

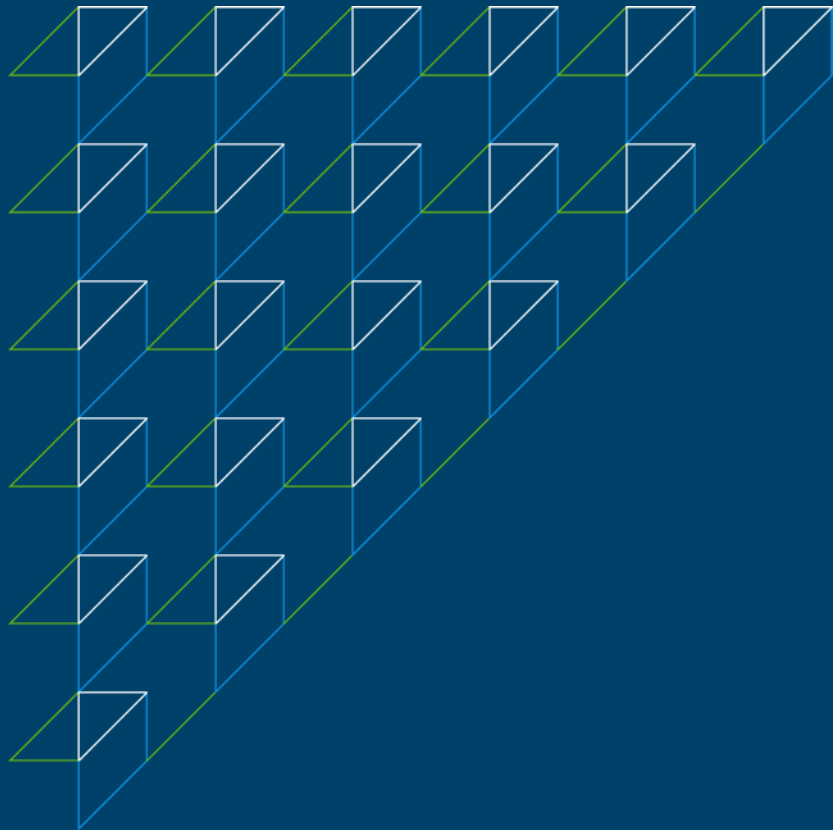
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 12 December 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	9,623,252,037	29.87%	13,075,965,812	40.59%	-3,452,713,774	-10.72%
	HNWIs	6,885,703,806	21.37%	7,301,903,603	22.66%	-416,199,797	-1.29%
	IPIs	6,020,999,095	18.69%	1,089,391,913	3.38%	4,931,607,181	15.31%
	Individual DPMs	1,093,347,284	3.39%	453,788,551	1.41%	639,558,733	1.99%
	Sub Total (Individuals)	23,623,302,222	73.32%	21,921,049,879	68.04%	1,702,252,343	5.28%
	Institutions:						
	Corporates	1,415,576,758	4.39%	3,779,325,790	11.73%	-2,363,749,032	-7.34%
	Mutual Funds	2,411,728,309	7.49%	1,664,251,583	5.17%	747,476,727	2.32%
	GRE's	1,971,249	0.01%	645,450	0.00%	1,325,800	0.00%
	Institution DPMs	867,451,261	2.69%	223,804,083	0.69%	643,647,178	2.00%
	Sub Total (Institutions)	4,696,727,578	14.58%	5,668,026,906	17.59%	-971,299,328	-3.01%
Total Saudi Investors	28,320,029,800	87.90%	27,589,076,785	85.63%	730,953,015	2.27%	
GCC	Individuals	114,953,335	0.36%	37,189,044	0.12%	77,764,291	0.24%
	Institutions	581,970,111	1.81%	961,931,664	2.99%	-379,961,554	-1.18%
	GCC DPMs	2,565,573	0.01%	70,154	0.00%	2,495,418	0.01%
	Total GCC Investors	699,489,018	2.17%	999,190,863	3.10%	-299,701,844	-0.93%
Foreign	SWAP Holders	85,561,364	0.27%	68,832,723	0.21%	16,728,641	0.05%
	Foreign Residents & Others	306,851,126	0.95%	297,126,393	0.92%	9,724,733	0.03%
	QFIs	2,804,466,963	8.70%	3,263,736,530	10.13%	-459,269,567	-1.43%
	Foreign DPMs	1,877,907	0.01%	312,886	0.00%	1,565,022	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	3,198,757,361	9.93%	3,630,008,531	11.27%	-431,251,171	-1.34%
Grand Total		32,218,276,179	100.00%	32,218,276,179	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	15,287,515,875	47.45%	11,506,091,327	35.71%	3,781,424,547	11.74%
Non-Institutional	16,930,760,304	52.55%	20,712,184,852	64.29%	-3,781,424,547	-11.74%
Grand Total	32,218,276,179	100.00%	32,218,276,179	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 05 December 2019			As of 12 December 2019			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	71,129,504,150	3.85%	7.97%	118,532,287,501	1.28%	11.64%	47,402,783,351	-2.56%	3.67%
	HNWIs	148,007,228,254	8.00%	16.70%	152,476,848,965	1.65%	15.03%	4,469,620,711	-6.35%	-1.67%
	IPIs	256,642,742,312	13.87%	22.35%	262,484,308,564	2.84%	19.94%	5,841,566,251	-11.03%	-2.41%
	Individual DPMs	4,325,433,828	0.23%	0.49%	5,098,321,538	0.06%	0.50%	772,887,710	-0.18%	0.01%
	Sub Total (Individuals)	480,104,908,545	25.96%	47.51%	538,591,766,568	5.83%	47.12%	58,486,858,023	-20.12%	-0.39%
	Institutions:									
	Corporates	324,043,673,000	17.52%	20.19%	368,653,471,955	3.99%	21.44%	44,609,798,955	-13.53%	1.25%
	Mutual Funds	190,263,090,653	10.29%	13.99%	195,583,759,901	2.12%	12.87%	5,320,669,248	-8.17%	-1.12%
	GRE's	625,821,424,529	33.83%	0.00%	7,873,561,458,146	85.27%	0.00%	7,247,740,033,617	51.44%	0.00%
	Institution DPMs	24,681,494,109	1.33%	2.77%	27,456,067,310	0.30%	2.70%	2,774,573,201	-1.04%	-0.08%
Sub Total (Institutions)	1,164,809,682,291	62.97%	36.95%	8,465,254,757,312	91.68%	37.01%	7,300,445,075,021	28.71%	0.05%	
Total Saudi Investors	1,644,914,590,836	88.93%	84.46%	9,003,846,523,880	97.51%	84.12%	7,358,931,933,044	8.59%	-0.34%	
GCC	Individuals	2,319,927,680	0.13%	0.26%	2,499,064,598	0.03%	0.24%	179,136,918	-0.10%	-0.02%
	Institutions	30,367,924,420	1.64%	1.87%	40,434,820,763	0.44%	2.60%	10,066,896,343	-1.20%	0.73%
	GCC DPMs	569,168,605	0.03%	0.06%	596,709,816	0.01%	0.06%	27,541,211	-0.02%	-0.01%
	Total GCC Investors	33,257,020,705	1.80%	2.19%	43,530,595,177	0.47%	2.90%	10,273,574,472	-1.33%	0.71%
Foreign	SWAP Holders	3,013,198,682	0.16%	0.34%	3,216,413,195	0.03%	0.31%	203,214,513	-0.13%	-0.02%
	Foreign Residents & Others	13,127,964,373	0.71%	0.35%	14,539,419,395	0.16%	0.40%	1,411,455,022	-0.55%	0.06%
	QFIs	112,670,469,940	6.09%	12.66%	125,231,546,295	1.36%	12.25%	12,561,076,355	-4.73%	-0.40%
	Foreign DPMs	16,863,092	0.00%	0.00%	18,215,993	0.00%	0.00%	1,352,900	0.00%	0.00%
	Strategic Investors	42,742,968,291	2.31%	0.00%	50,661,803,700	0.55%	0.00%	7,918,835,408	-1.76%	0.00%
Total Foreign Investors	171,571,464,379	9.28%	13.35%	186,159,909,715	2.02%	12.97%	14,588,445,336	-7.26%	-0.37%	
Grand Total		1,849,743,075,920	100.00%	100.00%	9,233,537,028,772	100.00%	100.00%	7,383,793,952,852		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 05 December 2019			As of 12 December 2019			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	1,622,642,286,354	87.72%	74.72%	8,945,489,408,313	96.96%	72.68%	7,322,847,121,958	9.24%	-2.04%
Non-Institutional	227,100,789,565	12.28%	25.28%	288,047,620,459	3.12%	27.32%	60,946,830,894	-9.16%	2.04%
Grand Total	1,849,743,075,920	100.00%	100.00%	9,233,537,028,772	100.08%	100.00%	7,383,793,952,852		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	68,003,886	96.18%	67,544,140	95.53%	459,747	0.65%
	Corporates	26,979	0.04%	222,146	0.31%	-195,167	-0.28%
	Institution	163,987	0.23%	346,906	0.49%	-182,919	-0.26%
	Total Saudi Investors	68,194,853	96.45%	68,113,191	96.34%	81,661	0.12%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	2,507,825	3.55%	2,589,487	3.66%	-81,661	-0.12%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,507,825	3.55%	2,589,487	3.66%	-81,661	-0.12%
Grand Total		70,702,678	100.00%	70,702,678	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	190,966	0.27%	569,052	0.80%	-378,086	-0.53%
Non-Institutional	70,511,712	99.73%	70,133,626	99.20%	378,086	0.53%
Grand Total	70,702,678	100.00%	70,702,678	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 05 December 2019			As of 12 December 2019			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,269,916,152	96.10%	98.53%	2,074,548,379	95.91%	98.15%	-195,367,774	-0.19%	-0.37%
	Corporates	88,859,264	3.76%	0.96%	84,831,148	3.92%	1.20%	-4,028,116	0.16%	0.24%
	Institution	1,726,579	0.07%	0.27%	1,737,635	0.08%	0.31%	11,056	0.01%	0.04%
	Total Saudi Investors	2,360,501,995	99.93%	99.75%	2,161,117,161	99.91%	99.66%	-199,384,834	-0.02%	-0.10%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	1,548,005	0.07%	0.25%	1,932,839	0.09%	0.34%	384,834	0.02%	0.10%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	1,548,005	0.07%	0.25%	1,932,839	0.09%	0.34%	384,834	0.02%	0.10%
Grand Total		2,362,050,000	100.00%	100.00%	2,163,050,000	100.00%	100.00%	-199,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 05 December 2019			As of 12 December 2019			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	90,585,843	3.84%	1.23%	86,568,783	4.00%	1.50%	-4,017,060	0.17%	0.28%
Non-Institutional	2,271,464,157	96.16%	98.77%	2,076,481,217	96.00%	98.50%	-194,982,940	-0.17%	-0.28%
Grand Total	2,362,050,000	100.00%	100.00%	2,163,050,000	100.00%	100.00%	-199,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

