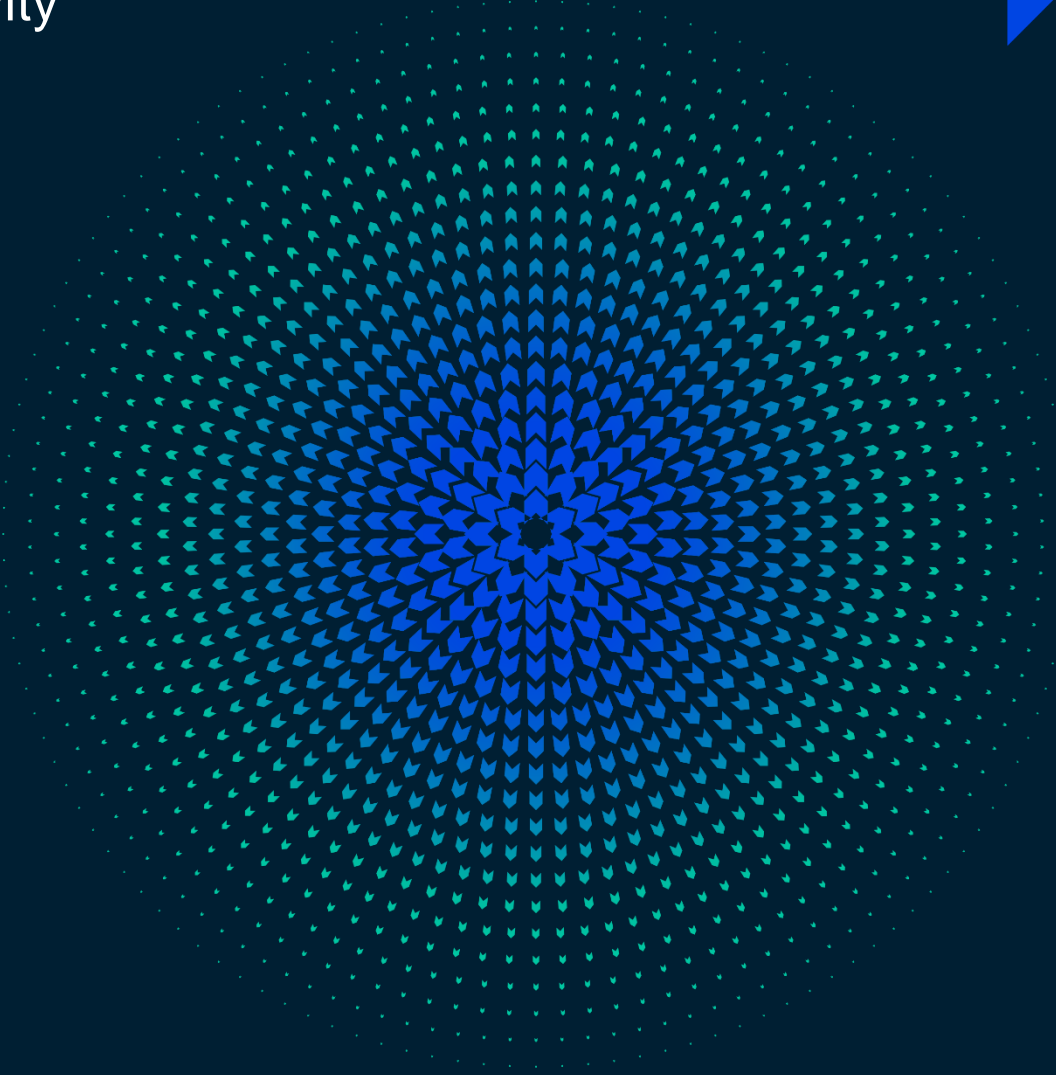


# Monthly Stock Market Ownership and Trading Activity Report

تداول السعودية  
Saudi Exchange



Market Reports

Month Ending 30 June 2021



# Definitions

# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



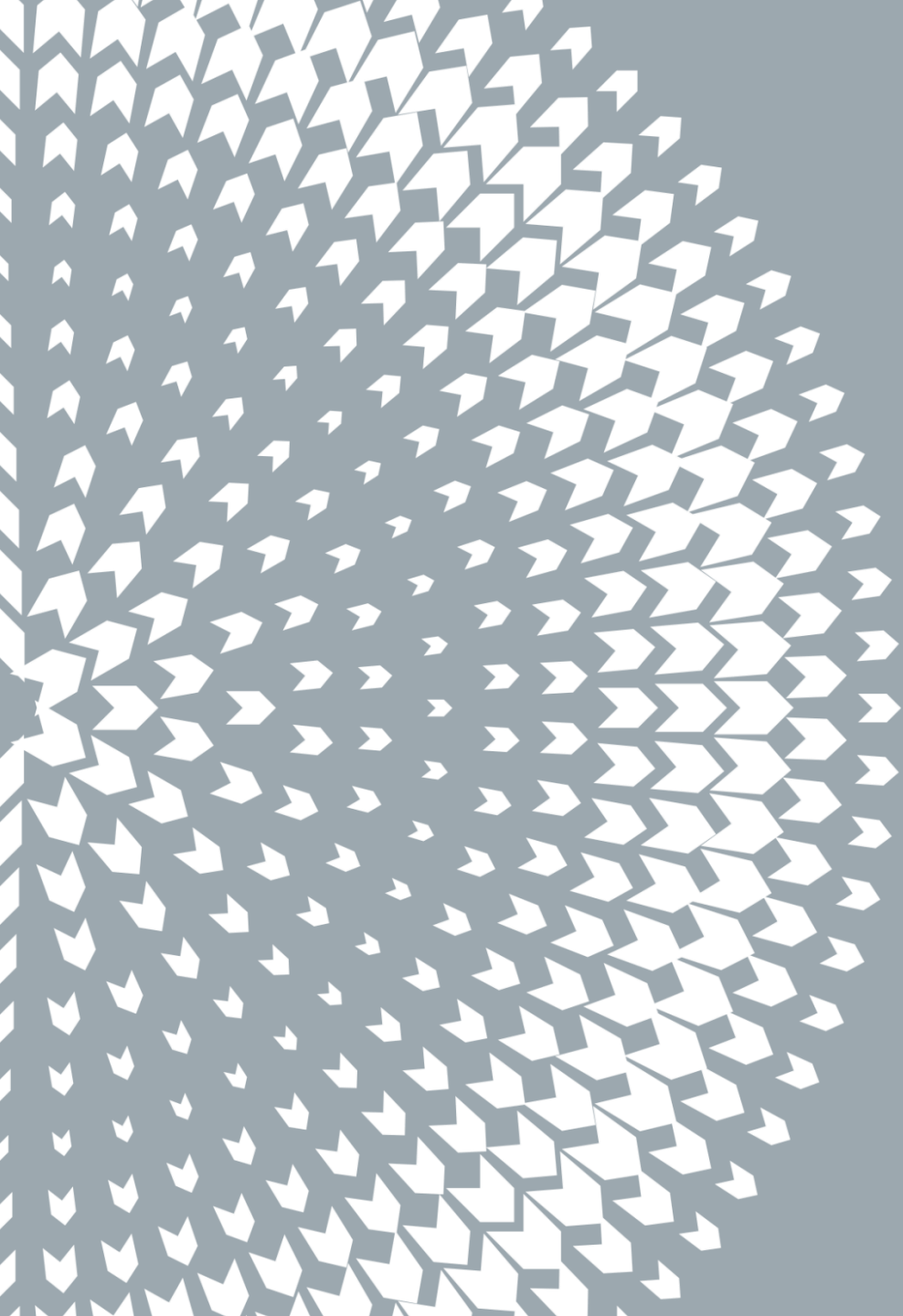
# Main Market - Highlights

# Monthly Report - Main Market

## Highlights

The total value of shares traded for the month ending 30 June 2021 amounted to SAR 294.72 billion, increasing by 85.68% over the previous month; while total stock market capitalization reached SAR 9,721.62 billion at the end of this period, increasing by 0.68% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 274.05 billion representing 92.99 % of total buying activity, and sales of SAR 273.32 billion representing 92.74 % of total selling activity. Total ownership of “Saudi Investors” stood at 96.64 % of total market capitalization as of 30 June 2021, representing a decrease of 0.10 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.58 billion representing 0.54 % of total buying activity, and sales of SAR 3.66 billion representing 1.24% of total selling activity. Total ownership of “GCC Investors” stood at 0.52% of total market capitalization as of 30 June 2021, representing a decrease of 0.006% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 19.09 billion representing 6.48% of total buying activity, and sales of SAR 17.74 billion representing 6.02% of total selling activity. Total ownership of “Foreign Investors” stood at 2.84% of total market capitalization as of 30 June 2021, representing an increase of 0.11% from the previous month.



## Main Market - Value Traded & Ownership Breakdown

# Monthly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	163,164,022,692	55.36%	161,884,163,401	54.93%	1,279,859,291	0.43%	
	HNWIs	87,485,235,643	29.68%	89,349,364,527	30.32%	-1,864,128,884	-0.63%	
	IPs	6,331,287,595	2.15%	6,387,147,611	2.17%	-55,860,016	-0.02%	
	Individual DPMs	1,740,089,694	0.59%	1,883,552,033	0.64%	-143,462,339	-0.05%	
	<b>Sub Total (Individuals)</b>	<b>258,720,635,623</b>	<b>87.79%</b>	<b>259,504,227,572</b>	<b>88.05%</b>	<b>-783,591,948</b>	<b>-0.27%</b>	
	<b>Institutions:</b>							
	Corporates	6,577,978,175	2.23%	5,221,490,131	1.77%	1,356,488,044	0.46%	
	Mutual Funds	5,445,893,217	1.85%	5,399,689,116	1.83%	46,204,100	0.02%	
	GRE's	381,057,884	0.13%	145,721,456	0.05%	235,336,428	0.08%	
	Institution DPMs	2,920,357,145	0.99%	3,046,404,001	1.03%	-126,046,857	-0.04%	
	<b>Sub Total (Institutions)</b>	<b>15,325,286,421</b>	<b>5.20%</b>	<b>13,813,304,704</b>	<b>4.69%</b>	<b>1,511,981,716</b>	<b>0.51%</b>	
	<b>Total Saudi Investors</b>	<b>274,045,922,044</b>	<b>92.99%</b>	<b>273,317,532,276</b>	<b>92.74%</b>	<b>728,389,768</b>	<b>0.25%</b>	
GCC	Individuals	370,440,900	0.13%	421,381,076	0.14%	-50,940,176	-0.02%	
	Institutions	1,194,266,418	0.41%	3,203,226,088	1.09%	-2,008,959,670	-0.68%	
	GCC DPMs	17,475,425	0.01%	35,271,904	0.01%	-17,796,479	-0.01%	
	<b>Total GCC Investors</b>	<b>1,582,182,743</b>	<b>0.54%</b>	<b>3,659,879,068</b>	<b>1.24%</b>	<b>-2,077,696,325</b>	<b>-0.70%</b>	
Foreign	SWAP Holders	46,654,505	0.02%	44,169,655	0.01%	2,484,850	0.00%	
	Foreign Residents & Others	4,243,233,974	1.44%	4,406,148,032	1.50%	-162,914,058	-0.06%	
	QFIs	14,682,773,367	4.98%	13,157,376,998	4.46%	1,525,396,368	0.52%	
	Foreign DPMs	114,962,964	0.04%	130,623,568	0.04%	-15,660,604	-0.01%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
<b>Total Foreign Investors</b>	<b>19,087,624,809</b>	<b>6.48%</b>	<b>17,738,318,253</b>	<b>6.02%</b>	<b>1,349,306,557</b>	<b>0.46%</b>		
<b>Grand Total</b>		<b>294,715,729,597</b>	<b>100.00%</b>	<b>294,715,729,597</b>	<b>100.00%</b>			

# Monthly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	39,452,796,389	13.39%	38,654,672,562	13.12%	798,123,827	0.27%
Non-Institutional	255,262,933,208	86.61%	256,061,057,035	86.88%	-798,123,827	-0.27%
<b>Grand Total</b>	<b>294,715,729,597</b>	<b>100.00%</b>	<b>294,715,729,597</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Monthly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 May 2021			As of 30 June 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	138,898,226,497	1.44%	8.68%	143,471,728,546	1.48%	8.62%	4,573,502,049	0.04%	-0.07%
	HNWIs	229,817,020,730	2.38%	14.19%	241,124,746,969	2.48%	14.30%	11,307,726,239	0.10%	0.11%
	IPIs	368,131,468,369	3.81%	16.66%	388,649,897,635	4.00%	16.84%	20,518,429,265	0.19%	0.18%
	Individual DPMs	6,919,998,806	0.07%	0.43%	6,786,058,833	0.07%	0.41%	-133,939,974	0.00%	-0.02%
	<b>Sub Total (Individuals)</b>	<b>743,766,714,403</b>	<b>7.70%</b>	<b>39.97%</b>	<b>780,032,431,982</b>	<b>8.02%</b>	<b>40.17%</b>	<b>36,265,717,579</b>	<b>0.32%</b>	<b>0.20%</b>
	<b>Institutions:</b>									
	Corporates	783,014,432,918	8.11%	22.30%	800,718,024,190	8.24%	22.22%	17,703,591,272	0.13%	-0.08%
	Mutual Funds	252,965,739,045	2.62%	10.98%	260,454,313,479	2.68%	11.09%	7,488,574,434	0.06%	0.11%
	GRE's	7,485,919,761,918	77.53%	6.36%	7,476,230,134,661	76.90%	6.24%	-9,689,627,257	-0.63%	-0.12%
	Institution DPMs	75,051,809,358	0.78%	4.69%	77,391,298,384	0.80%	4.63%	2,339,489,026	0.02%	-0.05%
	<b>Sub Total (Institutions)</b>	<b>8,596,951,743,239</b>	<b>89.04%</b>	<b>44.32%</b>	<b>8,614,793,770,714</b>	<b>88.61%</b>	<b>44.17%</b>	<b>17,842,027,475</b>	<b>-0.42%</b>	<b>-0.14%</b>
<b>Total Saudi Investors</b>	<b>9,340,718,457,641</b>	<b>96.74%</b>	<b>84.29%</b>	<b>9,394,826,202,696</b>	<b>96.64%</b>	<b>84.34%</b>	<b>54,107,745,055</b>	<b>-0.10%</b>	<b>0.06%</b>	
GCC	Individuals	3,215,289,260	0.03%	0.20%	3,302,744,312	0.03%	0.20%	87,455,052	0.00%	0.00%
	Institutions	47,364,350,528	0.49%	2.06%	47,013,197,212	0.48%	1.92%	-351,153,316	-0.01%	-0.15%
	GCC DPMs	306,280,894	0.00%	0.02%	297,192,687	0.00%	0.02%	-9,088,207	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>50,885,920,682</b>	<b>0.53%</b>	<b>2.28%</b>	<b>50,613,134,211</b>	<b>0.52%</b>	<b>2.13%</b>	<b>-272,786,472</b>	<b>-0.01%</b>	<b>-0.15%</b>
Foreign	SWAP Holders	2,131,194,995	0.02%	0.13%	2,257,818,818	0.02%	0.14%	126,623,823	0.00%	0.00%
	Foreign Residents & Others	7,635,922,009	0.08%	0.36%	7,890,735,897	0.08%	0.36%	254,813,887	0.00%	0.00%
	QFIs	206,601,192,155	2.14%	12.94%	216,733,056,013	2.23%	13.03%	10,131,863,858	0.09%	0.09%
	Foreign DPMs	19,559,460	0.00%	0.00%	18,807,601	0.00%	0.00%	-751,860	0.00%	0.00%
	Strategic Investors	47,617,456,854	0.49%	0.00%	49,278,839,640	0.51%	0.00%	1,661,382,787	0.01%	0.00%
	<b>Total Foreign Investors</b>	<b>264,005,325,473</b>	<b>2.73%</b>	<b>13.43%</b>	<b>276,179,257,969</b>	<b>2.84%</b>	<b>13.53%</b>	<b>12,173,932,496</b>	<b>0.11%</b>	<b>0.10%</b>
<b>Grand Total</b>		<b>9,655,609,703,797</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,721,618,594,876</b>	<b>100.00%</b>	<b>100.00%</b>	<b>66,008,891,079</b>		

\*Non deposited shares was not reflected as on 30 June holding value (Gulf General Cooperative Insurance Co. 8260 - Corporate Action - Right Issue)

\*Non deducted shares was not reflected as on 30 June holding value (Methanol Chemicals Co. 2001 - Corporate Action - Capital Decrease and Right Issue)

# Monthly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 May 2021			As of 30 June 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	9,277,957,359,473	96.07%	76.56%	9,327,808,116,677	95.93%	76.52%	49,850,757,204	-0.14%	-0.04%
<b>Non-Institutional</b>	377,652,344,324	3.93%	23.44%	393,810,478,199	4.07%	23.48%	16,158,133,875	0.14%	0.04%
<b>Grand Total</b>	<b>9,655,609,703,797</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,721,618,594,876</b>	<b>100.00%</b>	<b>100.00%</b>	<b>66,008,891,079</b>		

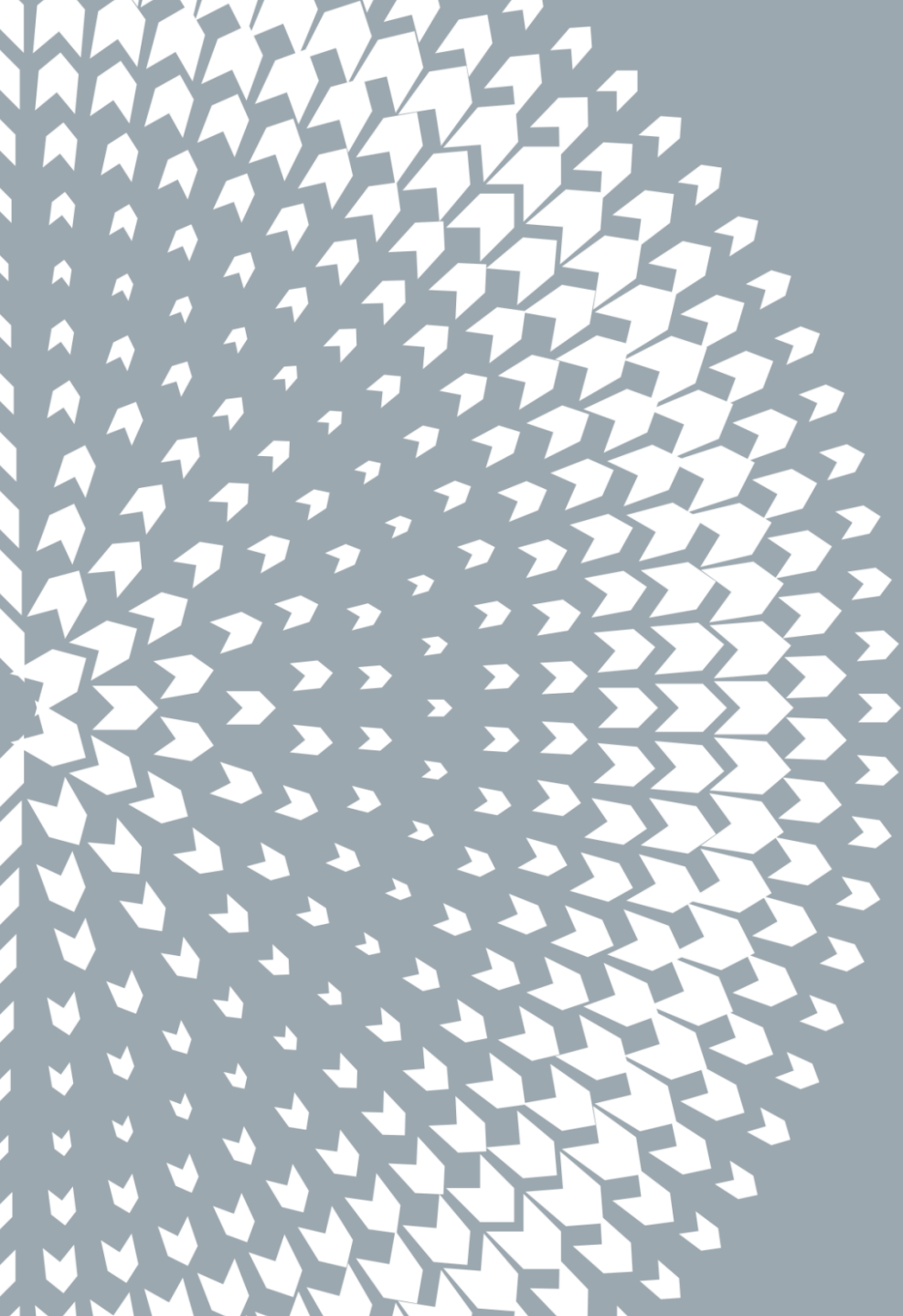
## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



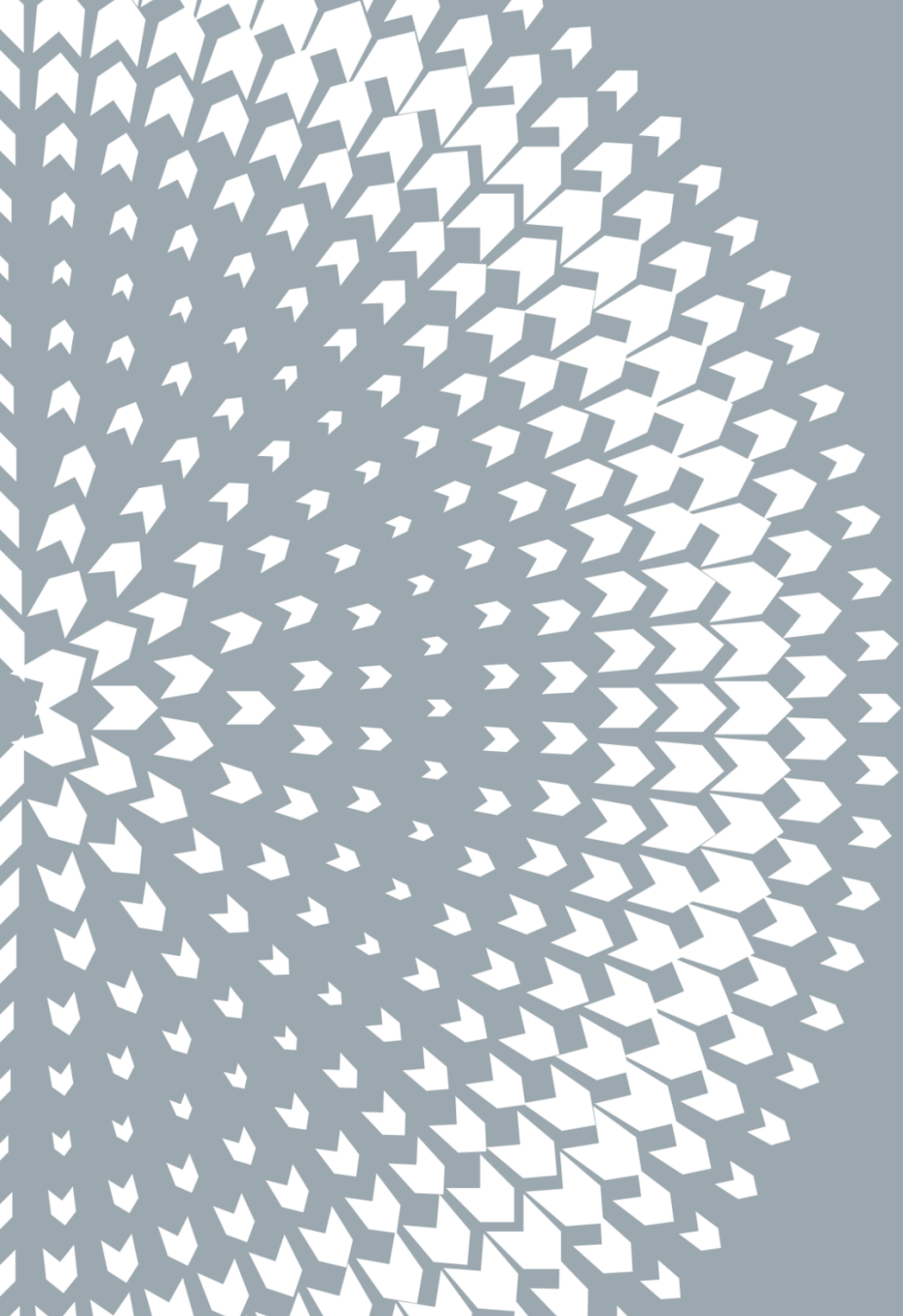
## Nomu Market - Highlights

# Monthly Report - Nomu-Parallel Market

## Highlights

The total value of shares traded for the month ending 30 June 2021 amounted to SAR 1,053.26 million, increasing by 38.03% over the previous month; while total stock market capitalization reached SAR 11,860.94 million at the end of this period, decreasing by 1.59% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 1,025.29 million representing 97.34% of total buying activity, and sales of SAR 1,005.16 million representing 95.43% of total selling activity. Total ownership of “Saudi Investors” stood at 99.36% of total market capitalization as of 30 June 2021, representing an increase of 0.18% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 2.80 million representing 0.27% of total buying activity, and sales of SAR 29.92 million representing 2.84% of total selling activity. Total ownership of “GCC Investors” stood at 0.15% of total market capitalization as of 30 June 2021, representing a decrease of 0.22% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 25.16 million representing 2.39% of total buying activity, and sales of SAR 18.18 million representing 1.73% of total selling activity. Total ownership of “Foreign Investors” stood at 0.50% of total market capitalization as of 30 June 2021, representing an increase of 0.04% from the previous month.



## Nomu-Parallel Market - Value Traded & Ownership Breakdown

# Monthly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	1,004,099,897	95.33%	660,326,868	62.69%	343,773,028	32.64%
	Corporates	12,751,910	1.21%	334,520,572	31.76%	-321,768,662	-30.55%
	Institution	8,442,479	0.80%	10,313,562	0.98%	-1,871,083	-0.18%
	<b>Total Saudi Investors</b>	<b>1,025,294,286</b>	<b>97.34%</b>	<b>1,005,161,002</b>	<b>95.43%</b>	<b>20,133,284</b>	<b>1.91%</b>
GCC	Individuals	2,803,193	0.27%	3,300,710	0.31%	-497,517	-0.05%
	Institutions	0	0.00%	26,618,046	2.53%	-26,618,046	-2.53%
	<b>Total GCC Investors</b>	<b>2,803,193</b>	<b>0.27%</b>	<b>29,918,756</b>	<b>2.84%</b>	<b>-27,115,563</b>	<b>-2.57%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	9,840,849	0.93%	8,894,006	0.84%	946,843	0.09%
	QFIs	15,322,764	1.45%	9,287,328	0.88%	6,035,436	0.57%
	<b>Total Foreign Investors</b>	<b>25,163,613</b>	<b>2.39%</b>	<b>18,181,334</b>	<b>1.73%</b>	<b>6,982,279</b>	<b>0.66%</b>
<b>Grand Total</b>		<b>1,053,261,092</b>	<b>100.00%</b>	<b>1,053,261,092</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	36,517,154	3.47%	380,739,508	36.15%	-344,222,354	-32.68%
<b>Non-Institutional</b>	1,016,743,938	96.53%	672,521,584	63.85%	344,222,354	32.68%
<b>Grand Total</b>	<b>1,053,261,092</b>	<b>100.00%</b>	<b>1,053,261,092</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Monthly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 May 2021			As of 30 June 2021			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,440,745,437	61.74%	49.56%	7,804,743,181	65.80%	56.32%	363,997,745	4.07%	6.76%
	Corporates	4,442,282,554	36.86%	47.14%	3,910,457,914	32.97%	41.06%	-531,824,641	-3.89%	-6.08%
	Institution	70,211,164	0.58%	0.75%	69,631,147	0.59%	0.73%	-580,017	0.00%	-0.01%
	<b>Total Saudi Investors</b>	<b>11,953,239,155</b>	<b>99.18%</b>	<b>97.45%</b>	<b>11,784,832,242</b>	<b>99.36%</b>	<b>98.12%</b>	<b>-168,406,913</b>	<b>0.18%</b>	<b>0.67%</b>
GCC	Individuals	3,985,353	0.03%	0.10%	4,301,241	0.04%	0.11%	315,888	0.00%	0.00%
	Institutions	39,768,858	0.33%	1.02%	12,941,752	0.11%	0.32%	-26,827,105	-0.22%	-0.70%
	<b>Total GCC Investors</b>	<b>43,754,210</b>	<b>0.36%</b>	<b>1.12%</b>	<b>17,242,993</b>	<b>0.15%</b>	<b>0.43%</b>	<b>-26,511,217</b>	<b>-0.22%</b>	<b>-0.70%</b>
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	10,583,381	0.09%	0.27%	11,646,834	0.10%	0.29%	1,063,453	0.01%	0.02%
	QFIs	44,957,253	0.37%	1.16%	47,215,931	0.40%	1.17%	2,258,677	0.03%	0.01%
	<b>Total Foreign Investors</b>	<b>55,540,635</b>	<b>0.46%</b>	<b>1.43%</b>	<b>58,862,765</b>	<b>0.50%</b>	<b>1.46%</b>	<b>3,322,130</b>	<b>0.04%</b>	<b>0.03%</b>
<b>Grand Total</b>		<b>12,052,534,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>11,860,938,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-191,596,000</b>		

### Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 31 May 2021			As of 30 June 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,597,219,830	38.14%	50.06%	4,040,246,744	34.06%	43.28%	-556,973,085	-4.08%	-6.78%
Non-Institutional	7,455,314,171	61.86%	49.94%	7,820,691,256	65.94%	56.72%	365,377,086	4.08%	6.78%
<b>Grand Total</b>	<b>12,052,534,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>11,860,938,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-191,596,000</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you