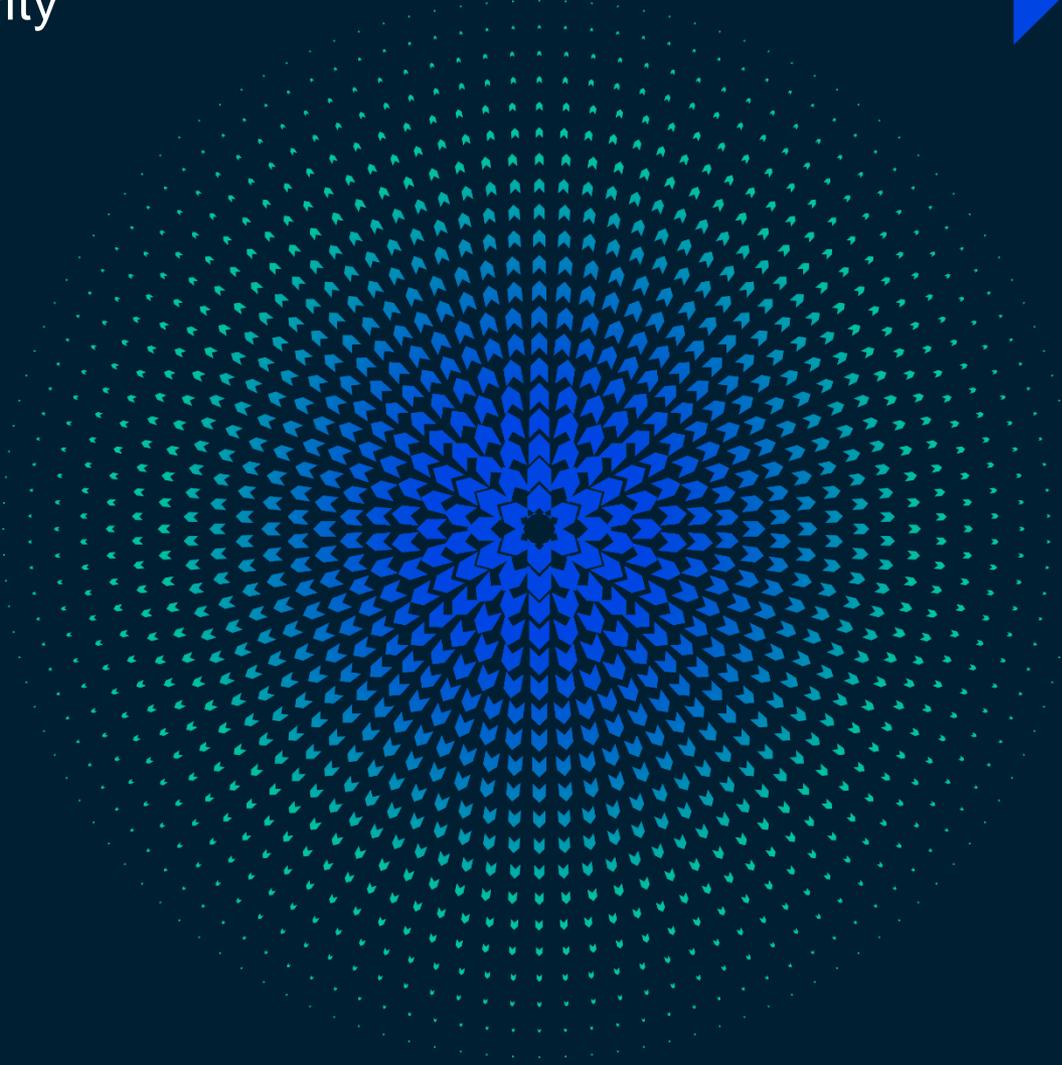


# Monthly Stock Market Ownership and Trading Activity Report

تداول السعودية  
Saudi Exchange



Market Reports

Month Ending 31 May 2021



# Definitions

# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



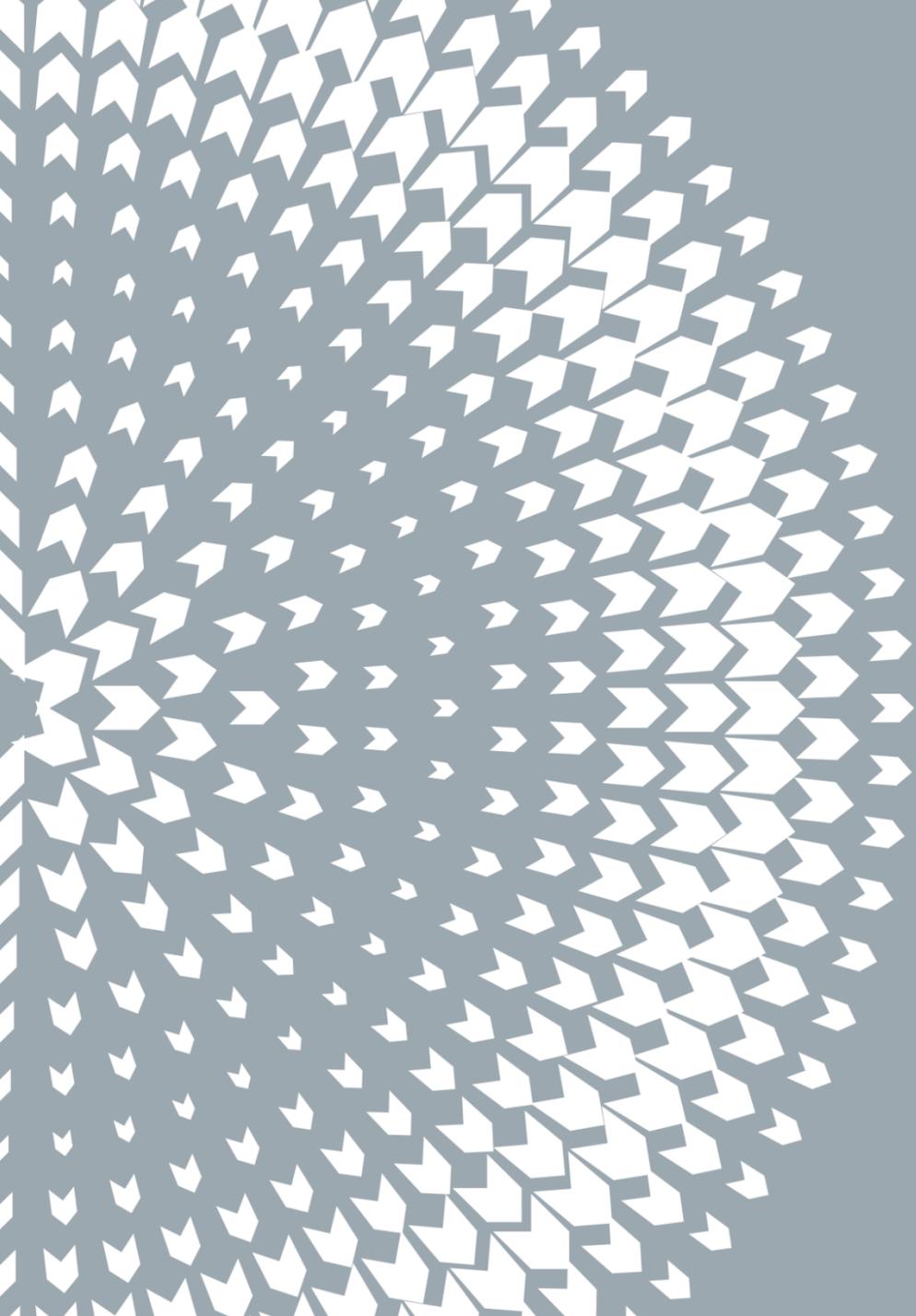
# Main Market - Highlights

# Monthly Report - Main Market

## Highlights

The total value of shares traded for the month ending 31 May 2021 amounted to SAR 158.72 billion, decreasing by 15.83% over the previous month; while total stock market capitalization reached SAR 9,655.61 billion at the end of this period. decreasing by 0.00002% over the previous month.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 142.22 billion representing 89.60 % of total buying activity, and sales of SAR 142.62 billion representing 89.86 % of total selling activity. Total ownership of “Saudi Investors” stood at 96.74 % of total market capitalization as of 31 May 2021, representing a decrease of 0.02 % from the previous month.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.18 billion representing 0.74 % of total buying activity, and sales of SAR 2.55 billion representing 1.61% of total selling activity. Total ownership of “GCC Investors” stood at 0.53% of total market capitalization as of 31 May 2021, representing a decrease of 0.004% from the previous month.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 15.32 billion representing 9.65% of total buying activity, and sales of SAR 13.55 billion representing 8.54% of total selling activity. Total ownership of “Foreign Investors” stood at 2.73% of total market capitalization as of 31 May 2021, representing an increase of 0.02% from the previous month.



## Main Market - Value Traded & Ownership Breakdown

# Monthly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	82,618,758,026	52.05%	81,617,834,668	51.42%	1,000,923,357	0.63%	
	HNWIs	44,206,880,425	27.85%	44,095,619,826	27.78%	111,260,599	0.07%	
	IPIs	4,067,840,574	2.56%	4,327,009,765	2.73%	-259,169,191	-0.16%	
	Individual DPMs	1,159,707,070	0.73%	1,147,625,519	0.72%	12,081,551	0.01%	
	Sub Total (Individuals)	132,053,186,094	83.20%	131,188,089,778	82.65%	865,096,316	0.55%	
	Institutions:							
	Corporates	3,777,361,691	2.38%	3,078,564,274	1.94%	698,797,417	0.44%	
	Mutual Funds	3,921,594,294	2.47%	4,648,712,395	2.93%	-727,118,101	-0.46%	
	GRE's	235,292,980	0.15%	150,567,042	0.09%	84,725,937	0.05%	
	Institution DPMs	2,228,658,041	1.40%	3,556,093,082	2.24%	-1,327,435,041	-0.84%	
	Sub Total (Institutions)	10,162,907,006	6.40%	11,433,936,793	7.20%	-1,271,029,787	-0.80%	
	<b>Total Saudi Investors</b>	<b>142,216,093,100</b>	<b>89.60%</b>	<b>142,622,026,571</b>	<b>89.86%</b>	<b>-405,933,471</b>	<b>-0.26%</b>	
	GCC	Individuals	213,677,754	0.13%	316,530,133	0.20%	-102,852,379	-0.06%
Institutions		965,649,854	0.61%	2,228,522,389	1.40%	-1,262,872,535	-0.80%	
GCC DPMs		1,241,943	0.00%	5,834,992	0.00%	-4,593,049	0.00%	
<b>Total GCC Investors</b>		<b>1,180,569,551</b>	<b>0.74%</b>	<b>2,550,887,514</b>	<b>1.61%</b>	<b>-1,370,317,963</b>	<b>-0.86%</b>	
Foreign	SWAP Holders	30,704,352	0.02%	157,756,939	0.10%	-127,052,587	-0.08%	
	Foreign Residents & Others	2,354,561,303	1.48%	2,403,392,984	1.51%	-48,831,681	-0.03%	
	QFIs	12,936,434,429	8.15%	10,985,457,439	6.92%	1,950,976,990	1.23%	
	Foreign DPMs	1,353,519	0.00%	194,806	0.00%	1,158,713	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	<b>Total Foreign Investors</b>	<b>15,323,053,602</b>	<b>9.65%</b>	<b>13,546,802,168</b>	<b>8.54%</b>	<b>1,776,251,434</b>	<b>1.12%</b>	
<b>Grand Total</b>		<b>158,719,716,253</b>	<b>100.00%</b>	<b>158,719,716,253</b>	<b>100.00%</b>			

# Monthly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	29,325,838,746	18.48%	30,286,338,642	19.08%	-960,499,896	-0.61%
Non-Institutional	129,393,877,508	81.52%	128,433,377,612	80.92%	960,499,896	0.61%
<b>Grand Total</b>	<b>158,719,716,253</b>	<b>100.00%</b>	<b>158,719,716,253</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Monthly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 April 2021			As of 31 May 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	136,738,530,291	1.42%	9.05%	138,898,226,497	1.44%	8.68%	2,159,696,207	0.02%	-0.36%
	HNWIs	224,387,174,837	2.32%	13.91%	229,817,020,730	2.38%	14.19%	5,429,845,893	0.06%	0.28%
	IPLs	357,010,337,253	3.70%	16.31%	368,131,468,369	3.81%	16.66%	11,121,131,116	0.12%	0.36%
	Individual DPMs	7,185,770,268	0.07%	0.46%	6,919,998,806	0.07%	0.43%	-265,771,462	0.00%	-0.03%
	<b>Sub Total (Individuals)</b>	<b>725,321,812,649</b>	<b>7.51%</b>	<b>39.73%</b>	<b>743,766,714,403</b>	<b>7.70%</b>	<b>39.97%</b>	<b>18,444,901,754</b>	<b>0.19%</b>	<b>0.24%</b>
	Institutions:									
	Corporates	775,324,583,208	8.03%	22.35%	783,014,432,918	8.11%	22.30%	7,689,849,710	0.08%	-0.06%
	Mutual Funds	251,264,105,964	2.60%	10.74%	252,965,739,045	2.62%	10.98%	1,701,633,081	0.02%	0.24%
	GRE's	7,514,514,437,019	77.83%	6.38%	7,485,919,761,918	77.53%	6.36%	-28,594,675,101	-0.30%	-0.02%
	Institution DPMs	75,870,901,895	0.79%	4.78%	75,051,809,358	0.78%	4.69%	-819,092,537	-0.01%	-0.09%
	<b>Sub Total (Institutions)</b>	<b>8,616,974,028,085</b>	<b>89.24%</b>	<b>44.26%</b>	<b>8,596,951,743,239</b>	<b>89.04%</b>	<b>44.32%</b>	<b>-20,022,284,847</b>	<b>-0.21%</b>	<b>0.06%</b>
	<b>Total Saudi Investors</b>	<b>9,342,295,840,735</b>	<b>96.76%</b>	<b>83.98%</b>	<b>9,340,718,457,641</b>	<b>96.74%</b>	<b>84.29%</b>	<b>-1,577,383,093</b>	<b>-0.02%</b>	<b>0.30%</b>
GCC	Individuals	3,274,707,437	0.03%	0.21%	3,215,289,260	0.03%	0.20%	-59,418,176	0.00%	-0.01%
	Institutions	47,729,585,796	0.49%	2.16%	47,364,350,528	0.49%	2.06%	-365,235,268	0.00%	-0.10%
	GCC DPMs	301,483,382	0.00%	0.02%	306,280,894	0.00%	0.02%	4,797,512	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>51,305,776,615</b>	<b>0.53%</b>	<b>2.39%</b>	<b>50,885,920,682</b>	<b>0.53%</b>	<b>2.28%</b>	<b>-419,855,932</b>	<b>0.00%</b>	<b>-0.11%</b>
Foreign	SWAP Holders	2,190,929,389	0.02%	0.14%	2,131,194,995	0.02%	0.13%	-59,734,394	0.00%	-0.01%
	Foreign Residents & Others	7,525,503,856	0.08%	0.48%	7,635,922,009	0.08%	0.36%	110,418,153	0.00%	-0.12%
	QFIs	204,986,045,568	2.12%	13.00%	206,601,192,155	2.14%	12.94%	1,615,146,587	0.02%	-0.07%
	Foreign DPMs	17,757,700	0.00%	0.00%	19,559,460	0.00%	0.00%	1,801,761	0.00%	0.00%
	Strategic Investors	47,289,397,812	0.49%	0.00%	47,617,456,854	0.49%	0.00%	328,059,042	0.00%	0.00%
<b>Total Foreign Investors</b>	<b>262,009,634,324</b>	<b>2.71%</b>	<b>13.62%</b>	<b>264,005,325,473</b>	<b>2.73%</b>	<b>13.43%</b>	<b>1,995,691,149</b>	<b>0.02%</b>	<b>-0.19%</b>	
<b>Grand Total</b>		<b>9,655,611,251,673</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,655,609,703,797</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-1,547,876</b>		

# Monthly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 29 April 2021			As of 31 May 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	9,285,616,197,014	96.15%	76.35%	9,277,957,359,473	96.07%	76.56%	-7,658,837,541	-0.08%	0.21%
<b>Non-Institutional</b>	369,995,054,659	3.85%	23.65%	377,652,344,324	3.93%	23.44%	7,657,289,665	0.08%	-0.21%
<b>Grand Total</b>	<b>9,655,611,251,673</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,655,609,703,797</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-1,547,876</b>		

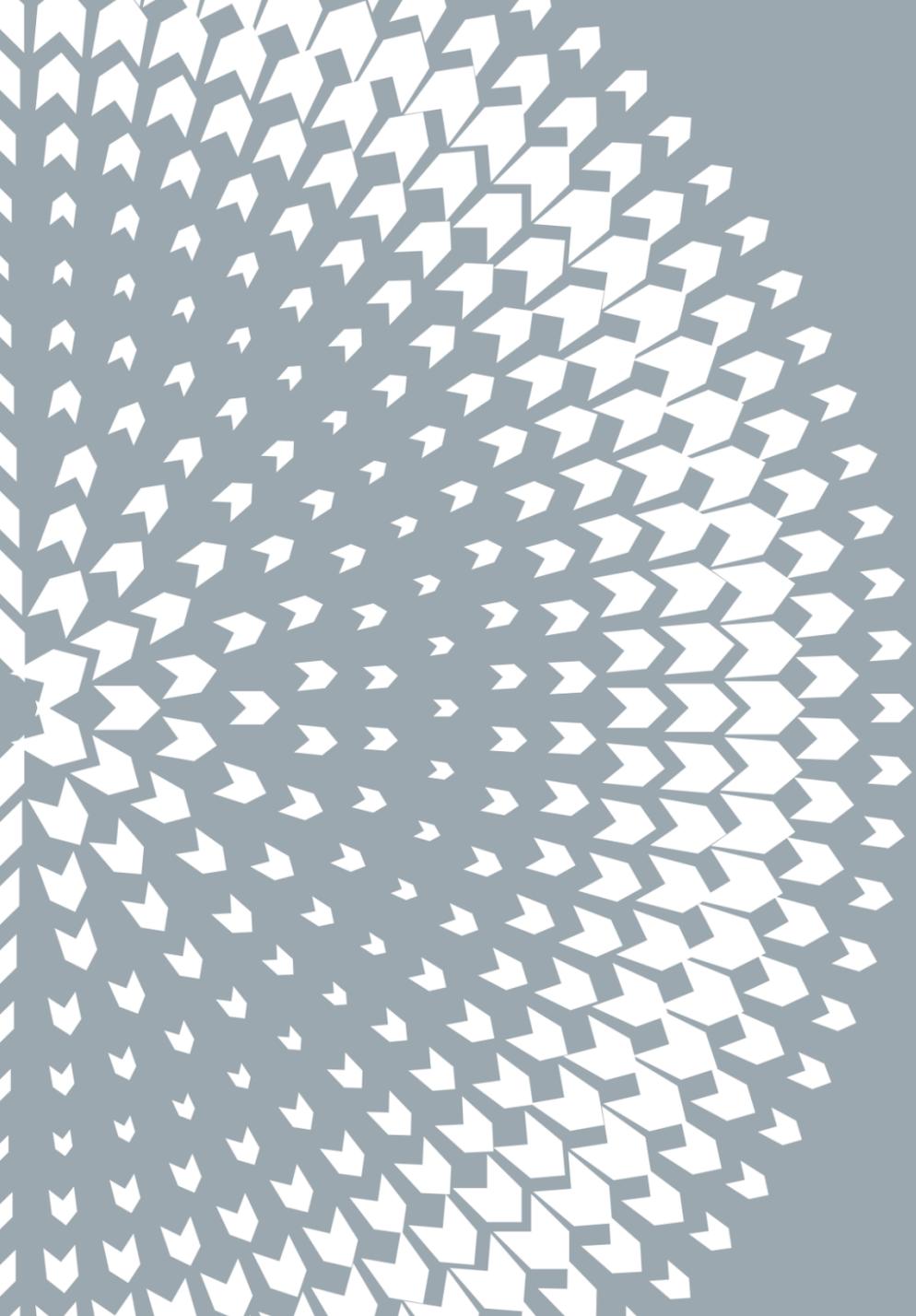
## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



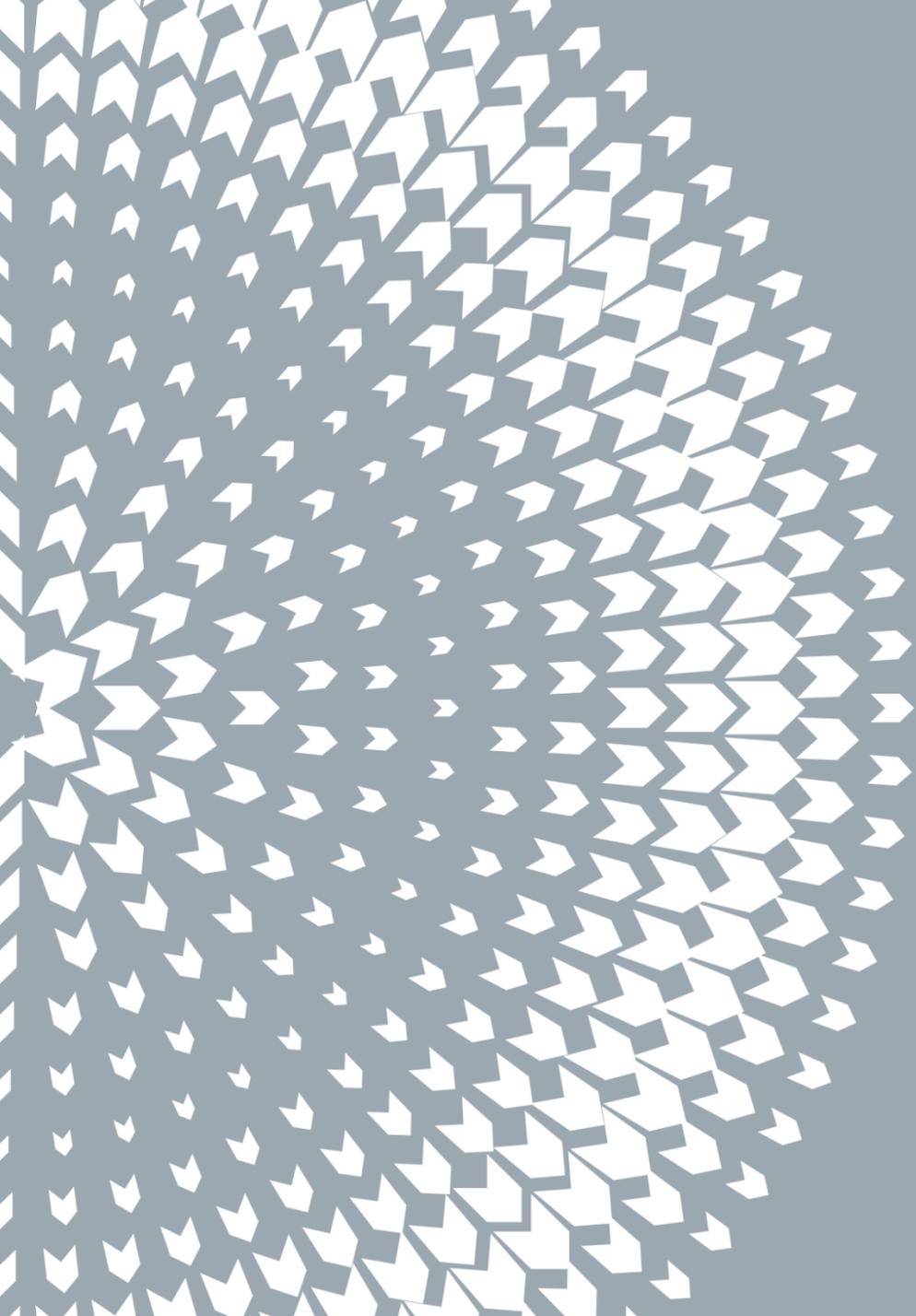
## Nomu Market - Highlights

# Monthly Report - Nomu-Parallel Market

## Highlights

The total value of shares traded for the month ending 31 May 2021 amounted to SAR 763.06 million, increasing by 146.65% over the previous month; while total stock market capitalization reached SAR 12,052.53 million at the end of this period, decreasing by 3.62% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 688.15 million representing 90.18% of total buying activity, and sales of SAR 656.13 million representing 85.99% of total selling activity. Total ownership of “Saudi Investors” stood at 99.18% of total market capitalization as of 31 May 2021, representing an increase of 0.27% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 2.61 million representing 0.34% of total buying activity, and sales of SAR 59.05 million representing 7.74% of total selling activity. Total ownership of “GCC Investors” stood at 0.36% of total market capitalization as of 31 May 2021, representing a decrease of 0.51% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 72.30 million representing 9.47% of total buying activity, and sales of SAR 47.87 million representing 6.27% of total selling activity. Total ownership of “Foreign Investors” stood at 0.46% of total market capitalization as of 31 May 2021, representing an increase of 0.24% from the previous month.



## Nomu-Parallel Market - Value Traded & Ownership Breakdown

# Monthly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)



Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	672,089,411	88.08%	643,430,429	84.32%	28,658,982	3.76%
	Corporates	12,292,416	1.61%	12,690,336	1.66%	-397,920	-0.05%
	Institution	3,766,624	0.49%	13,223	0.00%	3,753,401	0.49%
	<b>Total Saudi Investors</b>	<b>688,148,451</b>	<b>90.18%</b>	<b>656,133,988</b>	<b>85.99%</b>	<b>32,014,463</b>	<b>4.20%</b>
GCC	Individuals	2,518,183	0.33%	1,413,483	0.19%	1,104,700	0.14%
	Institutions	94,250	0.01%	57,638,172	7.55%	-57,543,922	-7.54%
	<b>Total GCC Investors</b>	<b>2,612,433</b>	<b>0.34%</b>	<b>59,051,655</b>	<b>7.74%</b>	<b>-56,439,221</b>	<b>-7.40%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	16,742,004	2.19%	14,938,889	1.96%	1,803,115	0.24%
	QFIs	55,555,920	7.28%	32,934,276	4.32%	22,621,643	2.96%
	<b>Total Foreign Investors</b>	<b>72,297,924</b>	<b>9.47%</b>	<b>47,873,166</b>	<b>6.27%</b>	<b>24,424,758</b>	<b>3.20%</b>
<b>Grand Total</b>		<b>763,058,808</b>	<b>100.00%</b>	<b>763,058,808</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	71,709,209	9.40%	103,276,006	13.53%	-31,566,797	-4.14%
<b>Non-Institutional</b>	691,349,599	90.60%	659,782,802	86.47%	31,566,797	4.14%
<b>Grand Total</b>	<b>763,058,808</b>	<b>100.00%</b>	<b>763,058,808</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Monthly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 April 2021			As of 31 May 2021			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,388,771,726	59.08%	45.20%	7,440,745,437	61.74%	49.56%	51,973,711	2.65%	4.36%
	Corporates	4,906,750,608	39.24%	50.48%	4,442,282,554	36.86%	47.14%	-464,468,054	-2.38%	-3.34%
	Institution	73,533,608	0.59%	0.76%	70,211,164	0.58%	0.75%	-3,322,444	-0.01%	-0.01%
	<b>Total Saudi Investors</b>	<b>12,369,055,942</b>	<b>98.91%</b>	<b>96.44%</b>	<b>11,953,239,155</b>	<b>99.18%</b>	<b>97.45%</b>	<b>-415,816,787</b>	<b>0.27%</b>	<b>1.01%</b>
GCC	Individuals	3,692,772	0.03%	0.10%	3,985,353	0.03%	0.10%	292,580	0.00%	0.01%
	Institutions	105,214,640	0.84%	2.75%	39,768,858	0.33%	1.02%	-65,445,783	-0.51%	-1.73%
	<b>Total GCC Investors</b>	<b>108,907,413</b>	<b>0.87%</b>	<b>2.85%</b>	<b>43,754,210</b>	<b>0.36%</b>	<b>1.12%</b>	<b>-65,153,203</b>	<b>-0.51%</b>	<b>-1.72%</b>
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	9,291,090	0.07%	0.24%	10,583,381	0.09%	0.27%	1,292,292	0.01%	0.03%
	QFIs	18,115,556	0.14%	0.47%	44,957,253	0.37%	1.16%	26,841,697	0.23%	0.68%
	<b>Total Foreign Investors</b>	<b>27,406,646</b>	<b>0.22%</b>	<b>0.72%</b>	<b>55,540,635</b>	<b>0.46%</b>	<b>1.43%</b>	<b>28,133,989</b>	<b>0.24%</b>	<b>0.71%</b>
<b>Grand Total</b>		<b>12,505,370,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>12,052,534,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-452,836,000</b>		

### Nomu-Parallel Market Ownership Breakdown - By Investor Classification

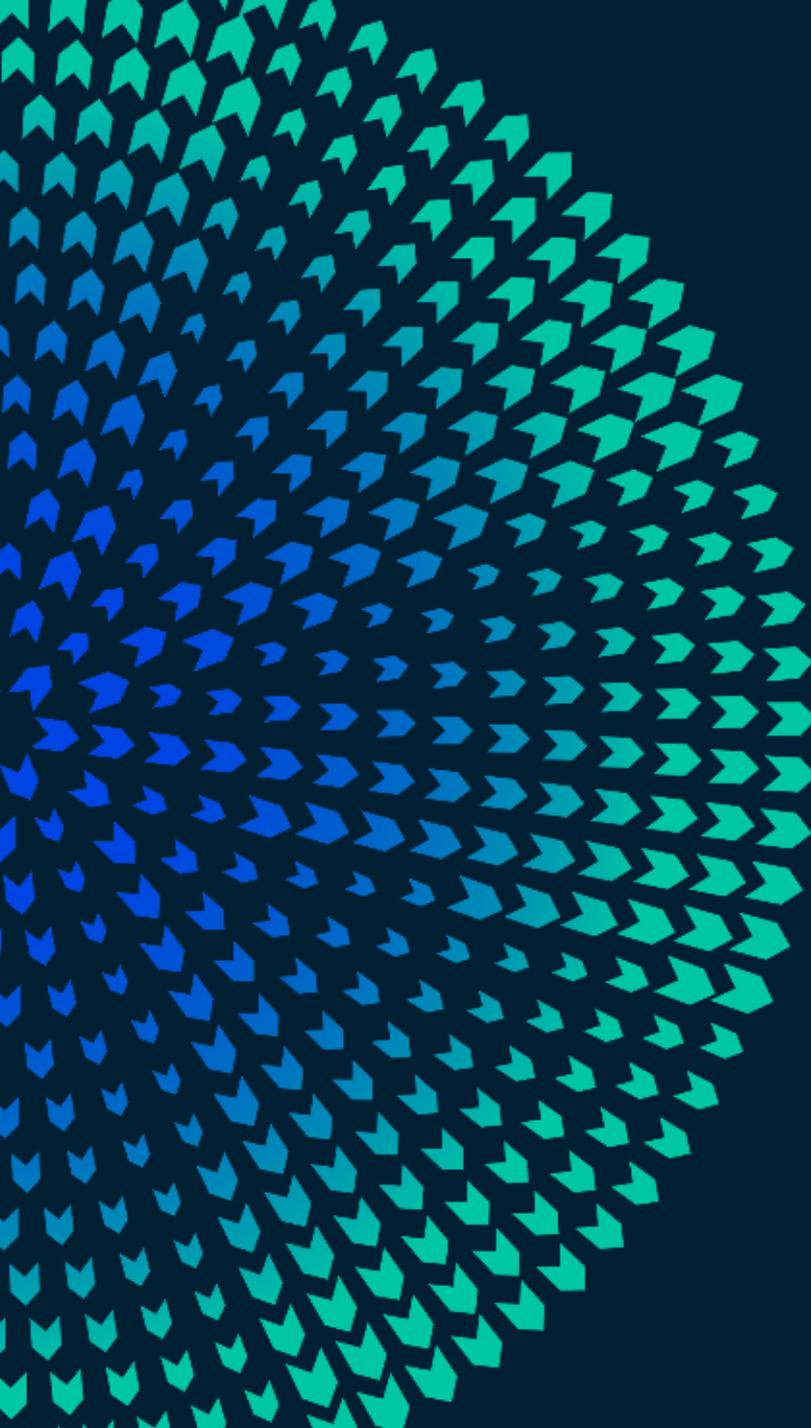
Investor Classification	As of 29 April 2021			As of 31 May 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	5,103,614,412	40.81%	54.46%	4,597,219,830	38.14%	50.06%	-506,394,583	-2.67%	-4.40%
<b>Non-Institutional</b>	7,401,755,588	59.19%	45.54%	7,455,314,171	61.86%	49.94%	53,558,583	2.67%	4.40%
<b>Grand Total</b>	<b>12,505,370,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>12,052,534,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-452,836,000</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



تداول السعودية  
Saudi Exchange

Thank you