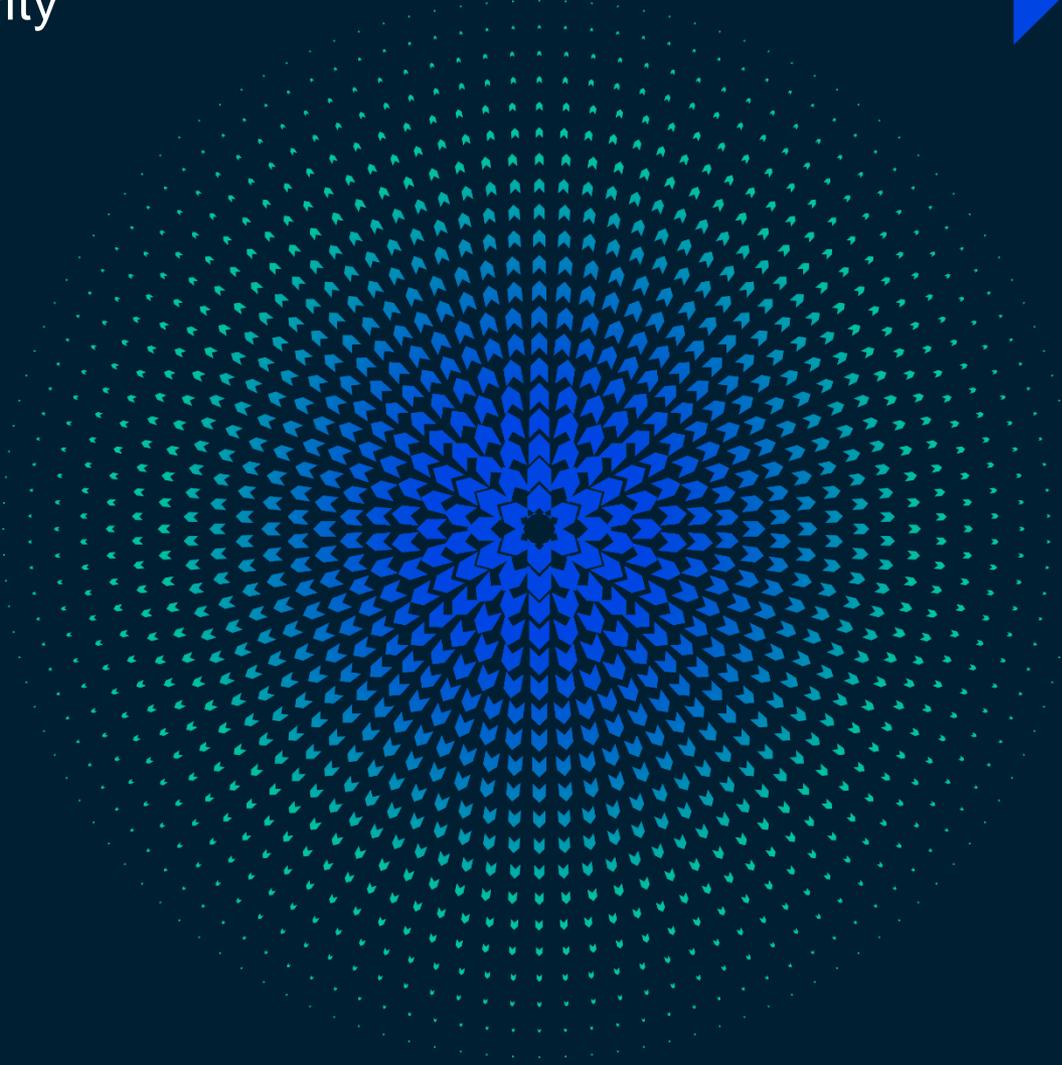


Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 21 October 2021



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	17,919,570,884	48.55%	17,897,836,714	48.50%	21,734,170	0.06%
	HNWIs	11,182,472,532	30.30%	11,719,728,347	31.76%	-537,255,814	-1.46%
	IPs	1,181,928,841	3.20%	1,328,894,579	3.60%	-146,965,738	-0.40%
	Individual DPMs	451,622,992	1.22%	517,946,864	1.40%	-66,323,873	-0.18%
	Sub Total (Individuals)	30,735,595,250	83.28%	31,464,406,504	85.26%	-728,811,254	-1.97%
	Institutions:						
	Corporates	1,409,508,960	3.82%	1,339,434,200	3.63%	70,074,759	0.19%
	Mutual Funds	661,881,191	1.79%	804,090,990	2.18%	-142,209,799	-0.39%
	GRE's	40,100,553	0.11%	15,573,034	0.04%	24,527,520	0.07%
	Institution DPMs	483,984,780	1.31%	471,837,234	1.28%	12,147,545	0.03%
	Sub Total (Institutions)	2,595,475,483	7.03%	2,630,935,458	7.13%	-35,459,975	-0.10%
	Total Saudi Investors	33,331,070,733	90.31%	34,095,341,962	92.38%	-764,271,229	-2.07%
	GCC	Individuals	75,038,522	0.20%	64,468,596	0.17%	10,569,925
Institutions		208,228,378	0.56%	189,027,742	0.51%	19,200,636	0.05%
GCC DPMs		2,260,357	0.01%	2,216,930	0.01%	43,427	0.00%
Total GCC Investors		285,527,257	0.77%	255,713,268	0.69%	29,813,989	0.08%
Foreign	SWAP Holders	15,168,373	0.04%	8,795,612	0.02%	6,372,761	0.02%
	Foreign Residents & Others	573,848,025	1.55%	584,950,457	1.58%	-11,102,431	-0.03%
	QFIs	2,695,189,337	7.30%	1,960,224,032	5.31%	734,965,305	1.99%
	Foreign DPMs	5,205,310	0.01%	983,704	0.00%	4,221,606	0.01%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	3,289,411,045	8.91%	2,554,953,804	6.92%	734,457,241	1.99%	
Grand Total		36,906,009,035	100.00%	36,906,009,035	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,155,079,071	19.39%	6,639,024,922	17.99%	516,054,150	1.40%
Non-Institutional	29,750,929,964	80.61%	30,266,984,113	82.01%	-516,054,150	-1.40%
Grand Total	36,906,009,035	100.00%	36,906,009,035	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 14 October 2021			As of 21 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	167,182,785,079	1.60%	9.49%	172,104,875,548	1.64%	9.56%	4,922,090,470	0.03%	0.07%
	HNWIs	246,580,597,031	2.37%	13.90%	250,497,288,772	2.38%	13.83%	3,916,691,740	0.02%	-0.08%
	IPIs	400,695,747,296	3.85%	16.78%	409,066,799,838	3.89%	16.70%	8,371,052,542	0.05%	-0.08%
	Individual DPMs	10,168,438,968	0.10%	0.58%	10,382,906,136	0.10%	0.58%	214,467,168	0.00%	0.00%
	Sub Total (Individuals)	824,627,568,374	7.91%	40.75%	842,051,870,294	8.02%	40.66%	17,424,301,920	0.10%	-0.09%
	Institutions:									
	Corporates	899,723,536,405	8.63%	23.55%	913,999,896,064	8.70%	23.63%	14,276,359,659	0.07%	0.09%
	Mutual Funds	284,118,629,334	2.73%	11.37%	290,111,020,249	2.76%	11.41%	5,992,390,915	0.03%	0.03%
	GRE's	7,964,905,772,668	76.44%	2.45%	8,003,219,183,477	76.18%	2.43%	38,313,410,808	-0.26%	-0.01%
	Institution DPMs	86,238,400,088	0.83%	4.91%	87,159,773,508	0.83%	4.86%	921,373,419	0.00%	-0.05%
	Sub Total (Institutions)	9,234,986,338,496	88.62%	42.28%	9,294,489,873,298	88.47%	42.33%	59,503,534,802	-0.15%	0.06%
	Total Saudi Investors	10,059,613,906,869	96.54%	83.03%	10,136,541,743,591	96.49%	82.99%	76,927,836,722	-0.05%	-0.04%
GCC	Individuals	3,509,426,089	0.03%	0.20%	3,592,539,854	0.03%	0.20%	83,113,765	0.00%	0.00%
	Institutions	47,779,412,871	0.46%	1.94%	48,420,278,634	0.46%	1.94%	640,865,763	0.00%	0.00%
	GCC DPMs	185,310,545	0.00%	0.01%	189,493,499	0.00%	0.01%	4,182,953	0.00%	0.00%
	Total GCC Investors	51,474,149,505	0.49%	2.16%	52,202,311,986	0.50%	2.16%	728,162,481	0.00%	0.00%
Foreign	SWAP Holders	2,298,973,906	0.02%	0.13%	2,332,847,641	0.02%	0.13%	33,873,734	0.00%	0.00%
	Foreign Residents & Others	7,697,726,020	0.07%	0.35%	7,817,749,136	0.07%	0.36%	120,023,116	0.00%	0.00%
	QFIs	245,852,238,916	2.36%	14.32%	251,711,360,733	2.40%	14.36%	5,859,121,817	0.04%	0.04%
	Foreign DPMs	24,724,082	0.00%	0.00%	24,688,762	0.00%	0.00%	-35,320	0.00%	0.00%
	Strategic Investors	53,445,585,117	0.51%	0.00%	55,121,087,855	0.52%	0.00%	1,675,502,738	0.01%	0.00%
Total Foreign Investors	309,319,248,042	2.97%	14.81%	317,007,734,127	3.02%	14.85%	7,688,486,086	0.05%	0.04%	
Grand Total		10,420,407,304,416	100.00%	100.00%	10,505,751,789,705	100.00%	100.00%	85,344,485,289		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 14 October 2021			As of 21 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,996,940,094,614	95.92%	76.05%	10,073,299,063,087	95.87%	76.06%	76,358,968,473	-0.05%	0.00%
Non-Institutional	423,467,209,802	4.08%	23.95%	432,452,726,618	4.13%	23.94%	8,985,516,816	0.05%	0.00%
Grand Total	10,420,407,304,416	100.00%	100.00%	10,505,751,789,705	100.00%	100.00%	85,344,485,289		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	134,607,150	93.49%	133,513,465	92.73%	1,093,684	0.76%
	Corporates	2,041,644	1.42%	3,662,611	2.54%	-1,620,966	-1.13%
	Institution	483,387	0.34%	645,069	0.45%	-161,683	-0.11%
	Total Saudi Investors	137,132,181	95.24%	137,821,146	95.72%	-688,965	-0.48%
GCC	Individuals	70,668	0.05%	450,432	0.31%	-379,764	-0.26%
	Institutions	186,449	0.13%	0	0.00%	186,449	0.13%
	Total GCC Investors	257,117	0.18%	450,432	0.31%	-193,315	-0.13%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,657,614	2.54%	3,520,027	2.44%	137,587	0.10%
	QFIs	2,935,604	2.04%	2,190,911	1.52%	744,693	0.52%
	Total Foreign Investors	6,593,218	4.58%	5,710,938	3.97%	882,280	0.61%
Grand Total		143,982,516	100.00%	143,982,516	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,647,084	3.92%	6,498,591	4.51%	-851,507	-0.59%
Non-Institutional	138,335,432	96.08%	137,483,925	95.49%	851,507	0.59%
Grand Total	143,982,516	100.00%	143,982,516	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 14 October 2021			As of 21 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	10,660,299,900	67.87%	61.94%	10,652,428,297	68.74%	62.70%	-7,871,603	0.86%	0.76%
	Corporates	4,818,799,096	30.68%	35.68%	4,621,736,222	29.82%	34.93%	-197,062,874	-0.86%	-0.76%
	Institution	112,906,317	0.72%	0.84%	109,896,273	0.71%	0.83%	-3,010,044	-0.01%	-0.01%
	Total Saudi Investors	15,592,005,313	99.27%	98.46%	15,384,060,792	99.27%	98.46%	-207,944,521	0.00%	-0.01%
GCC	Individuals	5,500,474	0.04%	0.07%	4,701,228	0.03%	0.06%	-799,246	0.00%	-0.01%
	Institutions	22,073,405	0.14%	0.30%	21,991,086	0.14%	0.30%	-82,318	0.00%	0.00%
	Total GCC Investors	27,573,879	0.18%	0.37%	26,692,315	0.17%	0.36%	-881,564	0.00%	-0.01%
Foreign	SWAP Holders	18,164,598	0.12%	0.25%	17,947,984	0.12%	0.25%	-216,614	0.00%	0.00%
	Foreign Residents	13,766,903	0.09%	0.19%	13,773,124	0.09%	0.19%	6,221	0.00%	0.00%
	QFIs	54,469,307	0.35%	0.74%	54,660,785	0.35%	0.75%	191,477	0.01%	0.01%
	Total Foreign Investors	86,400,808	0.55%	1.17%	86,381,893	0.56%	1.18%	-18,915	0.01%	0.01%
Grand Total		15,705,980,000	100.00%	100.00%	15,497,135,000	100.00%	100.00%	-208,845,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 14 October 2021			As of 21 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	5,026,412,723	32.00%	37.80%	4,826,232,350	31.14%	37.05%	-200,180,373	-0.86%	-0.75%
Non-Institutional	10,679,567,277	68.00%	62.20%	10,670,902,650	68.86%	62.95%	-8,664,628	0.86%	0.75%
Grand Total	15,705,980,000	100.00%	100.00%	15,497,135,000	100.00%	100.00%	-208,845,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you