

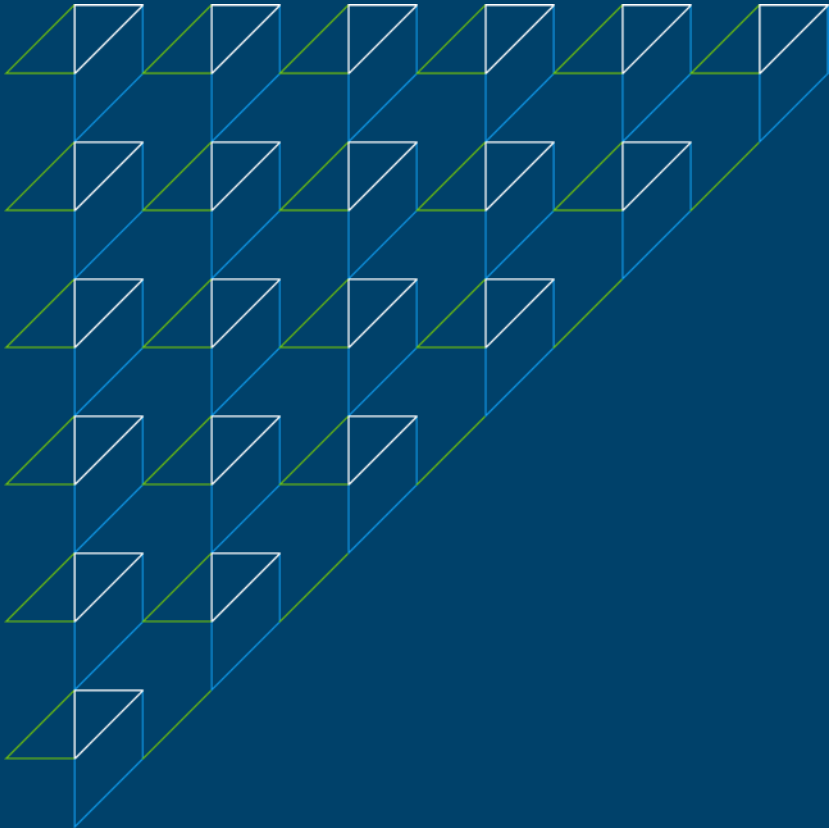
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 5 October 2017



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	4,931,560,767	32.93%	6,690,027,990	44.67%	-1,758,467,223	-11.74%
	HNWIs	4,648,950,137	31.04%	4,739,444,835	31.65%	-90,494,698	-0.60%
	IPIs	289,689,191	1.93%	340,504,434	2.27%	-50,815,243	-0.34%
	Individual DPMs	111,156,509	0.74%	156,242,165	1.04%	-45,085,656	-0.30%
	<b>Sub Total (Individuals)</b>	<b>9,981,356,603</b>	<b>66.65%</b>	<b>11,926,219,423</b>	<b>79.64%</b>	<b>-1,944,862,820</b>	<b>-12.99%</b>
	<b>Institutions:</b>						
	Corporates	2,859,837,137	19.10%	1,406,246,942	9.39%	1,453,590,195	9.71%
	Mutual Funds	681,939,210	4.55%	499,966,541	3.34%	181,972,669	1.22%
	GRE's	11,348,595	0.08%	11,772,247	0.08%	-423,652	0.00%
	Institution DPMs	238,525,275	1.59%	198,781,011	1.33%	39,744,264	0.27%
<b>Sub Total (Institutions)</b>	<b>3,791,650,217</b>	<b>25.32%</b>	<b>2,116,766,741</b>	<b>14.13%</b>	<b>1,674,883,476</b>	<b>11.18%</b>	
<b>Total Saudi Investors</b>	<b>13,773,006,820</b>	<b>91.97%</b>	<b>14,042,986,164</b>	<b>93.77%</b>	<b>-269,979,344</b>	<b>-1.80%</b>	
GCC	Individuals	33,873,963	0.23%	136,952,162	0.91%	-103,078,198	-0.69%
	Institutions	395,086,199	2.64%	200,847,875	1.34%	194,238,324	1.30%
	GCC DPMs	201,647	0.00%	99,126	0.00%	102,521	0.00%
	<b>Total GCC Investors</b>	<b>429,161,809</b>	<b>2.87%</b>	<b>337,899,163</b>	<b>2.26%</b>	<b>91,262,646</b>	<b>0.61%</b>
Foreign	SWAP Holders	184,942,382	1.23%	195,013,262	1.30%	-10,070,881	-0.07%
	Foreign Residents	152,776,584	1.02%	182,574,361	1.22%	-29,797,777	-0.20%
	QFIs	287,523,429	1.92%	123,032,219	0.82%	164,491,211	1.10%
	Foreign DPMs	148,010,427	0.99%	88,789,259	0.59%	59,221,167	0.40%
	Strategic Partners	0	0.00%	5,127,023	0.03%	-5,127,023	-0.03%
	<b>Total Foreign Investors</b>	<b>773,252,822</b>	<b>5.16%</b>	<b>594,536,124</b>	<b>3.97%</b>	<b>178,716,698</b>	<b>1.19%</b>
<b>Grand Total</b>		<b>14,975,421,451</b>	<b>100.00%</b>	<b>14,975,421,451</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,208,260,000	34.78%	3,226,422,104	21.54%	1,981,837,897	13.23%
Non-Institutional	9,767,161,450	65.22%	11,748,999,347	78.46%	-1,981,837,897	-13.23%
<b>Grand Total</b>	<b>14,975,421,451</b>	<b>100.00%</b>	<b>14,975,421,451</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 September 2017		As of 5 October 2017*		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	72,206,895,046	4.20%	72,749,085,608	4.24%	542,190,563	0.04%
	HNWIs	135,637,147,649	7.88%	135,122,440,427	7.87%	-514,707,222	-0.01%
	IPIs	257,714,786,619	14.97%	256,174,256,578	14.93%	-1,540,530,041	-0.04%
	Individual DPMs	4,930,229,716	0.29%	4,896,566,343	0.29%	-33,663,373	0.00%
	<b>Sub Total (Individuals)</b>	<b>470,489,059,029</b>	<b>27.33%</b>	<b>468,942,348,957</b>	<b>27.33%</b>	<b>-1,546,710,072</b>	<b>-0.01%</b>
	<b>Institutions:</b>						
	Corporates	285,201,603,671	16.57%	284,120,528,755	16.56%	-1,081,074,916	-0.01%
	Mutual Funds	177,710,087,417	10.32%	179,303,713,206	10.45%	1,593,625,789	0.12%
	GRE's	626,978,863,396	36.43%	623,259,874,199	36.32%	-3,718,989,197	-0.11%
	Institution DPMs	50,516,794,178	2.93%	50,487,931,584	2.94%	-28,862,595	0.01%
<b>Sub Total (Institutions)</b>	<b>1,140,407,348,662</b>	<b>66.26%</b>	<b>1,137,172,047,744</b>	<b>66.27%</b>	<b>-3,235,300,918</b>	<b>0.01%</b>	
<b>Total Saudi Investors</b>	<b>1,610,896,407,691</b>	<b>93.59%</b>	<b>1,606,114,396,701</b>	<b>93.59%</b>	<b>-4,782,010,990</b>	<b>0.00%</b>	
GCC	Individuals	3,045,712,157	0.18%	2,925,583,524	0.17%	-120,128,633	-0.01%
	Institutions	33,192,168,953	1.93%	33,252,780,060	1.94%	60,611,108	0.01%
	GCC DPMs	708,012,395	0.04%	705,875,508	0.04%	-2,136,887	0.00%
	<b>Total GCC Investors</b>	<b>36,945,893,505</b>	<b>2.15%</b>	<b>36,884,239,092</b>	<b>2.15%</b>	<b>-61,654,412</b>	<b>0.00%</b>
Foreign	SWAP Holders	10,892,161,537	0.63%	10,990,459,748	0.64%	98,298,211	0.01%
	Foreign Residents	4,326,133,737	0.25%	4,328,564,456	0.25%	2,430,719	0.00%
	QFIs	7,232,854,883	0.42%	7,282,497,276	0.42%	49,642,393	0.00%
	Foreign DPMs	2,558,856,118	0.15%	2,609,614,775	0.15%	50,758,656	0.00%
	Strategic Partners	48,357,992,480	2.81%	47,862,788,860	2.79%	-495,203,620	-0.02%
	<b>Total Foreign Investors</b>	<b>73,367,998,754</b>	<b>4.26%</b>	<b>73,073,925,114</b>	<b>4.26%</b>	<b>-294,073,640</b>	<b>0.00%</b>
<b>Grand Total</b>		<b>1,721,210,299,950</b>	<b>100.00%</b>	<b>1,716,072,560,907</b>	<b>100.00%</b>	<b>-5,137,739,043</b>	

\* Non Deposited Tradable Rights amount of 627,760,000 SAR was not reflected as on 5 October holding value

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 28 September 2017		As of 5 October 2017*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,505,994,411,362	87.50%	1,500,946,886,892	87.46%	-5,047,524,470	-0.03%
Non-Institutional	215,215,888,588	12.50%	215,125,674,015	12.54%	-90,214,573	0.03%
<b>Grand Total</b>	<b>1,721,210,299,950</b>	<b>100.00%</b>	<b>1,716,072,560,907</b>	<b>100.00%</b>	<b>-5,137,739,043</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	7,556,365	92.39%	3,926,685	48.01%	3,629,680	44.38%
	Corporates	0	0.00%	2,741,356	33.52%	-2,741,356	-33.52%
	Institution	57,400	0.70%	1,405,356	17.18%	-1,347,956	-16.48%
	<b>Total Saudi Investors</b>	<b>7,613,765</b>	<b>93.10%</b>	<b>8,073,396</b>	<b>98.72%</b>	<b>-459,631</b>	<b>-5.62%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	134,188	1.64%	104,976	1.28%	29,212	0.36%
	QFIs	430,420	5.26%	0	0.00%	430,420	5.26%
	<b>Total Foreign Investors</b>	<b>564,607</b>	<b>6.90%</b>	<b>104,976</b>	<b>1.28%</b>	<b>459,631</b>	<b>5.62%</b>
<b>Grand Total</b>		<b>8,178,372</b>	<b>100.00%</b>	<b>8,178,372</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	487,820	5.96%	4,146,711	50.70%	-3,658,892	-44.74%	
Non-Institutional	7,690,552	94.04%	4,031,661	49.30%	3,658,892	44.74%	
<b>Grand Total</b>	<b>8,178,372</b>	<b>100.00%</b>	<b>8,178,372</b>	<b>100.00%</b>			

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 28 September 2017		As of 5 October 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,096,996,745	88.74%	1,989,261,498	88.79%	-107,735,246	0.05%
	Corporates	124,531,888	5.27%	118,777,768	5.30%	-5,754,119	0.03%
	Institution	121,773,307	5.15%	113,323,143	5.06%	-8,450,164	-0.09%
	<b>Total Saudi Investors</b>	<b>2,343,301,939</b>	<b>99.16%</b>	<b>2,221,362,409</b>	<b>99.15%</b>	<b>-121,939,529</b>	<b>-0.01%</b>
GCC	Individuals	29,913	0.00%	28,722	0.00%	-1,191	0.00%
	Institutions	13,155,750	0.56%	12,419,028	0.55%	-736,722	0.00%
	<b>Total GCC Investors</b>	<b>13,185,663</b>	<b>0.56%</b>	<b>12,447,750</b>	<b>0.56%</b>	<b>-737,913</b>	<b>0.00%</b>
Foreign	SWAP Holders	207,654	0.01%	194,362	0.01%	-13,292	0.00%
	Foreign Residents	4,413,167	0.19%	4,241,836	0.19%	-171,330	0.00%
	QFIs	2,047,042	0.09%	2,140,583	0.10%	93,540	0.01%
	<b>Total Foreign Investors</b>	<b>6,667,863</b>	<b>0.28%</b>	<b>6,576,781</b>	<b>0.29%</b>	<b>-91,082</b>	<b>0.01%</b>
<b>Grand Total</b>		<b>2,363,155,465</b>	<b>100.00%</b>	<b>2,240,386,940</b>	<b>100.00%</b>	<b>-122,768,525</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 28 September 2017		As of 5 October 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	261,715,641	11.07%	246,854,884	11.02%	-14,860,757	-0.06%
Non-Institutional	2,101,439,824	88.93%	1,993,532,056	88.98%	-107,907,768	0.06%
<b>Grand Total</b>	<b>2,363,155,465</b>	<b>100.00%</b>	<b>2,240,386,940</b>	<b>100.00%</b>	<b>-122,768,525</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

