

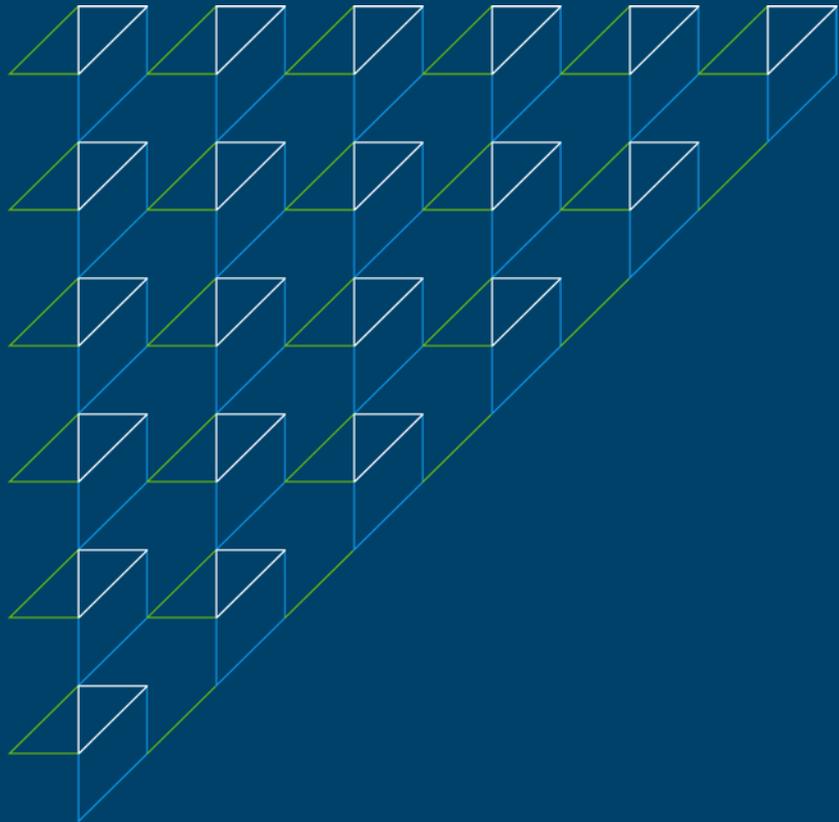
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 12 November 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

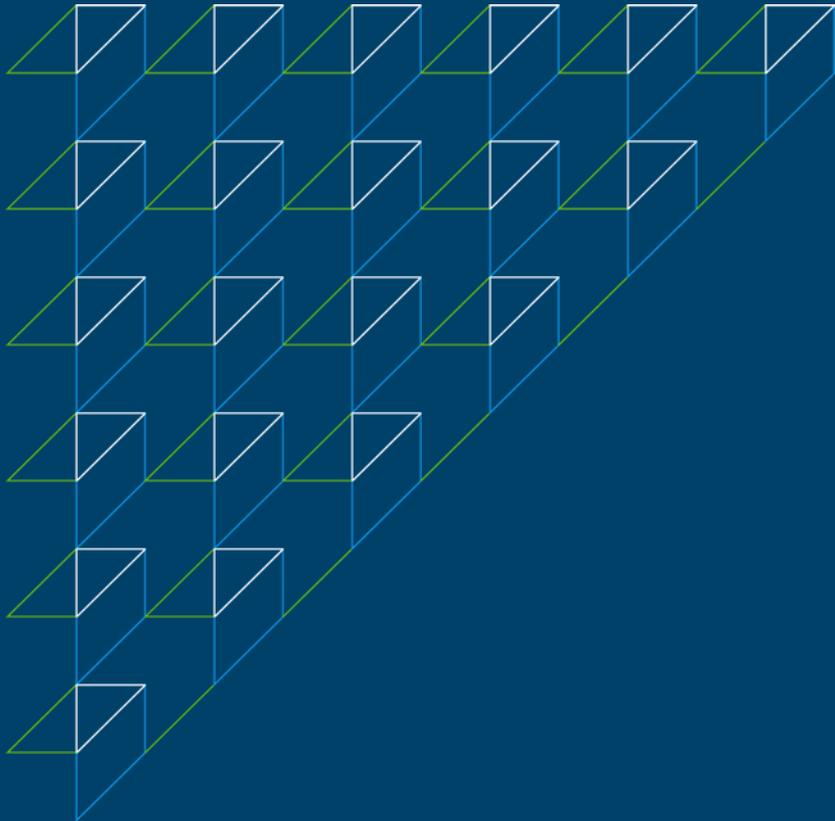
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	38,400,335,202	64.82%	38,069,279,411	64.26%	331,055,791	0.56%	
	HNWIs	13,325,425,870	22.49%	14,043,289,638	23.70%	-717,863,768	-1.21%	
	IPs	742,194,872	1.25%	1,065,558,425	1.80%	-323,363,554	-0.55%	
	Individual DPMs	373,491,139	0.63%	300,996,415	0.51%	72,494,723	0.12%	
	Sub Total (Individuals)	52,841,447,083	89.20%	53,479,123,890	90.27%	-637,676,808	-1.08%	
	Institutions:							
	Corporates	1,311,133,329	2.21%	1,230,795,941	2.08%	80,337,387	0.14%	
	Mutual Funds	520,619,428	0.88%	1,022,596,438	1.73%	-501,977,010	-0.85%	
	GRE's	140,396,984	0.24%	93,717,261	0.16%	46,679,723	0.08%	
	Institution DPMs	194,207,687	0.33%	251,476,452	0.42%	-57,268,765	-0.10%	
	Sub Total (Institutions)	2,166,357,428	3.66%	2,598,586,093	4.39%	-432,228,665	-0.73%	
	Total Saudi Investors	55,007,804,510	92.85%	56,077,709,983	94.66%	-1,069,905,472	-1.81%	
	GCC	Individuals	120,665,060	0.20%	85,592,162	0.14%	35,072,898	0.06%
Institutions		313,218,418	0.53%	254,044,174	0.43%	59,174,245	0.10%	
GCC DPMs		257,764	0.00%	103,913	0.00%	153,851	0.00%	
Total GCC Investors		434,141,242	0.73%	339,740,248	0.57%	94,400,994	0.16%	
Foreign	SWAP Holders	38,386,606	0.06%	19,395,491	0.03%	18,991,115	0.03%	
	Foreign Residents & Others	1,131,148,477	1.91%	1,174,057,408	1.98%	-42,908,931	-0.07%	
	QFIs	2,627,286,969	4.43%	1,630,832,884	2.75%	996,454,085	1.68%	
	Foreign DPMs	3,589,024	0.01%	620,814	0.00%	2,968,209	0.01%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	3,800,411,075	6.42%	2,824,906,597	4.77%	975,504,478	1.65%	
Grand Total		59,242,356,828	100.00%	59,242,356,828	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,264,782,218	10.57%	5,870,138,208	9.91%	394,644,010	0.67%
Non-Institutional	52,977,574,610	89.43%	53,372,218,620	90.09%	-394,644,010	-0.67%
Grand Total	59,242,356,828	100.00%	59,242,356,828	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 05 November 2020			As of 12 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	114,966,815,961	1.30%	9.55%	122,506,452,261	1.35%	9.75%	7,539,636,300	0.05%	0.20%
	HNWIs	168,122,666,381	1.90%	13.80%	175,618,386,910	1.94%	13.83%	7,495,720,529	0.04%	0.03%
	IPIs	279,564,995,875	3.16%	16.98%	286,451,976,599	3.16%	16.63%	6,886,980,724	0.00%	-0.35%
	Individual DPMs	5,751,742,499	0.06%	0.48%	5,995,326,790	0.07%	0.48%	243,584,290	0.00%	0.00%
	Sub Total (Individuals)	568,406,220,716	6.42%	40.81%	590,572,142,560	6.51%	40.69%	22,165,921,844	0.09%	-0.12%
	Institutions:									
	Corporates	577,491,054,872	6.52%	22.52%	603,419,682,716	6.65%	22.42%	25,928,627,844	0.13%	-0.10%
	Mutual Funds	195,860,137,950	2.21%	11.01%	207,532,045,109	2.29%	11.21%	11,671,907,159	0.08%	0.20%
	GRE's	7,230,899,480,583	81.67%	6.62%	7,374,116,779,275	81.30%	6.61%	143,217,298,692	-0.36%	-0.01%
	Institution DPMs	47,679,316,012	0.54%	3.96%	49,431,008,887	0.55%	3.94%	1,751,692,875	0.01%	-0.02%
Sub Total (Institutions)	8,051,929,989,417	90.94%	44.12%	8,234,499,515,987	90.79%	44.19%	182,569,526,570	-0.15%	0.07%	
Total Saudi Investors	8,620,336,210,134	97.36%	84.93%	8,825,071,658,547	97.30%	84.88%	204,735,448,414	-0.06%	-0.05%	
GCC	Individuals	2,339,624,604	0.03%	0.19%	2,490,106,891	0.03%	0.20%	150,482,286	0.00%	0.00%
	Institutions	37,718,874,600	0.43%	2.09%	39,276,841,168	0.43%	2.10%	1,557,966,567	0.01%	0.00%
	GCC DPMs	236,009,855	0.00%	0.02%	251,644,259	0.00%	0.02%	15,634,404	0.00%	0.00%
	Total GCC Investors	40,294,509,060	0.46%	2.31%	42,018,592,318	0.46%	2.31%	1,724,083,258	0.01%	0.01%
Foreign	SWAP Holders	1,998,203,363	0.02%	0.16%	2,088,456,226	0.02%	0.16%	90,252,863	0.00%	0.00%
	Foreign Residents & Others	7,322,924,867	0.08%	0.48%	7,756,059,058	0.09%	0.48%	433,134,191	0.00%	0.01%
	QFIs	145,680,780,196	1.65%	12.00%	152,440,437,773	1.68%	12.04%	6,759,657,576	0.04%	0.04%
	Foreign DPMs	1,543,336,451	0.02%	0.13%	1,534,419,457	0.02%	0.12%	-8,916,994	0.00%	-0.01%
	Strategic Investors	36,763,178,897	0.42%	0.00%	38,887,621,006	0.43%	0.00%	2,124,442,109	0.01%	0.00%
Total Foreign Investors	193,308,423,774	2.18%	12.77%	202,706,993,520	2.23%	12.81%	9,398,569,746	0.05%	0.04%	
Grand Total	8,853,939,142,967	100.00%	100.00%	9,069,797,244,385	100.00%	100.00%	215,858,101,418			

* Non deposited shares was not reflected as on 12 November holding value (Mobile Telecommunication Company Saudi Arabia, 7030 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 12 November holding value (Anaam International Holding Group, 4061 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 05 November 2020			As of 12 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,562,730,447,604	96.69%	75.98%	8,763,047,144,987	96.60%	75.74%	200,316,697,383	-0.09%	-0.24%
Non-Institutional	291,208,695,363	3.31%	24.02%	306,750,099,398	3.40%	24.26%	15,541,404,034	0.09%	0.24%
Grand Total	8,853,939,142,967	100.00%	100.00%	9,069,797,244,385	100.00%	100.00%	215,858,101,418		

Definitions

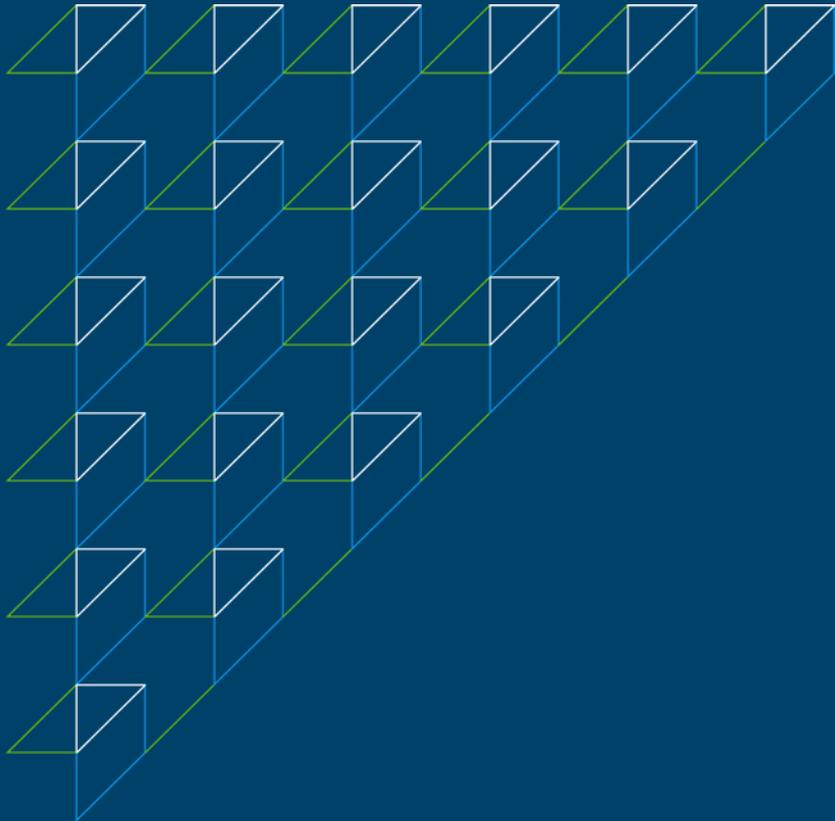
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	179,080,099	94.92%	180,028,726	95.43%	-948,627	-0.50%
	Corporates	1,853,695	0.98%	2,453,309	1.30%	-599,614	-0.32%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	180,933,794	95.91%	182,482,035	96.73%	-1,548,241	-0.82%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,963,947	3.16%	5,615,582	2.98%	348,364	0.18%
	QFIs	1,759,834	0.93%	559,957	0.30%	1,199,876	0.64%
	Total Foreign Investors	7,723,780	4.09%	6,175,540	3.27%	1,548,241	0.82%
Grand Total		188,657,574	100.00%	188,657,574	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,613,529	1.92%	3,013,266	1.60%	600,262	0.32%
Non-Institutional	185,044,046	98.08%	185,644,308	98.40%	-600,262	-0.32%
Grand Total	188,657,574	100.00%	188,657,574	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 05 November 2020			As of 12 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	5,715,427,931	64.33%	68.31%	7,117,808,292	69.20%	70.53%	1,402,380,361	4.87%	2.22%
	Corporates	3,055,867,603	34.39%	28.27%	3,050,797,024	29.66%	26.28%	-5,070,579	-4.73%	-1.98%
	Institution	12,027,171	0.14%	0.25%	12,515,584	0.12%	0.24%	488,414	-0.01%	-0.01%
	Total Saudi Investors	8,783,322,704	98.86%	96.82%	10,181,120,900	98.98%	97.05%	1,397,798,196	0.12%	0.23%
GCC	Individuals	3,027,747	0.03%	0.09%	3,154,004	0.03%	0.09%	126,257	0.00%	-0.01%
	Institutions	89,999,993	1.01%	2.81%	90,810,804	0.88%	2.55%	810,811	-0.13%	-0.26%
	Total GCC Investors	93,027,740	1.05%	2.91%	93,964,808	0.91%	2.64%	937,068	-0.13%	-0.27%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	8,458,998	0.10%	0.26%	9,896,068	0.10%	0.28%	1,437,070	0.00%	0.01%
	QFIs	190,558	0.00%	0.01%	1,018,224	0.01%	0.03%	827,666	0.01%	0.02%
	Total Foreign Investors	8,649,556	0.10%	0.27%	10,914,292	0.11%	0.31%	2,264,736	0.01%	0.04%
Grand Total		8,885,000,000	100.00%	100.00%	10,286,000,000	100.00%	100.00%	1,401,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 05 November 2020			As of 12 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,158,085,324	35.54%	31.33%	3,155,141,636	30.67%	29.10%	-2,943,688	-4.87%	-2.23%
Non-Institutional	5,726,914,676	64.46%	68.67%	7,130,858,364	69.33%	70.90%	1,403,943,688	4.87%	2.23%
Grand Total	8,885,000,000	100%	100%	10,286,000,000	100%	100%	1,401,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

