

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 16 May 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the Investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,181,169,676	25.02%	6,767,017,917	27.39%	-585,848,241	-2.37%
	HNWIs	5,430,224,877	21.98%	5,839,215,653	23.64%	-408,990,776	-1.66%
	IPIs	1,003,005,362	4.06%	1,020,434,717	4.13%	-17,429,354	-0.07%
	Individual DPMs	260,561,298	1.05%	229,128,301	0.93%	31,432,997	0.13%
	Sub Total (Individuals)	12,874,961,213	52.12%	13,855,796,588	56.09%	-980,835,374	-3.97%
	Institutions:						
	Corporates	1,073,132,957	4.34%	3,686,522,040	14.92%	-2,613,389,083	-10.58%
	Mutual Funds	796,900,482	3.23%	1,140,382,986	4.62%	-343,482,503	-1.39%
	GRE's	1,875,339	0.01%	212,824,231	0.86%	-210,948,892	-0.85%
	Institution DPMs	302,323,949	1.22%	375,512,656	1.52%	-73,188,707	-0.30%
	Sub Total (Institutions)	2,174,232,727	8.80%	5,415,241,912	21.92%	-3,241,009,185	-13.12%
	Total Saudi Investors	15,049,193,940	60.92%	19,271,038,500	78.01%	-4,221,844,560	-17.09%
GCC	Individuals	40,799,457	0.17%	33,261,373	0.13%	7,538,084	0.03%
	Institutions	719,627,505	2.91%	449,754,032	1.82%	269,873,473	1.09%
	GCC DPMs	1,011,959	0.00%	3,969,640	0.02%	-2,957,681	-0.01%
	Total GCC Investors	761,438,921	3.08%	486,985,045	1.97%	274,453,876	1.11%
Foreign	SWAP Holders	295,580,471	1.20%	740,797,994	3.00%	-445,217,522	-1.80%
	Foreign Residents	228,861,852	0.93%	255,110,200	1.03%	-26,248,348	-0.11%
	QFIs	8,366,856,436	33.87%	3,948,040,795	15.98%	4,418,815,641	17.89%
	Foreign DPMs	197,278	0.00%	156,366	0.00%	40,913	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	8,891,496,038	35.99%	4,944,105,355	20.01%	3,947,390,683	15.98%	
Grand Total		24,702,128,899	100.00%	24,702,128,899	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	12,821,073,037	51.90%	11,807,523,755	47.80%	1,013,549,282	4.10%
Non-Institutional	11,881,055,862	48.10%	12,894,605,144	52.20%	-1,013,549,282	-4.10%
Grand Total	24,702,128,899	100.00%	24,702,128,899	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 09 May 2019		As of 16 May 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	76,524,009,645	3.67%	74,311,687,596	3.68%	-2,212,322,049	0.01%	
	HNWIs	158,622,693,423	7.62%	153,985,342,522	7.63%	-4,637,350,901	0.01%	
	IPIs	284,044,215,487	13.64%	276,919,310,710	13.72%	-7,124,904,777	0.08%	
	Individual DPMs	5,069,709,534	0.24%	4,938,308,396	0.24%	-131,401,138	0.00%	
	Sub Total (Individuals)	524,260,628,089	25.17%	510,154,649,224	25.28%	-14,105,978,865	0.10%	
	Institutions:							
	Corporates	354,002,197,301	17.00%	373,957,912,501	18.53%	19,955,715,200	1.53%	
	Mutual Funds	196,739,132,234	9.45%	192,371,637,686	9.53%	-4,367,494,548	0.08%	
	GRE's	799,138,575,128	38.37%	735,042,543,924	36.42%	-64,096,031,204	-1.95%	
	Institution DPMs	46,429,734,193	2.23%	45,396,005,877	2.25%	-1,033,728,316	0.02%	
Sub Total (Institutions)	1,396,309,638,855	67.05%	1,346,768,099,988	66.73%	-49,541,538,867	-0.32%		
Total Saudi Investors	1,920,570,266,944	92.23%	1,856,922,749,212	92.01%	-63,647,517,732	-0.22%		
GCC	Individuals	3,086,260,701	0.15%	2,918,049,355	0.14%	-168,211,346	0.00%	
	Institutions	37,867,036,123	1.82%	36,646,514,303	1.82%	-1,220,521,820	0.00%	
	GCC DPMs	701,310,471	0.03%	684,597,110	0.03%	-16,713,362	0.00%	
	Total GCC Investors	41,654,607,296	2.00%	40,249,160,767	1.99%	-1,405,446,528	-0.01%	
Foreign	SWAP Holders	9,992,523,591	0.48%	9,303,887,674	0.46%	-688,635,917	-0.02%	
	Foreign Residents	3,580,420,771	0.17%	3,453,799,065	0.17%	-126,621,706	0.00%	
	QFIs	38,774,531,878	1.86%	42,076,385,909	2.08%	3,301,854,030	0.22%	
	Foreign DPMs	3,615,352,991	0.17%	3,375,739,646	0.17%	-239,613,345	-0.01%	
	Strategic Partners	64,289,322,956	3.09%	62,866,871,968	3.11%	-1,422,450,987	0.03%	
Total Foreign Investors	120,252,152,187	5.77%	121,076,684,262	6.00%	824,532,075	0.22%		
Grand Total		2,082,477,026,427	100.00%	2,018,248,594,241	100.00%	-64,228,432,186		

* Non deposited shares was not reflected as holding value on 16-May-2019 for (Taiba Holding Co. 4090 - Corporate Action -Acquisition)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 09 May 2019		As of 16 May 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,840,663,641,887	88.39%	1,783,579,715,703	88.37%	-57,083,926,184	-0.02%
Non-Institutional	241,813,384,540	11.61%	234,668,878,538	11.63%	-7,144,506,002	0.02%
Grand Total	2,082,477,026,427	100.00%	2,018,248,594,241	100.00%	-64,228,432,186	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,099,599	60.72%	5,553,276	66.13%	-453,677	-5.40%
	Corporates	107,039	1.27%	0	0.00%	107,039	1.27%
	Institution	0	0.00%	225,053	2.68%	-225,053	-2.68%
	Total Saudi Investors	5,206,638	62.00%	5,778,329	68.81%	-571,691	-6.81%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	2,600,000	30.96%	0	0.00%	2,600,000	30.96%
	Total GCC Investors	2,600,000	30.96%	0	0.00%	2,600,000	30.96%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	81,529	0.97%	19,713	0.23%	61,816	0.74%
	QFIs	509,875	6.07%	2,600,000	30.96%	-2,090,125	-24.89%
	Total Foreign Investors	591,404	7.04%	2,619,713	31.19%	-2,028,309	-24.15%
Grand Total		8,398,042	100.00%	8,398,042	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,216,914	38.31%	2,825,053	33.64%	391,861	4.67%
Non-Institutional	5,181,128	61.69%	5,572,989	66.36%	-391,861	-4.67%
Grand Total	8,398,042	100.00%	8,398,042	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 09 May 2019		As of 16 May 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,138,822,185	95.30%	4,099,601,701	95.27%	-39,220,484	-0.03%
	Corporates	137,995,150	3.18%	136,395,268	3.17%	-1,599,882	-0.01%
	Institution	36,447,102	0.84%	35,209,631	0.82%	-1,237,471	-0.02%
	Total Saudi Investors	4,313,264,437	99.32%	4,271,206,600	99.26%	-42,057,836	-0.05%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	10,536,541	0.24%	11,189,785	0.26%	653,244	0.02%
	Total GCC Investors	10,536,541	0.24%	11,189,785	0.26%	653,244	0.02%
Foreign	SWAP Holders	204,818	0.00%	187,298	0.00%	-17,520	0.00%
	Foreign Residents	3,657,727	0.08%	3,730,085	0.09%	72,358	0.00%
	QFIs	15,330,099	0.35%	16,671,432	0.39%	1,341,333	0.03%
	Total Foreign Investors	19,192,644	0.44%	20,588,815	0.48%	1,396,170	0.04%
Grand Total		4,342,993,622	100.00%	4,302,985,200	100.00%	-40,008,422	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 09 May 2019		As of 16 May 2019		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	200,513,710	4.62%	199,653,414	4.64%	-860,296	0.02%	
Non-Institutional	4,142,479,912	95.38%	4,103,331,786	95.36%	-39,148,126	-0.02%	
Grand Total	4,342,993,622	100.00%	4,302,985,200	100.00%	-40,008,422		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Tadawul

Thank you

