

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 09 August 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	5,074,013,460	23.41%	10,250,015,935	47.29%	-5,176,002,475	-23.88%	
	HNWIs	8,392,448,661	38.72%	8,230,780,599	37.97%	161,668,061	0.75%	
	IPIs	302,625,284	1.40%	398,588,504	1.84%	-95,963,220	-0.44%	
	Individual DPMs	91,570,828	0.42%	121,658,225	0.56%	-30,087,397	-0.14%	
	Sub Total (Individuals)	13,860,658,233	63.95%	19,001,043,264	87.67%	-5,140,385,031	-23.72%	
	Institutions:							
	Corporates	6,159,813,123	28.42%	901,466,628	4.16%	5,258,346,494	24.26%	
	Mutual Funds	207,847,749	0.96%	225,034,341	1.04%	-17,186,592	-0.08%	
	GRE's	0	0.00%	682,800	0.00%	-682,800	0.00%	
	Institution DPMs	219,610,621	1.01%	739,533,379	3.41%	-519,922,758	-2.40%	
	Sub Total (Institutions)	6,587,271,493	30.39%	1,866,717,149	8.61%	4,720,554,344	21.78%	
Total Saudi Investors	20,447,929,725	94.34%	20,867,760,413	96.28%	-419,830,688	-1.94%		
GCC	Individuals	38,055,947	0.18%	58,375,784	0.27%	-20,319,837	-0.09%	
	Institutions	166,608,063	0.77%	226,668,356	1.05%	-60,060,292	-0.28%	
	GCC DPMs	1,536,303	0.01%	1,780,764	0.01%	-244,461	0.00%	
	Total GCC Investors	206,200,313	0.95%	286,824,904	1.32%	-80,624,591	-0.37%	
Foreign	SWAP Holders	92,580,237	0.43%	158,073,936	0.73%	-65,493,699	-0.30%	
	Foreign Residents	161,728,708	0.75%	182,468,406	0.84%	-20,739,698	-0.10%	
	QFIs	365,890,954	1.69%	175,043,386	0.81%	190,847,568	0.88%	
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%	
	Strategic Partners	400,000,000	1.85%	4,158,892	0.02%	395,841,108	1.83%	
	Total Foreign Investors	1,020,199,899	4.71%	519,744,621	2.40%	500,455,278	2.31%	
Grand Total		21,674,329,937	100.00%	21,674,329,937	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,008,083,161	36.95%	2,952,689,212	13.62%	5,055,393,949	23.32%
Non-Institutional	13,666,246,776	63.05%	18,721,640,725	86.38%	-5,055,393,949	-23.32%
Grand Total	21,674,329,937	100.00%	21,674,329,937	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 02 August 2018		As of 09 August 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	77,266,725,107	3.93%	77,297,716,292	3.98%	30,991,184	0.05%
	HNWIs	155,525,695,333	7.92%	154,089,920,517	7.94%	-1,435,774,816	0.02%
	IPIs	270,870,503,310	13.79%	268,739,355,310	13.85%	-2,131,148,000	0.06%
	Individual DPMs	4,823,882,117	0.25%	4,727,637,846	0.24%	-96,244,271	0.00%
	Sub Total (Individuals)	508,486,805,867	25.89%	504,854,629,965	26.02%	-3,632,175,903	0.13%
	Institutions:						
	Corporates	302,937,988,560	15.42%	300,393,378,907	15.48%	-2,544,609,654	0.06%
	Mutual Funds	173,100,602,533	8.81%	169,619,313,059	8.74%	-3,481,289,474	-0.07%
	GRE's	774,836,401,679	39.45%	762,858,604,577	39.32%	-11,977,797,102	-0.13%
	Institution DPMs	63,702,959,412	3.24%	62,741,074,832	3.23%	-961,884,580	-0.01%
	Sub Total (Institutions)	1,314,577,952,185	66.93%	1,295,612,371,375	66.79%	-18,965,580,810	-0.15%
Total Saudi Investors	1,823,064,758,052	92.82%	1,800,467,001,339	92.81%	-22,597,756,712	-0.01%	
GCC	Individuals	3,187,254,371	0.16%	3,144,615,642	0.16%	-42,638,728	0.00%
	Institutions	36,833,011,196	1.88%	36,620,140,843	1.89%	-212,870,354	0.01%
	GCC DPMs	687,008,732	0.03%	679,039,454	0.04%	-7,969,278	0.00%
	Total GCC Investors	40,707,274,299	2.07%	40,443,795,939	2.08%	-263,478,360	0.01%
Foreign	SWAP Holders	15,302,904,360	0.78%	15,143,024,785	0.78%	-159,879,576	0.00%
	Foreign Residents	3,978,485,717	0.20%	3,948,546,090	0.20%	-29,939,627	0.00%
	QFIs	19,366,701,878	0.99%	19,370,535,120	1.00%	3,833,242	0.01%
	Foreign DPMs	8,872,709	0.00%	8,809,636	0.00%	-63,073	0.00%
	Strategic Partners	61,620,672,175	3.14%	60,551,960,364	3.12%	-1,068,711,811	-0.02%
	Total Foreign Investors	100,277,636,838	5.11%	99,022,875,993	5.10%	-1,254,760,845	0.00%
Grand Total		1,964,049,669,189	100.00%	1,939,933,673,272	100.00%	-24,115,995,917	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 02 August 2018		As of 09 August 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,724,091,508,661	87.78%	1,701,452,874,731	87.71%	-22,638,633,930	-0.08%
Non-Institutional	239,958,160,528	12.22%	238,480,798,541	12.29%	-1,477,361,987	0.08%
Grand Total	1,964,049,669,189	100.00%	1,939,933,673,272	100.00%	-24,115,995,917	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,286,742	97.25%	4,555,628	83.80%	731,113	13.45%
	Corporates	50,894	0.94%	689,364	12.68%	-638,470	-11.74%
	Institution	0	0.00%	7,371	0.14%	-7,371	-0.14%
	Total Saudi Investors	5,337,636	98.19%	5,252,363	96.62%	85,273	1.57%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	75,625	1.39%	131,676	2.42%	-56,051	-1.03%
	Total GCC Investors	75,625	1.39%	131,676	2.42%	-56,051	-1.03%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	22,891	0.42%	19,287	0.35%	3,605	0.07%
	QFIs	0	0.00%	32,827	0.60%	-32,827	-0.60%
	Total Foreign Investors	22,891	0.42%	52,114	0.96%	-29,222	-0.54%
Grand Total		5,436,152	100.00%	5,436,152	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	126,519	2.33%	861,238	15.84%	-734,718	-13.52%
Non-Institutional	5,309,633	97.67%	4,574,915	84.16%	734,718	13.52%
Grand Total	5,436,152	100.00%	5,436,152	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 02 August 2018		As of 09 August 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,077,786,903	91.37%	2,088,310,342	91.59%	10,523,439	0.22%
	Corporates	105,707,324	4.65%	103,751,123	4.55%	-1,956,200	-0.10%
	Institution	65,022,197	2.86%	64,008,676	2.81%	-1,013,521	-0.05%
	Total Saudi Investors	2,248,516,424	98.88%	2,256,070,141	98.95%	7,553,718	0.07%
GCC	Individuals	27,885	0.00%	26,567	0.00%	-1,318	0.00%
	Institutions	13,560,043	0.60%	12,545,546	0.55%	-1,014,497	-0.05%
	Total GCC Investors	13,587,928	0.60%	12,572,113	0.55%	-1,015,815	-0.05%
Foreign	SWAP Holders	772,348	0.03%	781,390	0.03%	9,042	0.00%
	Foreign Residents	2,313,730	0.10%	2,259,549	0.10%	-54,181	0.00%
	QFIs	8,900,770	0.39%	8,424,206	0.37%	-476,564	-0.02%
	Total Foreign Investors	11,986,849	0.53%	11,465,146	0.50%	-521,703	-0.02%
Grand Total		2,274,091,200	100.00%	2,280,107,400	100.00%	6,016,200	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 02 August 2018		As of 09 August 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	193,962,682	8.53%	189,510,942	8.31%	-4,451,740	-0.22%
Non-Institutional	2,080,128,518	91.47%	2,090,596,458	91.69%	10,467,940	0.22%
Grand Total	2,274,091,200	100.00%	2,280,107,400	100.00%	6,016,200	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

