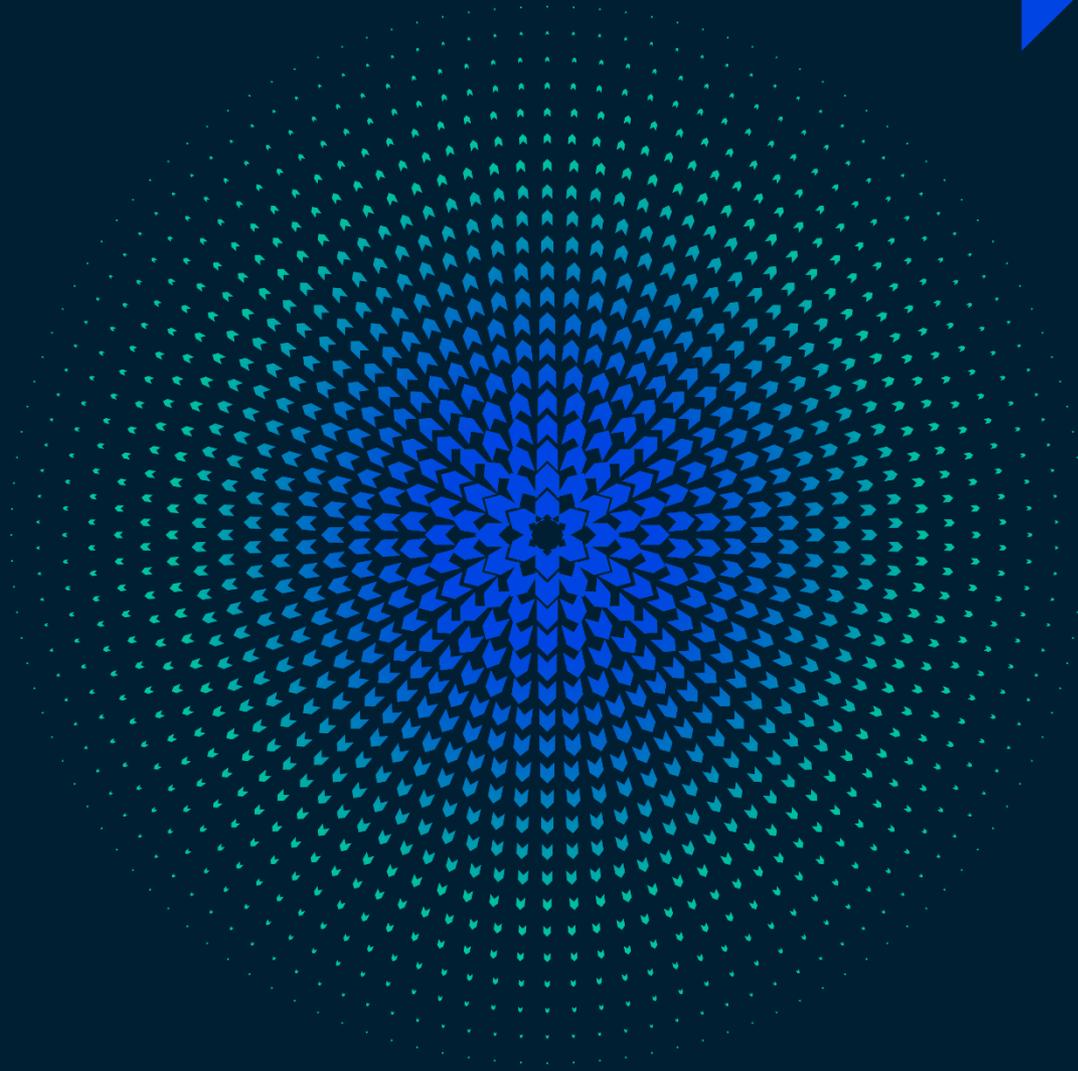


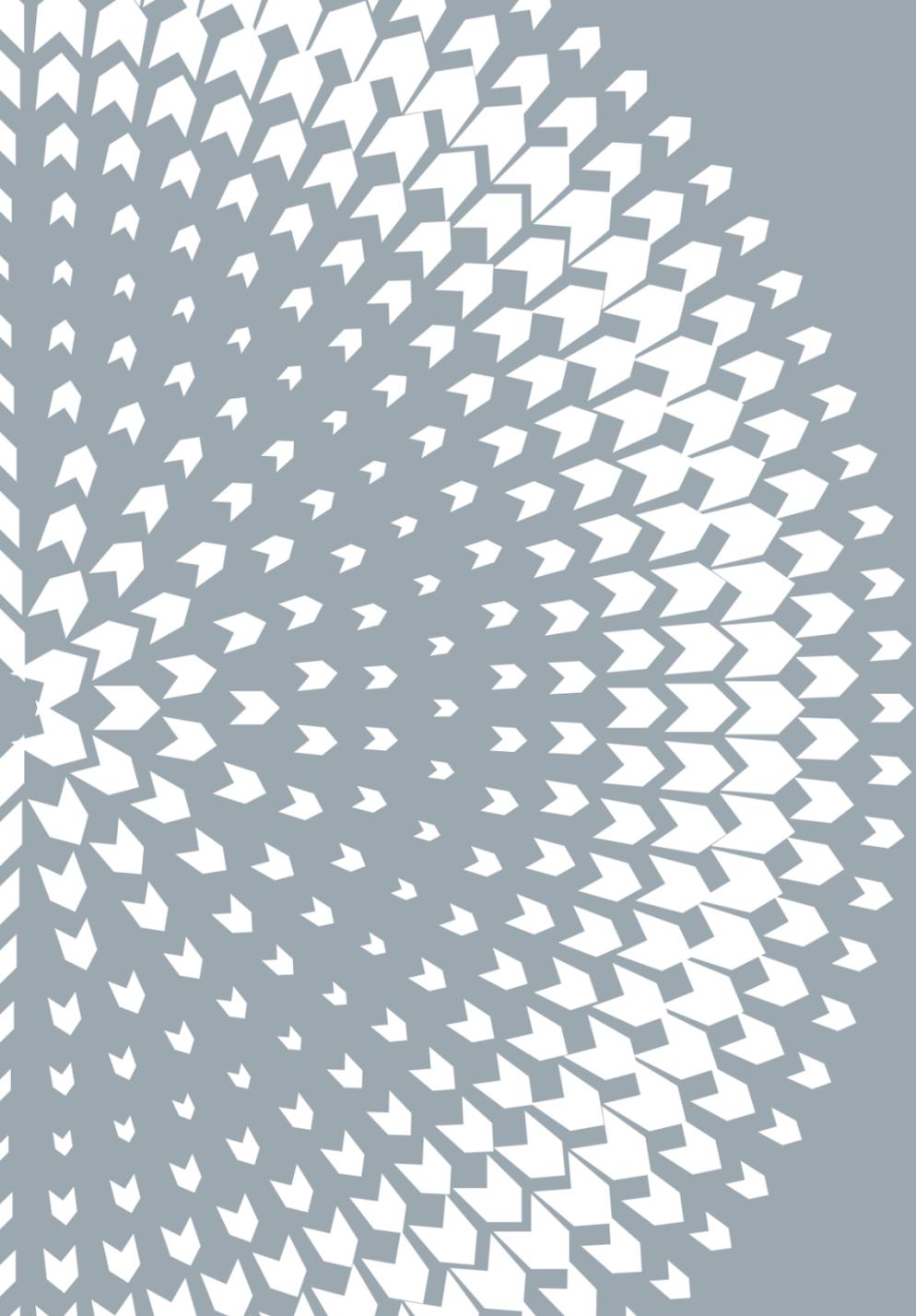
Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 30 November 2021



Definitions

Monthly Ownership and Trading Activity Report

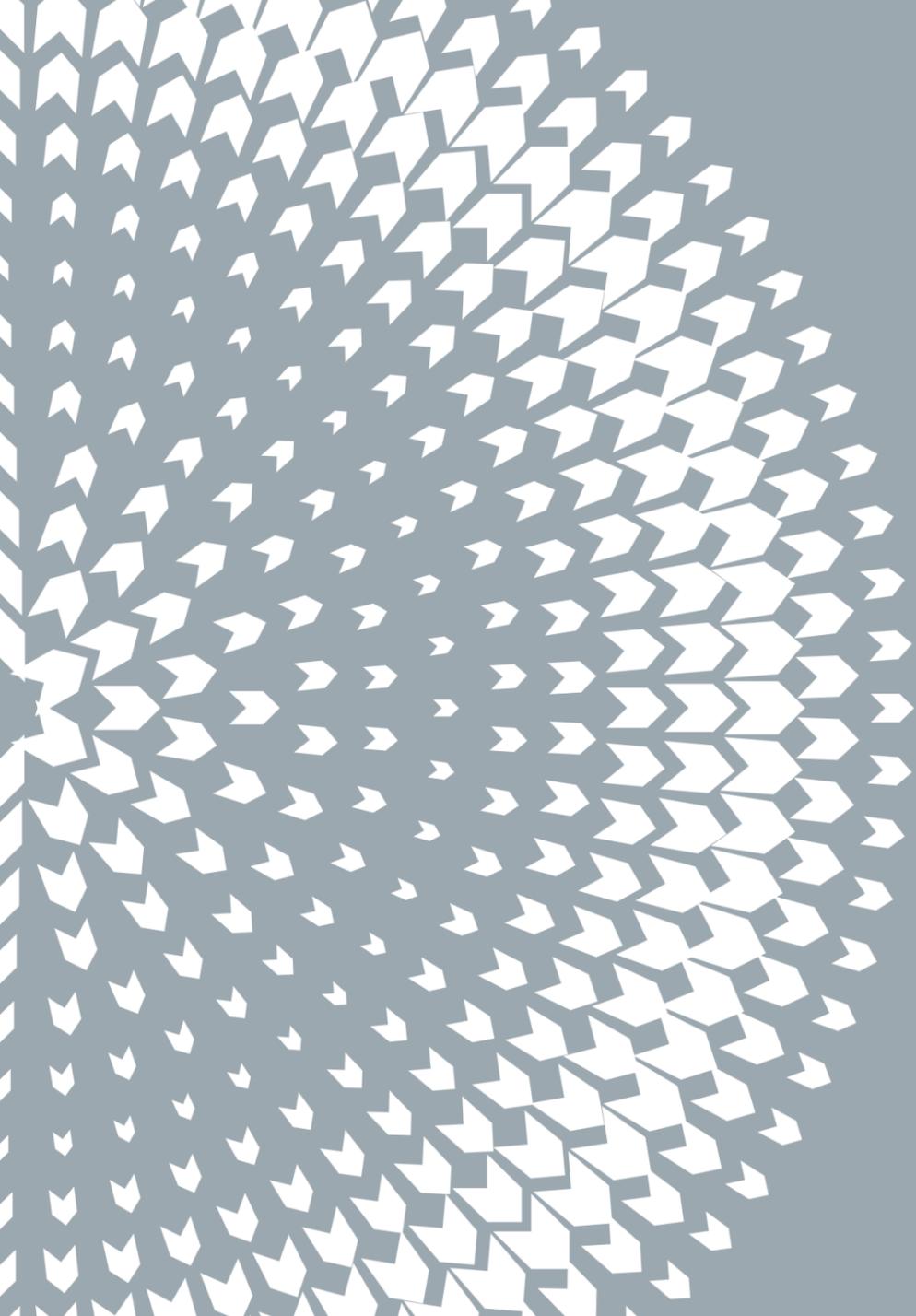
Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	58,782,912,472	44.10%	56,784,784,966	42.60%	1,998,127,505	1.50%	
	HNWIs	39,044,027,880	29.29%	39,272,897,823	29.46%	-228,869,943	-0.17%	
	IPIs	5,391,889,615	4.05%	5,037,133,304	3.78%	354,756,310	0.27%	
	Individual DPMs	1,425,056,616	1.07%	1,919,186,025	1.44%	-494,129,409	-0.37%	
	Sub Total (Individuals)	104,643,886,583	78.51%	103,014,002,119	77.28%	1,629,884,464	1.22%	
	Institutions:							
	Corporates	5,193,789,140	3.90%	5,695,834,905	4.27%	-502,045,765	-0.38%	
	Mutual Funds	3,418,738,804	2.56%	4,608,958,040	3.46%	-1,190,219,235	-0.89%	
	GRE's	62,516,503	0.05%	154,549,983	0.12%	-92,033,480	-0.07%	
	Institution DPMs	1,950,250,242	1.46%	2,142,440,563	1.61%	-192,190,321	-0.14%	
Sub Total (Institutions)	10,625,294,690	7.97%	12,601,783,491	9.45%	-1,976,488,800	-1.48%		
Total Saudi Investors	115,269,181,273	86.48%	115,615,785,609	86.74%	-346,604,336	-0.26%		
GCC	Individuals	279,298,508	0.21%	427,747,063	0.32%	-148,448,555	-0.11%	
	Institutions	1,478,474,803	1.11%	1,315,476,892	0.99%	162,997,911	0.12%	
	GCC DPMs	2,355,108	0.00%	6,461,408	0.00%	-4,106,300	0.00%	
	Total GCC Investors	1,760,128,418	1.32%	1,749,685,364	1.31%	10,443,055	0.01%	
Foreign	SWAP Holders	38,078,343	0.03%	225,538,680	0.17%	-187,460,337	-0.14%	
	Foreign Residents & Others	1,955,909,678	1.47%	1,901,127,221	1.43%	54,782,458	0.04%	
	QFIs	14,265,101,687	10.70%	13,794,420,581	10.35%	470,681,106	0.35%	
	Foreign DPMs	4,221,399	0.00%	6,063,344	0.00%	-1,841,944	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	16,263,311,107	12.20%	15,927,149,826	11.95%	336,161,282	0.25%	
Grand Total		133,292,620,799	100.00%	133,292,620,799	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	33,230,472,261	24.93%	34,906,063,725	26.19%	-1,675,591,464	-1.26%
Non-Institutional	100,062,148,538	75.07%	98,386,557,074	73.81%	1,675,591,464	1.26%
Grand Total	133,292,620,799	100.00%	133,292,620,799	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2021			As of 30 November 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	155,140,678,553	1.47%	8.83%	137,139,783,199	1.42%	8.47%	-18,000,895,354	-0.05%	-0.36%
	HNWs	250,281,569,071	2.38%	14.09%	234,809,972,217	2.44%	14.35%	-15,471,596,854	0.06%	0.25%
	IPIs	410,168,658,354	3.90%	17.09%	384,619,066,340	3.99%	17.35%	-25,549,592,014	0.10%	0.26%
	Individual DPMs	9,650,343,244	0.09%	0.55%	8,332,668,441	0.09%	0.51%	-1,317,674,803	-0.01%	-0.03%
	Sub Total (Individuals)	825,241,249,221	7.84%	40.57%	764,901,490,196	7.94%	40.69%	-60,339,759,024	0.10%	0.12%
	Institutions:									
	Corporates	884,507,273,398	8.41%	23.77%	802,666,449,502	8.34%	23.86%	-81,840,823,896	-0.07%	0.09%
	Mutual Funds	291,747,451,417	2.77%	11.38%	257,888,351,949	2.68%	11.37%	-33,859,099,468	-0.09%	-0.01%
	GRE's	8,073,691,758,072	76.74%	2.47%	7,393,943,108,267	76.80%	2.41%	-679,748,649,805	0.05%	-0.06%
	Institution DPMs	85,444,195,488	0.81%	4.85%	76,467,681,136	0.79%	4.71%	-8,976,514,352	-0.02%	-0.14%
	Sub Total (Institutions)	9,335,390,678,375	88.74%	42.48%	8,530,965,590,853	88.61%	42.35%	-804,425,087,521	-0.13%	-0.12%
Total Saudi Investors	10,160,631,927,596	96.58%	83.05%	9,295,867,081,050	96.55%	83.04%	-864,764,846,546	-0.03%	-0.01%	
GCC	Individuals	3,478,766,292	0.03%	0.20%	2,987,251,762	0.03%	0.19%	-491,514,530	0.00%	-0.01%
	Institutions	47,818,250,352	0.45%	1.94%	44,675,288,342	0.46%	1.93%	-3,142,962,009	0.01%	-0.01%
	GCC DPMs	182,941,437	0.00%	0.01%	166,953,050	0.00%	0.01%	-15,988,387	0.00%	0.00%
	Total GCC Investors	51,479,958,080	0.49%	2.15%	47,829,493,154	0.50%	2.13%	-3,650,464,926	0.01%	-0.02%
Foreign	SWAP Holders	2,307,502,357	0.02%	0.13%	2,079,999,687	0.02%	0.13%	-227,502,670	0.00%	0.00%
	Foreign Residents & Others	7,748,402,853	0.07%	0.36%	7,061,896,602	0.07%	0.36%	-686,506,251	0.00%	-0.01%
	QFIs	245,803,652,010	2.34%	14.30%	227,254,427,416	2.36%	14.34%	-18,549,224,593	0.02%	0.03%
	Foreign DPMs	24,383,480	0.00%	0.00%	22,937,055	0.00%	0.00%	-1,446,425	0.00%	0.00%
	Strategic Investors	52,223,134,253	0.50%	0.00%	47,775,926,048	0.50%	0.00%	-4,447,208,206	0.00%	0.00%
	Total Foreign Investors	308,107,074,952	2.93%	14.80%	284,195,186,808	2.95%	14.83%	-23,911,888,144	0.02%	0.02%
Grand Total		10,520,218,960,628	100.00%	100.00%	9,627,891,761,012	100.00%	100.00%	-892,327,199,616		

*Non deposited shares was not reflected as on 30 November holding value (The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Right Issue)

*Non deposited shares was not reflected as on 30 November holding value (Alkhaleej Training and Education Co. 4290 - Corporate Action -Right Issue)

*Non deposited shares was not reflected as on 30 November holding value (Naseej International Trading Co. ٢١٣ - Corporate Action -Right Issue)

*Non deposited shares was not reflected as on 30 November holding value (National Metal Manufacturing and Casting Co. 2220 - Corporate Action -Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 October 2021			As of 30 November 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,105,113,536,598	96.04%	76.51%	9,247,299,432,861	96.03%	76.63%	-857,814,103,736	-0.01%	0.13%
Non-Institutional	415,105,424,030	3.96%	23.49%	380,592,328,150	3.97%	23.37%	-34,513,095,880	0.01%	-0.13%
Grand Total	10,520,218,960,628	100.00%	100.00%	9,627,891,761,012	100.00%	100.00%	-892,327,199,616		

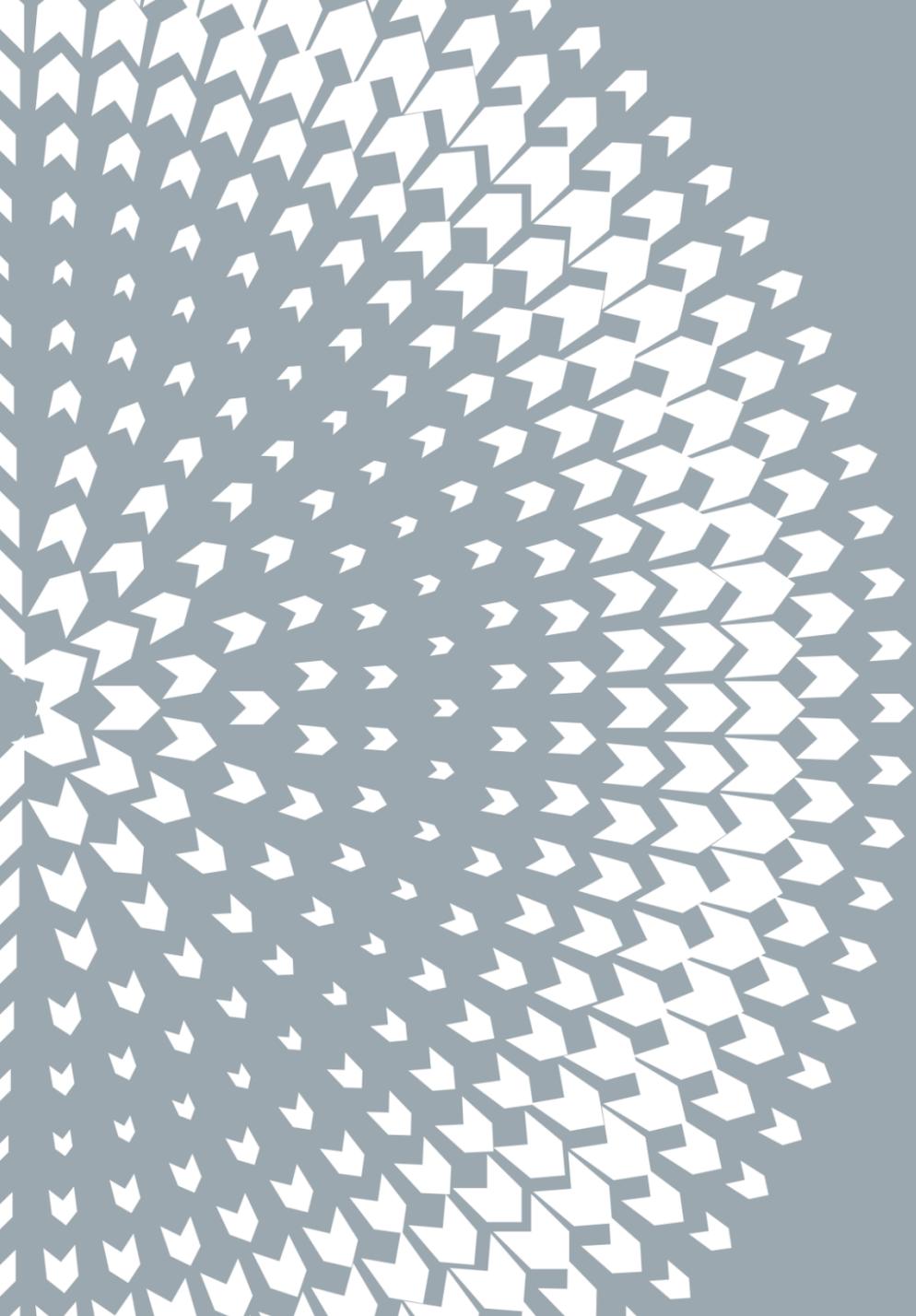
Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)



Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	1,276,321,015	94.90%	900,283,725	66.94%	376,037,290	27.96%
	Corporates	7,880,225	0.59%	403,088,038	29.97%	-395,207,813	-29.39%
	Institution	18,007,696	1.34%	4,189,769	0.31%	13,817,926	1.03%
	Total Saudi Investors	1,302,208,935	96.83%	1,307,561,532	97.23%	-5,352,597	-0.40%
GCC	Individuals	2,473,519	0.18%	2,491,860	0.19%	-18,341	0.00%
	Institutions	631,664	0.05%	169,483	0.01%	462,181	0.03%
	Total GCC Investors	3,105,183	0.23%	2,661,343	0.20%	443,840	0.03%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	20,510,201	1.53%	20,360,095	1.51%	150,106	0.01%
	QFIs	19,021,705	1.41%	14,263,055	1.06%	4,758,650	0.35%
	Total Foreign Investors	39,531,906	2.94%	34,623,149	2.57%	4,908,757	0.37%
Grand Total		1,344,846,024	100.00%	1,344,846,024	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	45,541,289	3.39%	421,710,345	31.36%	-376,169,055	-27.97%
Non-Institutional	1,299,304,735	96.61%	923,135,679	68.64%	376,169,055	27.97%
Grand Total	1,344,846,024	100.00%	1,344,846,024	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2021			As of 30 November 2021			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	12,615,295,519	71.97%	65.75%	12,224,907,660	74.45%	69.71%	-390,387,859	2.48%	3.96%
	Corporates	4,687,472,015	26.74%	32.04%	3,992,058,984	24.31%	28.05%	-695,413,031	-2.43%	-3.98%
	Institution	113,621,309	0.65%	0.78%	92,985,240	0.57%	0.65%	-20,636,069	-0.08%	-0.12%
	Total Saudi Investors	17,416,388,843	99.36%	98.57%	16,309,951,884	99.32%	98.42%	-1,106,436,958	-0.04%	-0.15%
GCC	Individuals	4,527,744	0.03%	0.06%	4,435,824	0.03%	0.06%	-91,920	0.00%	0.01%
	Institutions	21,469,756	0.12%	0.27%	20,023,832	0.12%	0.28%	-1,445,923	0.00%	0.01%
	Total GCC Investors	25,997,499	0.15%	0.33%	24,459,656	0.15%	0.35%	-1,537,843	0.00%	0.02%
Foreign	SWAP Holders	17,116,562	0.10%	0.22%	15,768,443	0.10%	0.22%	-1,348,119	0.00%	0.01%
	Foreign Residents	14,145,457	0.08%	0.18%	16,497,095	0.10%	0.23%	2,351,638	0.02%	0.05%
	QFIs	55,066,640	0.31%	0.70%	54,466,922	0.33%	0.77%	-599,718	0.02%	0.07%
	Total Foreign Investors	86,328,659	0.49%	1.10%	86,732,460	0.53%	1.23%	403,802	0.04%	0.13%
Grand Total		17,528,715,000	100.00%	100.00%	16,421,144,000	100.00%	100.00%	-1,107,571,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

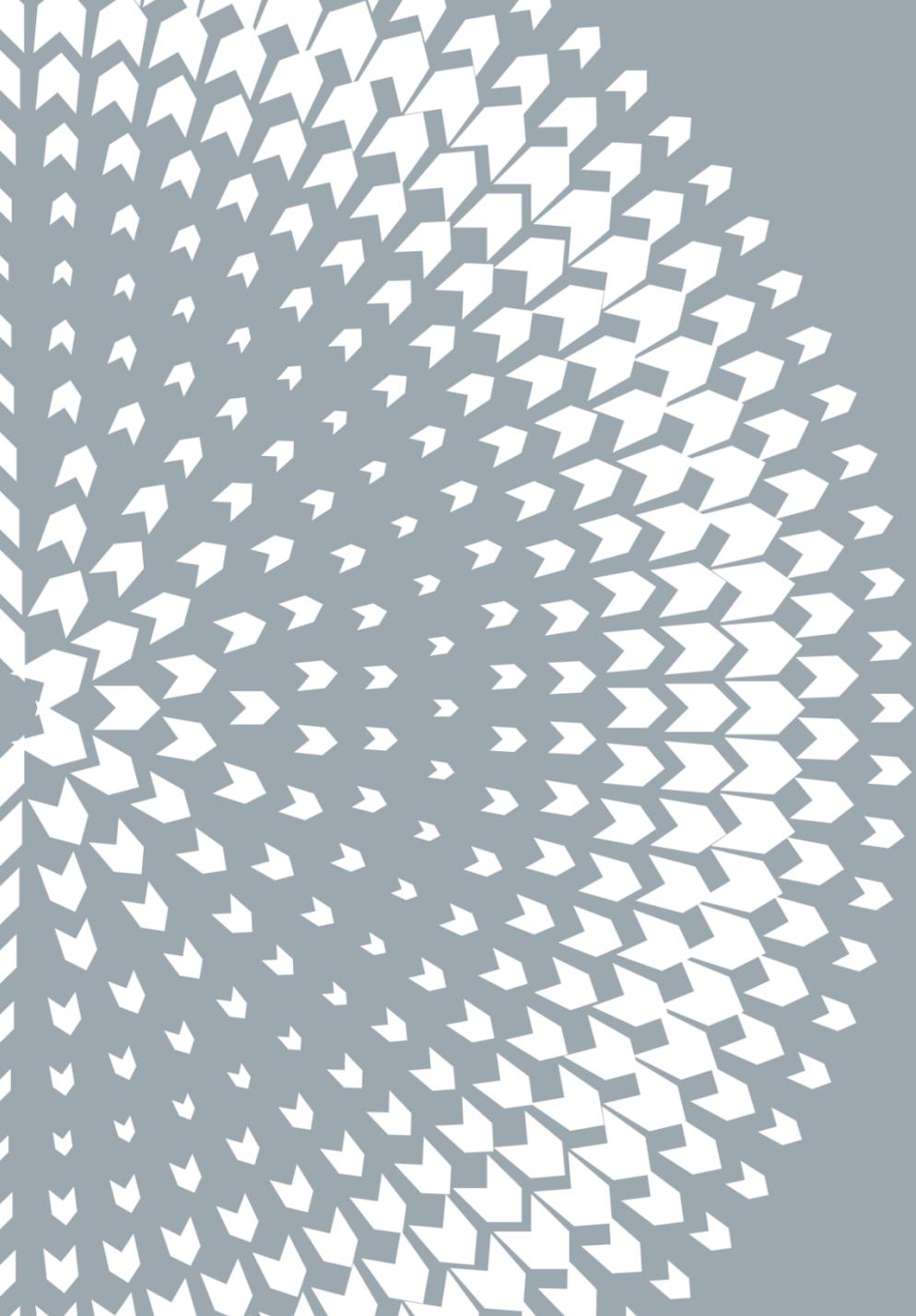
Investor Classification	As of 31 October 2021			As of 30 November 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,894,746,281	27.92%	34.01%	4,175,303,421	25.43%	29.99%	-719,442,860	-2.50%	-4.02%
Non-Institutional	12,633,968,719	72.08%	65.99%	12,245,840,579	74.57%	70.01%	-388,128,140	2.50%	4.02%
Grand Total	17,528,715,000	100.00%	100.00%	16,421,144,000	100.00%	100.00%	-1,107,571,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	1,538,225,392	95.98%	293,217,906	18.30%	1,245,007,486	77.68%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Individual	8,694,411	0.54%	12,350,453	0.77%	-3,656,042	-0.23%
	Institutions	6,431,229	0.40%	68,458,543	4.27%	-62,027,314	-3.87%
	Total Saudi Investors	1,553,351,032	96.92%	374,026,902	23.34%	1,179,324,130	73.59%
GCC	Institutions	0	0.00%	197,340,000	12.31%	-197,340,000	-12.31%
	Total GCC Investors	0	0.00%	197,340,000	12.31%	-197,340,000	-12.31%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	0	0.00%	0	0.00%
	QFIs	49,300,000	3.08%	1,031,284,130	64.35%	-981,984,130	-61.27%
	Total Foreign Investors	49,300,000	3.08%	1,031,284,130	64.35%	-981,984,130	-61.27%
Grand Total		1,602,651,032	100.00%	1,602,651,032	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,593,956,621	99.46%	1,590,300,579	99.23%	3,656,042	0.23%
Non-Institutional	8,694,411	0.54%	12,350,453	0.77%	-3,656,042	-0.23%
Grand Total	1,602,651,032	100.00%	1,602,651,032	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2021		As of 30 November 2021		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	358,073,577,680	77.81%	360,212,763,680	78.08%	2,139,186,000	0.27%
	GRE's	85,826,753,000	18.65%	86,030,492,000	18.65%	203,739,000	0.00%
	Individual	257,057,000	0.06%	253,040,000	0.05%	-4,017,000	0.00%
	Institutions	10,938,565,060	2.38%	10,883,255,060	2.36%	-55,310,000	-0.02%
	Total Saudi Investors	455,095,952,740	98.89%	457,379,550,740	99.15%	2,283,598,000	0.25%
GCC	Institutions	1,719,000,000	0.37%	1,519,000,000	0.33%	-200,000,000	-0.04%
	Total GCC Investors	1,719,000,000	0.37%	1,519,000,000	0.33%	-200,000,000	-0.04%
Foreign	SWAP Holders	100,000,000	0.02%	100,000,000	0.02%	0	0.00%
	Foreign Residents	10,000	0.00%	10,000	0.00%	0	0.00%
	QFIs	3,280,500,000	0.71%	2,322,500,000	0.50%	-958,000,000	-0.21%
	Total Foreign Investors	3,380,510,000	0.73%	2,422,510,000	0.53%	-958,000,000	-0.21%
Grand Total		460,195,462,740	100.00%	461,321,060,740	100.00%	1,125,598,000	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 31 October 2021		As of 30 November 2021		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	459,938,395,740	99.94%	461,068,010,740	99.95%	1,129,615,000	0.00%
Non-Institutional	257,067,000	0.06%	253,050,000	0.05%	-4,017,000	0.00%
Grand Total	460,195,462,740	100.00%	461,321,060,740	100.00%	1,125,598,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you