

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 4 January 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	9,007,653,067	42.37%	10,680,883,525	50.24%	-1,673,230,457	-7.87%
	HNWIs	7,485,611,561	35.21%	7,418,997,783	34.90%	66,613,779	0.31%
	IPIs	771,987,276	3.63%	1,607,115,290	7.56%	-835,128,014	-3.93%
	Individual DPMs	95,907,779	0.45%	118,979,424	0.56%	-23,071,645	-0.11%
	<b>Sub Total (Individuals)</b>	<b>17,361,159,684</b>	<b>81.66%</b>	<b>19,825,976,022</b>	<b>93.26%</b>	<b>-2,464,816,338</b>	<b>-11.59%</b>
	<b>Institutions:</b>						
	Corporates	2,193,530,451	10.32%	335,950,196	1.58%	1,857,580,255	8.74%
	Mutual Funds	804,183,012	3.78%	374,992,641	1.76%	429,190,370	2.02%
	GRE's	2,040	0.00%	5,249,167	0.02%	-5,247,127	-0.02%
	Institution DPMs	81,370,614	0.38%	87,442,589	0.41%	-6,071,974	-0.03%
	<b>Sub Total (Institutions)</b>	<b>3,079,086,117</b>	<b>14.48%</b>	<b>803,634,593</b>	<b>3.78%</b>	<b>2,275,451,524</b>	<b>10.70%</b>
<b>Total Saudi Investors</b>	<b>20,440,245,801</b>	<b>96.14%</b>	<b>20,629,610,615</b>	<b>97.04%</b>	<b>-189,364,814</b>	<b>-0.89%</b>	
GCC	Individuals	49,270,254	0.23%	39,468,438	0.19%	9,801,816	0.05%
	Institutions	175,955,068	0.83%	108,194,485	0.51%	67,760,583	0.32%
	GCC DPMs	74,226	0.00%	99,716	0.00%	-25,490	0.00%
	<b>Total GCC Investors</b>	<b>225,299,547</b>	<b>1.06%</b>	<b>147,762,639</b>	<b>0.70%</b>	<b>77,536,908</b>	<b>0.36%</b>
Foreign	SWAP Holders	143,885,323	0.68%	46,332,503	0.22%	97,552,820	0.46%
	Foreign Residents	360,020,649	1.69%	366,733,980	1.73%	-6,713,330	-0.03%
	QFIs	90,388,395	0.43%	62,561,389	0.29%	27,827,006	0.13%
	Foreign DPMs	0	0.00%	6,838,590	0.03%	-6,838,590	-0.03%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>594,294,367</b>	<b>2.80%</b>	<b>482,466,462</b>	<b>2.27%</b>	<b>111,827,905</b>	<b>0.53%</b>
<b>Grand Total</b>		<b>21,259,839,716</b>	<b>100.00%</b>	<b>21,259,839,716</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,357,284,184	20.50%	2,753,755,991	12.95%	1,603,528,193	7.54%
Non-Institutional	16,902,555,532	79.50%	18,506,083,725	87.05%	-1,603,528,193	-7.54%
<b>Grand Total</b>	<b>21,259,839,716</b>	<b>100.00%</b>	<b>21,259,839,716</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 December 2017		As of 4 January 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	74,806,982,389	4.43%	72,166,555,003	4.25%	-2,640,427,385	-0.18%
	HNWIs	140,734,244,456	8.33%	137,116,731,733	8.08%	-3,617,512,723	-0.25%
	IPIs	243,413,674,020	14.41%	248,804,760,773	14.67%	5,391,086,753	0.25%
	Individual DPMs	4,464,528,891	0.26%	4,365,095,107	0.26%	-99,433,784	-0.01%
	<b>Sub Total (Individuals)</b>	<b>463,419,429,756</b>	<b>27.44%</b>	<b>462,453,142,617</b>	<b>27.26%</b>	<b>-966,287,139</b>	<b>-0.18%</b>
	<b>Institutions:</b>						
	Corporates	273,047,947,063	16.17%	276,025,918,878	16.27%	2,977,971,815	0.10%
	Mutual Funds	163,434,156,937	9.68%	163,431,995,872	9.63%	-2,161,064	-0.04%
	GRE's	633,715,070,344	37.52%	637,780,452,655	37.59%	4,065,382,311	0.07%
	Institution DPMs	48,920,345,650	2.90%	49,630,232,766	2.93%	709,887,117	0.03%
	<b>Sub Total (Institutions)</b>	<b>1,119,117,519,993</b>	<b>66.27%</b>	<b>1,126,868,600,172</b>	<b>66.42%</b>	<b>7,751,080,179</b>	<b>0.16%</b>
<b>Total Saudi Investors</b>	<b>1,582,536,949,748</b>	<b>93.71%</b>	<b>1,589,321,742,789</b>	<b>93.68%</b>	<b>6,784,793,040</b>	<b>-0.02%</b>	
GCC	Individuals	2,807,665,991	0.17%	2,828,862,329	0.17%	21,196,338	0.00%
	Institutions	32,060,886,836	1.90%	32,210,892,407	1.90%	150,005,571	0.00%
	GCC DPMs	558,265,206	0.03%	567,656,622	0.03%	9,391,416	0.00%
	<b>Total GCC Investors</b>	<b>35,426,818,034</b>	<b>2.10%</b>	<b>35,607,411,358</b>	<b>2.10%</b>	<b>180,593,324</b>	<b>0.00%</b>
Foreign	SWAP Holders	8,571,097,983	0.51%	8,590,283,047	0.51%	19,185,064	0.00%
	Foreign Residents	4,168,629,517	0.25%	4,256,016,439	0.25%	87,386,922	0.00%
	QFIs	6,940,473,979	0.41%	7,060,257,498	0.42%	119,783,519	0.01%
	Foreign DPMs	3,141,868,733	0.19%	3,203,697,655	0.19%	61,828,922	0.00%
	Strategic Partners	48,039,713,530	2.84%	48,463,326,742	2.86%	423,613,211	0.01%
	<b>Total Foreign Investors</b>	<b>70,861,783,742</b>	<b>4.20%</b>	<b>71,573,581,380</b>	<b>4.22%</b>	<b>711,797,638</b>	<b>0.02%</b>
<b>Grand Total</b>		<b>1,688,825,551,524</b>	<b>100.00%</b>	<b>1,696,502,735,527</b>	<b>100.00%</b>	<b>7,677,184,003</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 28 December 2017		As of 4 January 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,466,308,029,171	86.82%	1,480,134,570,023	87.25%	13,826,540,851	0.42%
Non-Institutional	222,517,522,353	13.18%	216,368,165,504	12.75%	-6,149,356,848	-0.42%
<b>Grand Total</b>	<b>1,688,825,551,524</b>	<b>100.00%</b>	<b>1,696,502,735,527</b>	<b>100.00%</b>	<b>7,677,184,003</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,806,366	95.33%	6,670,465	93.43%	135,901	1.90%
	Corporates	12,540	0.18%	0	0.00%	12,540	0.18%
	Institution	319,087	4.47%	467,461	6.55%	-148,374	-2.08%
	<b>Total Saudi Investors</b>	<b>7,137,993</b>	<b>99.98%</b>	<b>7,137,926</b>	<b>99.98%</b>	<b>67</b>	<b>0.00%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,575	0.02%	1,642	0.02%	-67	0.00%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>1,575</b>	<b>0.02%</b>	<b>1,642</b>	<b>0.02%</b>	<b>-67</b>	<b>0.00%</b>
<b>Grand Total</b>		<b>7,139,568</b>	<b>100.00%</b>	<b>7,139,568</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	331,627	4.64%	467,461	6.55%	-135,834	-1.90%
Non-Institutional	6,807,941	95.36%	6,672,107	93.45%	135,834	1.90%
<b>Grand Total</b>	<b>7,139,568</b>	<b>100.00%</b>	<b>7,139,568</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 28 December 2017		As of 4 January 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,013,243,177	88.75%	1,973,282,790	88.80%	-39,960,387	0.06%
	Corporates	122,252,666	5.39%	117,720,514	5.30%	-4,532,152	-0.09%
	Institution	111,960,175	4.94%	109,480,862	4.93%	-2,479,314	-0.01%
	<b>Total Saudi Investors</b>	<b>2,247,456,018</b>	<b>99.07%</b>	<b>2,200,484,166</b>	<b>99.03%</b>	<b>-46,971,852</b>	<b>-0.04%</b>
GCC	Individuals	32,195	0.00%	31,941	0.00%	-254	0.00%
	Institutions	13,536,590	0.60%	13,668,210	0.62%	131,620	0.02%
	<b>Total GCC Investors</b>	<b>13,568,785</b>	<b>0.60%</b>	<b>13,700,151</b>	<b>0.62%</b>	<b>131,366</b>	<b>0.02%</b>
Foreign	SWAP Holders	215,536	0.01%	206,272	0.01%	-9,264	0.00%
	Foreign Residents	3,298,879	0.15%	3,274,651	0.15%	-24,229	0.00%
	QFIs	3,940,017	0.17%	4,400,580	0.20%	460,563	0.02%
	<b>Total Foreign Investors</b>	<b>7,454,432</b>	<b>0.33%</b>	<b>7,881,503</b>	<b>0.35%</b>	<b>427,071</b>	<b>0.03%</b>
<b>Grand Total</b>		<b>2,268,479,235</b>	<b>100.00%</b>	<b>2,222,065,820</b>	<b>100.00%</b>	<b>-46,413,415</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 28 December 2017		As of 4 January 2018		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	251,904,985	11.10%	245,476,439	11.05%	-6,428,546	-0.06%	
Non-Institutional	2,016,574,250	88.90%	1,976,589,381	88.95%	-39,984,869	0.06%	
<b>Grand Total</b>	<b>2,268,479,235</b>	<b>100.00%</b>	<b>2,222,065,820</b>	<b>100.00%</b>	<b>-46,413,415</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

