

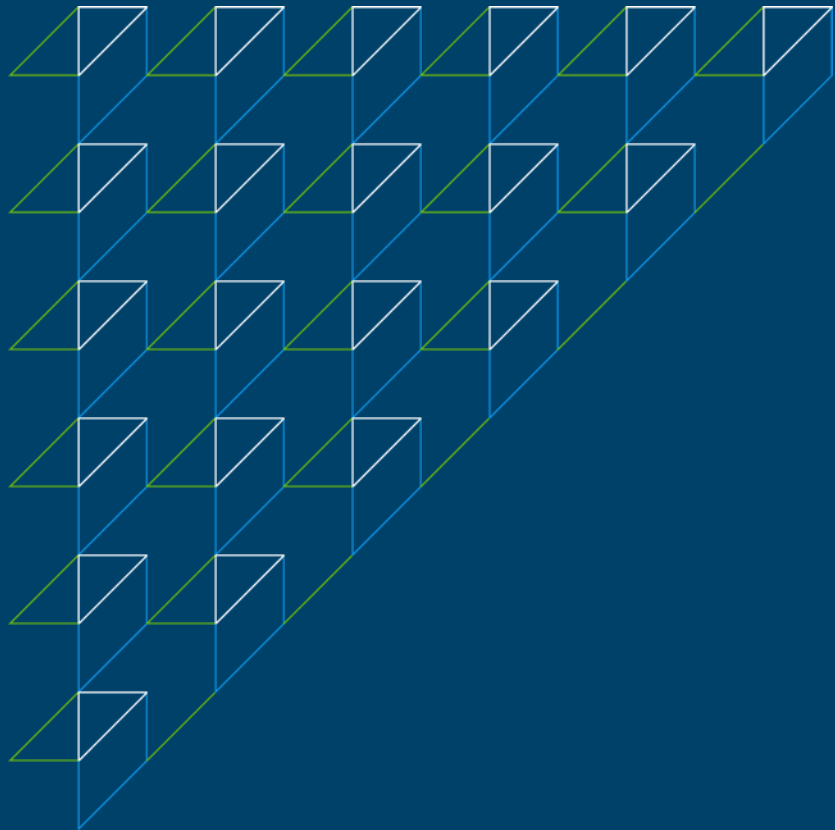
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 15 October 2020



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents &amp; Others</b>	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Investors</b>	A foreign legal entity that aims to own a strategic shareholding in listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	39,098,486,381	63.86%	39,712,323,342	64.87%	-613,836,961	-1.00%
	HNWIs	14,003,321,417	22.87%	15,479,612,185	25.28%	-1,476,290,768	-2.41%
	IPs	1,442,354,016	2.36%	1,289,922,703	2.11%	152,431,313	0.25%
	Individual DPMs	548,767,691	0.90%	445,495,251	0.73%	103,272,440	0.17%
	<b>Sub Total (Individuals)</b>	<b>55,092,929,505</b>	<b>89.99%</b>	<b>56,927,353,481</b>	<b>92.99%</b>	<b>-1,834,423,976</b>	<b>-3.00%</b>
	<b>Institutions:</b>						
	Corporates	1,149,776,814	1.88%	731,942,052	1.20%	417,834,762	0.68%
	Mutual Funds	632,740,717	1.03%	558,703,157	0.91%	74,037,560	0.12%
	GRE's	100,447,428	0.16%	38,681,009	0.06%	61,766,419	0.10%
	Institution DPMs	365,283,839	0.60%	303,077,027	0.50%	62,206,812	0.10%
	<b>Sub Total (Institutions)</b>	<b>2,248,248,798</b>	<b>3.67%</b>	<b>1,632,403,246</b>	<b>2.67%</b>	<b>615,845,552</b>	<b>1.01%</b>
	<b>Total Saudi Investors</b>	<b>57,341,178,303</b>	<b>93.66%</b>	<b>58,559,756,727</b>	<b>95.65%</b>	<b>-1,218,578,423</b>	<b>-1.99%</b>
	GCC	Individuals	112,793,448	0.18%	106,266,730	0.17%	6,526,718
Institutions		389,399,473	0.64%	235,016,357	0.38%	154,383,116	0.25%
GCC DPMs		1,997,824	0.00%	25,553,468	0.04%	-23,555,645	-0.04%
<b>Total GCC Investors</b>		<b>504,190,745</b>	<b>0.82%</b>	<b>366,836,555</b>	<b>0.60%</b>	<b>137,354,190</b>	<b>0.22%</b>
Foreign	SWAP Holders	31,145,588	0.05%	29,383,415	0.05%	1,762,174	0.00%
	Foreign Residents & Others	1,109,717,648	1.81%	1,130,723,829	1.85%	-21,006,181	-0.03%
	QFIs	2,234,170,981	3.65%	1,134,473,358	1.85%	1,099,697,623	1.80%
	Foreign DPMs	770,618	0.00%	0	0.00%	770,618	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>3,375,804,835</b>	<b>5.51%</b>	<b>2,294,580,602</b>	<b>3.75%</b>	<b>1,081,224,234</b>	<b>1.77%</b>
<b>Grand Total</b>		<b>61,221,173,883</b>	<b>100.00%</b>	<b>61,221,173,883</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,896,854,990	11.27%	4,792,247,798	7.83%	2,104,607,192	3.44%
Non-Institutional	54,324,318,894	88.73%	56,428,926,085	92.17%	-2,104,607,192	-3.44%
<b>Grand Total</b>	<b>61,221,173,883</b>	<b>100.00%</b>	<b>61,221,173,883</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 08 October 2020			As of 15 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	124,957,922,533	1.36%	10.03%	126,935,428,363	1.38%	10.03%	1,977,505,830	0.02%	0.01%
	HNWIs	175,881,023,280	1.91%	13.94%	177,575,784,277	1.93%	13.86%	1,694,760,997	0.02%	-0.08%
	IPIs	290,976,638,702	3.17%	16.90%	295,683,301,253	3.22%	16.96%	4,706,662,552	0.05%	0.06%
	Individual DPMs	6,199,135,299	0.07%	0.50%	6,199,994,245	0.07%	0.49%	858,945	0.00%	-0.01%
	<b>Sub Total (Individuals)</b>	<b>598,014,719,813</b>	<b>6.51%</b>	<b>41.36%</b>	<b>606,394,508,137</b>	<b>6.60%</b>	<b>41.34%</b>	<b>8,379,788,324</b>	<b>0.09%</b>	<b>-0.02%</b>
	<b>Institutions:</b>									
	Corporates	587,709,005,190	6.40%	22.29%	600,926,993,862	6.54%	22.24%	13,217,988,672	0.14%	-0.05%
	Mutual Funds	198,108,575,654	2.16%	11.00%	203,144,804,249	2.21%	11.01%	5,036,228,595	0.05%	0.01%
	GRE's	7,512,927,783,990	81.76%	6.56%	7,483,527,792,267	81.42%	6.57%	-29,399,991,724	-0.34%	0.01%
	Institution DPMs	48,622,052,604	0.53%	3.89%	49,619,096,924	0.54%	3.91%	997,044,319	0.01%	0.02%
	<b>Sub Total (Institutions)</b>	<b>8,347,367,417,439</b>	<b>90.85%</b>	<b>43.74%</b>	<b>8,337,218,687,301</b>	<b>90.71%</b>	<b>43.73%</b>	<b>-10,148,730,138</b>	<b>-0.14%</b>	<b>0.00%</b>
	<b>Total Saudi Investors</b>	<b>8,945,382,137,252</b>	<b>97.35%</b>	<b>85.10%</b>	<b>8,943,613,195,438</b>	<b>97.31%</b>	<b>85.07%</b>	<b>-1,768,941,814</b>	<b>-0.05%</b>	<b>-0.02%</b>
GCC	Individuals	2,556,591,637	0.03%	0.20%	2,613,454,282	0.03%	0.20%	56,862,645	0.00%	0.00%
	Institutions	40,096,029,953	0.44%	2.12%	40,537,493,648	0.44%	2.09%	441,463,695	0.00%	-0.02%
	GCC DPMs	290,635,959	0.00%	0.02%	279,202,706	0.00%	0.02%	-11,433,253	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>42,943,257,548</b>	<b>0.47%</b>	<b>2.34%</b>	<b>43,430,150,635</b>	<b>0.47%</b>	<b>2.32%</b>	<b>486,893,087</b>	<b>0.01%</b>	<b>-0.02%</b>
Foreign	SWAP Holders	2,094,774,861	0.02%	0.17%	2,112,175,228	0.02%	0.16%	17,400,366	0.00%	0.00%
	Foreign Residents & Others	7,827,664,536	0.09%	0.49%	7,934,407,981	0.09%	0.49%	106,743,445	0.00%	0.00%
	QFIs	148,298,382,814	1.61%	11.79%	151,632,405,732	1.65%	11.84%	3,334,022,918	0.04%	0.05%
	Foreign DPMs	1,483,825,255	0.02%	0.12%	1,513,997,599	0.02%	0.12%	30,172,344	0.00%	0.00%
	Strategic Investors	40,418,501,301	0.44%	0.00%	40,755,222,728	0.44%	0.00%	336,721,427	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>200,123,148,767</b>	<b>2.18%</b>	<b>12.56%</b>	<b>203,948,209,268</b>	<b>2.22%</b>	<b>12.61%</b>	<b>3,825,060,501</b>	<b>0.04%</b>	<b>0.05%</b>
<b>Grand Total</b>		<b>9,188,448,543,567</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,190,991,555,341</b>	<b>100.00%</b>	<b>100.00%</b>	<b>2,543,011,774</b>		

\* Non deposited shares was not reflected as on 15 October holding value (Al Kathiri Holding Co. 3008 - Corporate Action -Right Issue)

\* Non deposited shares for Mobile Telecommunication Company Saudi Arabia. 7030 (Corporate Action, Capital Increase) was not reflected on 15 October

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 08 October 2020			As of 15 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	8,878,881,375,610	96.61%	75.34%	8,877,589,025,712	96.57%	75.42%	-1,292,349,898	-0.04%	0.07%
<b>Non-Institutional</b>	309,567,167,958	3.39%	24.66%	313,402,529,630	3.43%	24.58%	3,835,361,672	0.04%	-0.07%
<b>Grand Total</b>	<b>9,188,448,543,567</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,190,991,555,341</b>	<b>100.00%</b>	<b>100.00%</b>	<b>2,543,011,774</b>		

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	181,485,908	95.41%	178,356,553	93.77%	3,129,354	1.65%
	Corporates	4,905,981	2.58%	8,813,393	4.63%	-3,907,412	-2.05%
	Institution	1,243,784	0.65%	0	0.00%	1,243,784	0.65%
	<b>Total Saudi Investors</b>	<b>187,635,673</b>	<b>98.65%</b>	<b>187,169,946</b>	<b>98.40%</b>	<b>465,727</b>	<b>0.24%</b>
GCC	Individuals	0	0.00%	68,650	0.04%	-68,650	-0.04%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>68,650</b>	<b>0.04%</b>	<b>-68,650</b>	<b>-0.04%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,419,294	0.75%	2,589,312	1.36%	-1,170,018	-0.62%
	QFIs	1,154,025	0.61%	381,083	0.20%	772,942	0.41%
	<b>Total Foreign Investors</b>	<b>2,573,319</b>	<b>1.35%</b>	<b>2,970,395</b>	<b>1.56%</b>	<b>-397,076</b>	<b>-0.21%</b>
<b>Grand Total</b>		<b>190,208,991</b>	<b>100.00%</b>	<b>190,208,991</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,303,790	3.84%	9,194,476	4.83%	-1,890,686	-0.99%
Non-Institutional	182,905,201	96.16%	181,014,515	95.17%	1,890,686	0.99%
<b>Grand Total</b>	<b>190,208,991</b>	<b>100.00%</b>	<b>190,208,991</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 08 October 2020			As of 15 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	4,873,306,690	62.92%	66.58%	4,542,246,392	59.15%	66.23%	-331,060,298	-3.77%	-0.34%
	Corporates	2,765,016,080	35.70%	29.88%	3,027,501,348	39.42%	30.14%	262,485,268	3.72%	0.26%
	Institution	10,012,423	0.13%	0.22%	11,595,955	0.15%	0.25%	1,583,531	0.02%	0.03%
	<b>Total Saudi Investors</b>	<b>7,648,335,193</b>	<b>98.75%</b>	<b>96.68%</b>	<b>7,581,343,695</b>	<b>98.72%</b>	<b>96.63%</b>	<b>-66,991,499</b>	<b>-0.02%</b>	<b>-0.05%</b>
GCC	Individuals	3,019,522	0.04%	0.10%	3,016,836	0.04%	0.10%	-2,686	0.00%	0.00%
	Institutions	88,054,047	1.14%	3.01%	89,675,669	1.17%	3.08%	1,621,622	0.03%	0.07%
	<b>Total GCC Investors</b>	<b>91,073,570</b>	<b>1.18%</b>	<b>3.11%</b>	<b>92,692,505</b>	<b>1.21%</b>	<b>3.18%</b>	<b>1,618,935</b>	<b>0.03%</b>	<b>0.07%</b>
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	6,091,237	0.08%	0.21%	5,351,653	0.07%	0.18%	-739,584	-0.01%	-0.02%
	QFIs	0	0.00%	0.00%	112,147	0.00%	0.00%	112,147	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>6,091,237</b>	<b>0.08%</b>	<b>0.21%</b>	<b>5,463,800</b>	<b>0.07%</b>	<b>0.19%</b>	<b>-627,437</b>	<b>-0.01%</b>	<b>-0.02%</b>
<b>Grand Total</b>		<b>7,745,500,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>7,679,500,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>-66,000,000</b>		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 08 October 2020			As of 15 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	2,863,082,551	36.96%	33.11%	3,128,885,119	40.74%	33.48%	265,802,568	3.78%	0.37%
<b>Non-Institutional</b>	4,882,417,449	63.04%	66.89%	4,550,614,881	59.26%	66.52%	-331,802,568	-3.78%	-0.37%
<b>Grand Total</b>	<b>7,745,500,000</b>	<b>100%</b>	<b>100%</b>	<b>7,679,500,000</b>	<b>100%</b>	<b>100%</b>	<b>-66,000,000</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

# Thank you

