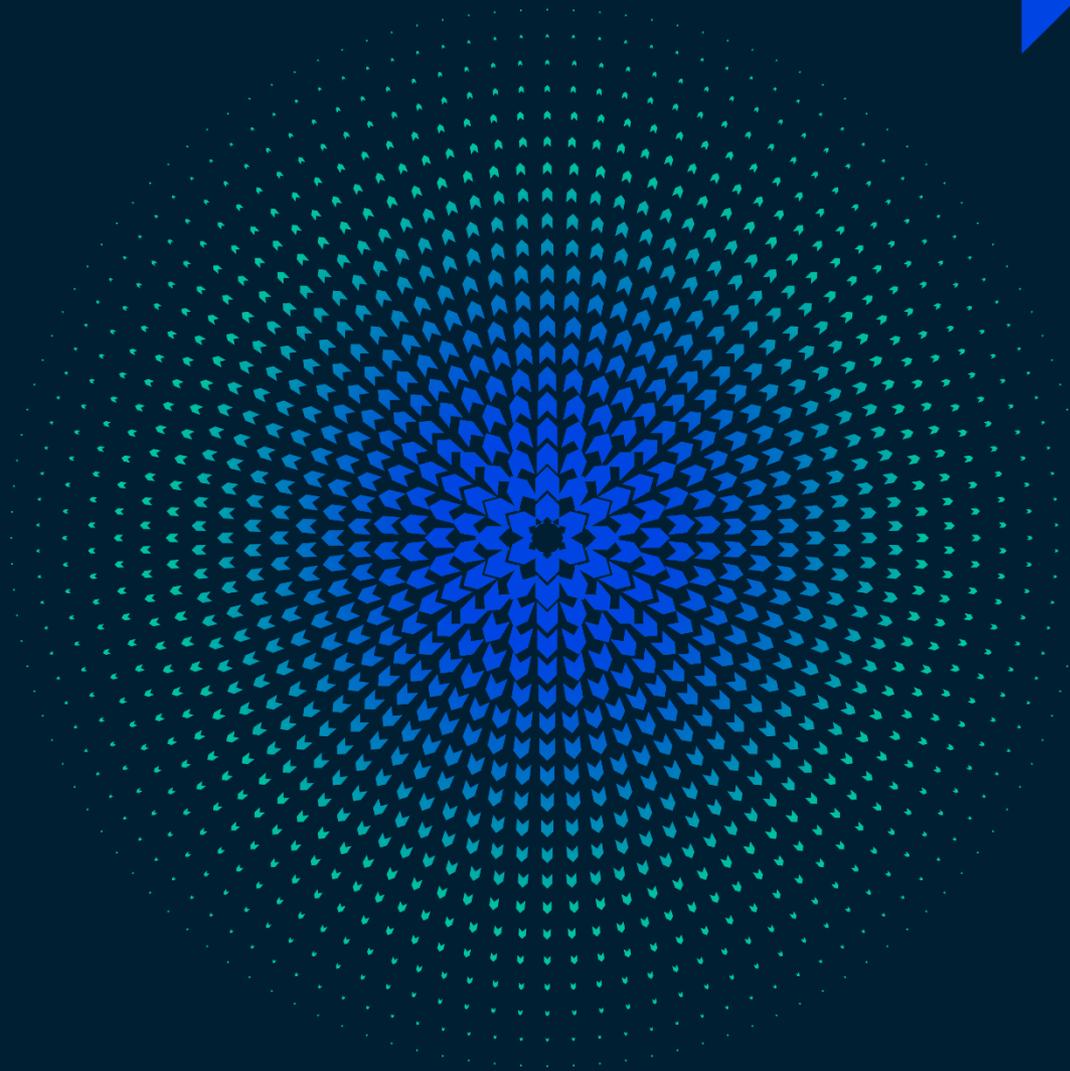


Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 31 October 2022



Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	46,582,255,609	40.05%	47,274,012,452	40.65%	-691,756,843	-0.59%	
	HNWIs	26,696,979,706	22.96%	28,973,610,793	24.91%	-2,276,631,087	-1.96%	
	IPIs	2,491,772,919	2.14%	3,698,680,878	3.18%	-1,206,907,959	-1.04%	
	Individual DPMS	746,815,783	0.64%	1,530,690,500	1.32%	-783,874,717	-0.67%	
	Sub Total (Individuals)	76,517,824,017	65.79%	81,476,994,623	70.06%	-4,959,170,606	-4.26%	
	Institutions:							
	Corporates	4,540,229,143	3.90%	6,922,130,666	5.95%	-2,381,901,523	-2.05%	
	Mutual Funds	7,218,709,778	6.21%	4,264,744,309	3.67%	2,953,965,469	2.54%	
	GRE's	1,201,700,226	1.03%	1,739,650,717	1.50%	-537,950,492	-0.46%	
	Institution DPMS	582,135,896	0.50%	893,713,934	0.77%	-311,578,039	-0.27%	
	Sub Total (Institutions)	13,542,775,043	11.64%	13,820,239,626	11.88%	-277,464,584	-0.24%	
Total Saudi Investors	90,060,599,059	77.44%	95,297,234,249	81.94%	-5,236,635,190	-4.50%		
GCC	Individuals	219,245,734	0.19%	172,317,965	0.15%	46,927,769	0.04%	
	Institutions	1,199,529,167	1.03%	1,330,195,470	1.14%	-130,666,303	-0.11%	
	GCC DPMS	108,128,240	0.09%	4,964,946	0.00%	103,163,295	0.09%	
	Total GCC Investors	1,526,903,141	1.31%	1,507,478,381	1.30%	19,424,760	0.02%	
Foreign	SWAP Holders	87,132,267	0.07%	73,529,274	0.06%	13,602,993	0.01%	
	Foreign Residents & Others	2,121,822,994	1.82%	2,145,555,567	1.84%	-23,732,573	-0.02%	
	QFIs	22,000,893,824	18.92%	17,267,761,309	14.85%	4,733,132,516	4.07%	
	Foreign DPMS	3,055,480	0.00%	6,097,986	0.01%	-3,042,506	0.00%	
	Strategic Investor	497,250,000	0.43%	0	0.00%	497,250,000	0.43%	
Total Foreign Investors	24,710,154,566	21.25%	19,492,944,136	16.76%	5,217,210,430	4.49%		
Grand Total		116,297,656,766	100.00%	116,297,656,766	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	40,677,352,724	34.98%	37,732,159,989	32.44%	2,945,192,735	2.53%
Non-Institutional	75,620,304,043	65.02%	78,565,496,778	67.56%	-2,945,192,735	-2.53%
Grand Total	116,297,656,766	100.00%	116,297,656,766	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 September 2022			As of 31 October 2022			Monthly Change					
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership				
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)			
Saudi	Individuals:												
	Retail	145,199,754,388	1.34%	8.19%	141,828,105,509	1.32%	6.01%	■	-3,371,648,879	■	-0.02%	■	-2.18%
	HNWIs	248,345,639,758	2.29%	9.22%	247,779,471,874	2.31%	10.40%	■	-566,167,884	■	0.02%	■	1.18%
	IPLs	403,001,227,673	3.72%	13.06%	429,379,566,244	4.00%	14.17%	■	26,378,338,571	■	0.28%	■	1.11%
	Individual DPMs	8,355,130,648	0.08%	0.37%	7,850,572,912	0.07%	0.33%	■	-504,557,736	■	0.00%	■	-0.03%
	Sub Total (Individuals)	804,901,752,467	7.43%	30.84%	826,837,716,539	7.71%	30.92%	■	21,935,964,071	■	0.28%	■	0.07%
	Institutions:												
	Corporates	896,533,967,808	8.28%	22.95%	919,922,820,455	8.57%	23.37%	■	23,388,852,647	■	0.30%	■	0.42%
	Mutual Funds	292,179,840,526	2.70%	9.30%	314,891,082,843	2.93%	9.76%	■	22,711,242,317	■	0.24%	■	0.47%
	GRE's	8,373,690,417,660	77.30%	19.22%	8,205,907,184,642	76.47%	18.90%	■	-167,783,233,018	■	-0.83%	■	-0.32%
	Institution DPMs	61,360,092,139	0.57%	2.58%	39,410,358,905	0.37%	1.61%	■	-21,949,733,234	■	-0.20%	■	-0.98%
Sub Total (Institutions)	9,623,764,318,133	88.84%	54.04%	9,480,131,446,845	88.35%	53.64%	■	-143,632,871,288	■	-0.49%	■	-0.41%	
Total Saudi Investors	10,428,666,070,600	96.27%	84.89%	10,306,969,163,383	96.05%	84.56%	■	-121,696,907,217	■	-0.22%	■	-0.33%	
GCC	Individuals	2,857,834,386	0.03%	0.13%	2,699,046,941	0.03%	0.12%	■	-158,787,445	■	0.00%	■	-0.02%
	Institutions	47,826,246,580	0.44%	1.54%	49,304,655,650	0.46%	1.51%	■	1,478,409,069	■	0.02%	■	-0.04%
	GCC DPMs	362,069,104	0.00%	0.02%	819,157,030	0.01%	0.04%	■	457,087,926	■	0.00%	■	0.02%
	Total GCC Investors	51,046,150,070	0.47%	1.69%	52,822,859,621	0.49%	1.66%	■	1,776,709,551	■	0.02%	■	-0.03%
Foreign	SWAP Holders	2,203,111,792	0.02%	0.10%	1,984,658,299	0.02%	0.09%	■	-218,453,493	■	0.00%	■	-0.01%
	Foreign Residents & Others	9,387,725,779	0.09%	0.42%	10,087,109,931	0.09%	0.43%	■	699,384,152	■	0.01%	■	0.01%
	QFIs	280,236,393,879	2.59%	12.89%	292,428,147,805	2.73%	12.82%	■	12,191,753,926	■	0.14%	■	-0.07%
	Foreign DPMs	80,806,114	0.00%	0.00%	10,130,320,475	0.09%	0.44%	■	10,049,514,361	■	0.09%	■	0.44%
	Strategic Investors	61,231,759,450	0.57%	0.00%	56,101,199,962	0.52%	0.00%	■	-5,130,559,488	■	-0.04%	■	0.00%
Total Foreign Investors	353,139,797,015	3.26%	13.42%	370,731,436,473	3.45%	13.78%	■	17,591,639,458	■	0.20%	■	0.37%	
Grand Total		10,832,852,017,685	100.00%	100.00%	10,730,523,459,477	100.00%	100.00%	■	-102,328,558,208	■			

* Non deposited shares was not reflected as on 31 October in holding value (Saudi Paper Manufacturing Co. 2300 - Corporate Action - Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 29 September 2022			As of 31 October 2022			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,428,620,290,443	96.25%	82.03%	10,329,416,079,217	96.25%	83.04%	-99,204,211,226	0.00%	1.01%
Non-Institutional	404,231,727,242	3.75%	17.97%	401,107,380,260	3.75%	16.96%	-3,124,346,982	0.00%	-1.01%
Grand Total	10,832,852,017,685	100.00%	100.00%	10,730,523,459,477	100.00%	100.00%	-102,328,558,208		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	489,903,790	86.27%	433,965,989	76.42%	55,937,802	9.85%
	Corporates	30,647,265	5.40%	70,895,991	12.48%	-40,248,727	-7.09%
	Institution	19,157,726	3.37%	38,362,419	6.76%	-19,204,693	-3.38%
	Total Saudi Investors	539,708,781	95.04%	543,224,399	95.66%	-3,515,618	-0.62%
GCC	Individuals	98,912	0.02%	164,784	0.03%	-65,873	-0.01%
	Institutions	10,358,545	1.82%	2,106,244	0.37%	8,252,301	1.45%
	Total GCC Investors	10,457,457	1.84%	2,271,029	0.40%	8,186,429	1.44%
Foreign	SWAP Holders	95	0.00%	0	0.00%	95	0.00%
	Foreign Residents	9,493,427	1.67%	11,822,997	2.08%	-2,329,570	-0.41%
	QFIs	8,190,488	1.44%	10,531,824	1.85%	-2,341,336	-0.41%
	Total Foreign Investors	17,684,010	3.11%	22,354,821	3.94%	-4,670,811	-0.82%
Grand Total		567,850,248	100.00%	567,850,248	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	68,354,119	12.04%	121,896,478	21.47%	-53,542,359	-9.43%
Non-Institutional	499,496,129	87.96%	445,953,770	78.53%	53,542,359	9.43%
Grand Total	567,850,248	100.00%	567,850,248	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 September 2022			As of 31 October 2022			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	17,101,604,558	47.74%	43.66%	17,121,497,041	48.81%	44.91%	19,892,483	1.07%	1.25%
	Corporates	14,659,356,812	40.92%	42.38%	14,310,432,575	40.80%	42.51%	-348,924,237	-0.13%	0.13%
	Institution	3,629,426,538	10.13%	10.48%	3,245,119,520	9.25%	9.63%	-384,307,017	-0.88%	-0.85%
	Total Saudi Investors	35,390,387,908	98.80%	96.52%	34,677,049,136	98.86%	97.05%	-713,338,772	0.06%	0.53%
GCC	Individuals	9,011,665	0.03%	0.07%	9,034,634	0.03%	0.07%	22,970	0.00%	-0.01%
	Institutions	42,271,258	0.12%	0.34%	46,304,818	0.13%	0.34%	4,033,560	0.01%	0.00%
	Total GCC Investors	51,282,923	0.14%	0.42%	55,339,452	0.16%	0.41%	4,056,529	0.01%	-0.01%
Foreign	SWAP Holders	88,109,823	0.25%	0.71%	69,310,179	0.20%	0.51%	-18,799,644	-0.05%	-0.20%
	Foreign Residents	25,001,504	0.07%	0.20%	24,034,101	0.07%	0.18%	-967,402	0.00%	-0.02%
	QFIs	265,538,069	0.74%	2.15%	250,404,472	0.71%	1.85%	-15,133,598	-0.03%	-0.30%
	Total Foreign Investors	378,649,396	1.06%	3.07%	343,748,752	0.98%	2.54%	-34,900,644	-0.08%	-0.52%
Grand Total		35,820,320,227	100.00%	100.00%	35,076,137,341	100.00%	100.00%	-744,182,886		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

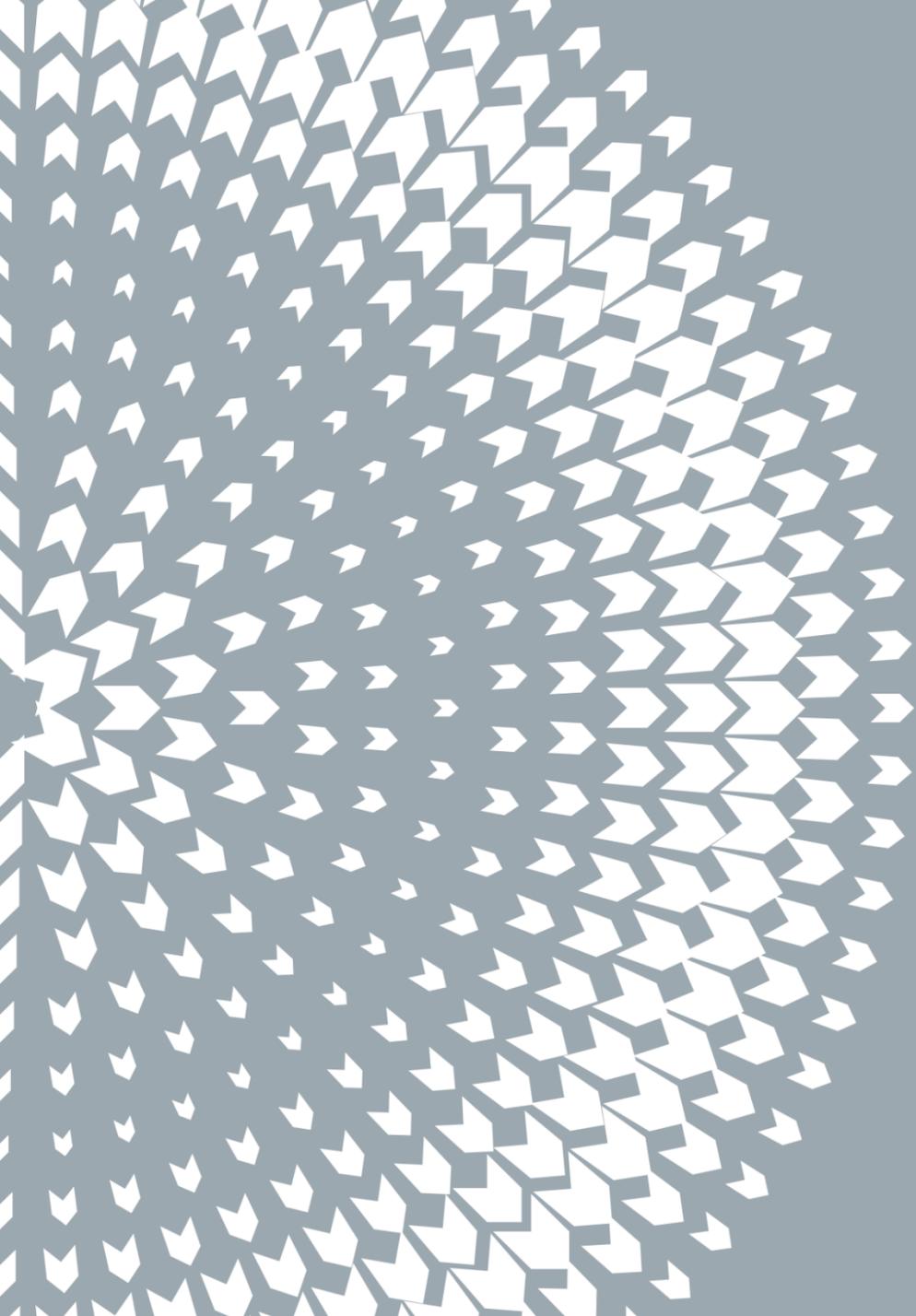
Investor Classification	As of 29 September 2022			As of 31 October 2022			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	18,684,702,500	52.16%	56.07%	17,921,571,564	51.09%	54.84%	-763,130,936	-1.07%	-1.22%
Non-Institutional	17,135,617,727	47.84%	43.93%	17,154,565,777	48.91%	45.16%	18,948,050	1.07%	1.22%
Grand Total	35,820,320,227	100.00%	100.00%	35,076,137,341	100.00%	100.00%	-744,182,886		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	1,397,445,697	58.37%	1,083,261,548	45.25%	314,184,150	13.12%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Individual	55,229,779	2.31%	912,022	0.04%	54,317,757	2.27%
	Institutions	124,539	0.01%	33,632,578	1.40%	-33,508,039	-1.40%
	Total Saudi Investors	1,452,800,014	60.68%	1,117,806,147	46.69%	334,993,867	13.99%
GCC	Individual	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	960	0.00%	0	0.00%	960	0.00%
	QFIs	941,255,815	39.32%	1,276,250,642	53.31%	-334,994,827	-13.99%
	Total Foreign Investors	941,256,775	39.32%	1,276,250,642	53.31%	-334,993,867	-13.99%
Grand Total		2,394,056,789	100.00%	2,394,056,789	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,338,826,051	97.69%	2,393,144,767	99.96%	-54,318,717	-2.27%
Non-Institutional	55,230,738	2.31%	912,022	0.04%	54,318,717	2.27%
Grand Total	2,394,056,789	100.00%	2,394,056,789	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 September 2022		As of 31 October 2022		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	397,322,322,800	77.72%	397,415,688,800	78.20%	93,366,000	0.49%
	GRE's	93,022,548,000	18.20%	91,121,313,000	17.93%	-1,901,235,000	-0.26%
	Individual	232,523,000	0.05%	287,244,000	0.06%	54,721,000	0.01%
	Institutions	16,133,703,600	3.16%	16,122,214,600	3.17%	-11,489,000	0.02%
	Total Saudi Investors	506,711,097,400	99.11%	504,946,460,400	99.36%	-1,764,637,000	0.25%
GCC	Individual	2,530,000	0.00%	2,530,000	0.00%	0	0.00%
	Institutions	1,500,000,000	0.29%	1,500,000,000	0.30%	0	0.00%
	Total GCC Investors	1,502,530,000	0.29%	1,502,530,000	0.30%	0	0.00%
Foreign	SWAP Holders	160,000,000	0.03%	160,000,000	0.03%	0	0.00%
	Foreign Residents & Others	8,858,000	0.00%	8,859,000	0.00%	1,000	0.00%
	QFIs	2,867,461,000	0.56%	1,573,000,000	0.31%	-1,294,461,000	-0.25%
	Total Foreign Investors	3,036,319,000	0.59%	1,741,859,000	0.34%	-1,294,460,000	-0.25%
Grand Total		511,249,946,400	100.00%	508,190,849,400	100.00%	-3,059,097,000	

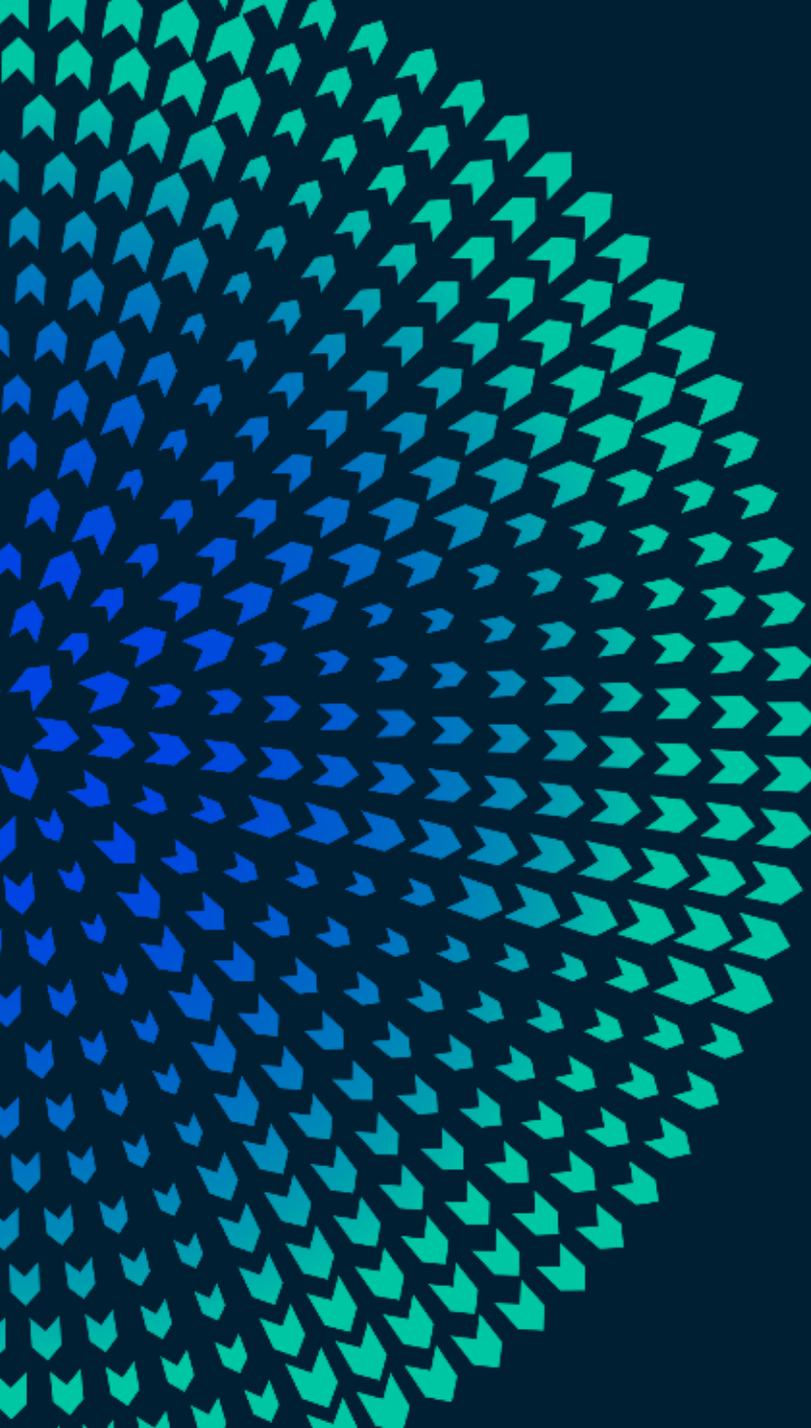
Sukuk/Bonds Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 September 2022		As of 31 October 2022		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	511,006,035,400	99.95%	507,892,216,400	99.94%	-3,113,819,000	-0.01%
Non-Institutional	243,911,000	0.05%	298,633,000	0.06%	54,722,000	0.01%
Grand Total	511,249,946,400	100.00%	508,190,849,400	100.00%	-3,059,097,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



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Thank you