

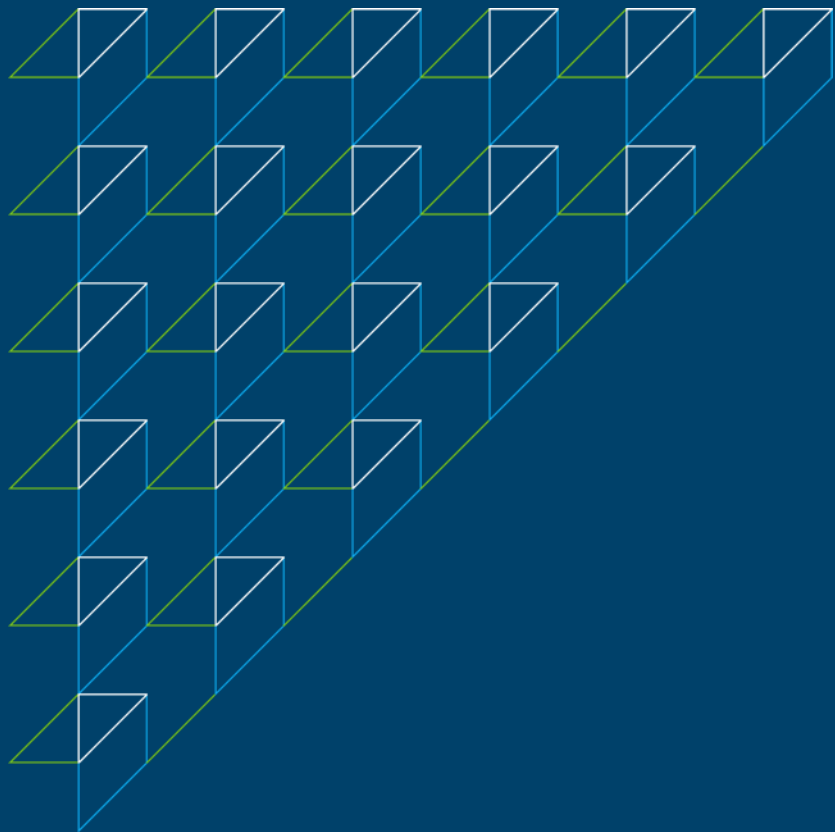
Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 31 October 2018



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

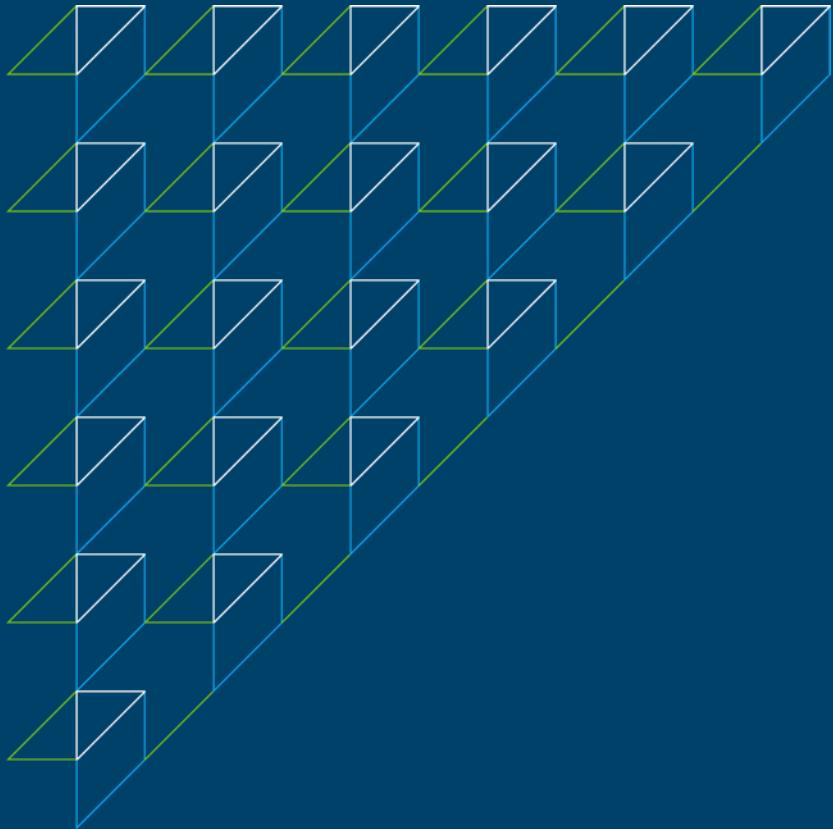
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Highlights

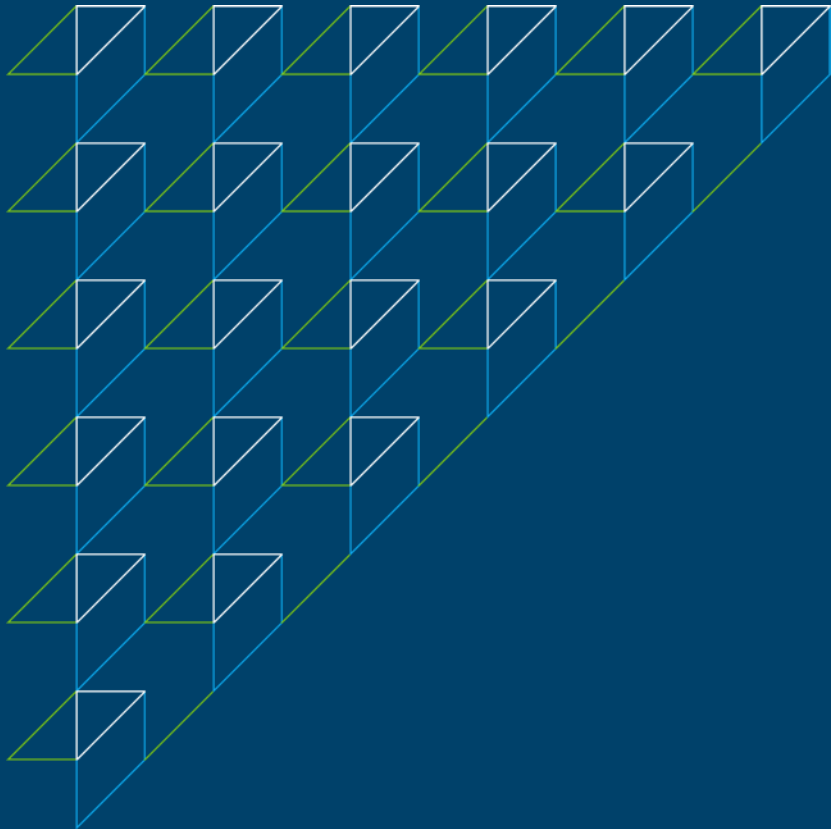


The total value of shares traded for the month ending 31 October 2018 amounted to SAR 95.74 billion, increasing by 92.40% over the previous month; while total stock market capitalization reached SAR 1,881.17 billion at the end of this period, decreasing by 0.94% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 88.76 billion representing 92.70% of total buying activity, and sales of SAR 81.28 billion representing 84.90% of total selling activity. Total ownership of “Saudi Investors” stood at 93.30% of total market capitalization as of 31 October 2018, representing an increase of 0.42% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 2.01 billion representing 2.10% of total buying activity, and sales of SAR 2.89 billion representing 3.02% of total selling activity. Total ownership of “GCC Investors” stood at 1.99% of total market capitalization as of 31 October 2018, representing a decrease of 0.08% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 4.97 billion representing 5.19% of total buying activity, and sales of SAR 11.57 billion representing 12.08% of total selling activity. Total ownership of “Foreign Investors” stood at 4.71% of total market capitalization as of 31 October 2018, representing a decrease of 0.33% from the previous month.



Main Market - Value Traded & Ownership Breakdown



Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	30,272,960,078	31.62%	36,132,088,749	37.74%	-5,859,128,671	-6.12%
	HNWIs	25,953,449,856	27.11%	28,564,493,213	29.84%	-2,611,043,357	-2.73%
	IPIs	4,150,990,793	4.34%	6,901,669,544	7.21%	-2,750,678,751	-2.87%
	Individual DPMs	743,927,713	0.78%	1,621,065,084	1.69%	-877,137,372	-0.92%
	Sub Total (Individuals)	61,121,328,440	63.84%	73,219,316,590	76.48%	-12,097,988,151	-12.64%
	Institutions:						
	Corporates	8,402,908,873	8.78%	3,985,502,094	4.16%	4,417,406,779	4.61%
	Mutual Funds	1,455,901,140	1.52%	1,829,589,744	1.91%	-373,688,604	-0.39%
	GRE's	2,171,073,320	2.27%	173,100	0.00%	2,170,900,220	2.27%
	Institution DPMs	15,605,141,160	16.30%	2,248,281,223	2.35%	13,356,859,937	13.95%
	Sub Total (Institutions)	27,635,024,492	28.86%	8,063,546,161	8.42%	19,571,478,331	20.44%
Total Saudi Investors	88,756,352,932	92.70%	81,282,862,751	84.90%	7,473,490,180	7.81%	
GCC	Individuals	320,118,911	0.33%	395,401,282	0.41%	-75,282,371	-0.08%
	Institutions	1,682,627,321	1.76%	2,484,325,881	2.59%	-801,698,560	-0.84%
	GCC DPMs	10,299,879	0.01%	11,566,210	0.01%	-1,266,331	0.00%
	Total GCC Investors	2,013,046,111	2.10%	2,891,293,374	3.02%	-878,247,263	-0.92%
Foreign	SWAP Holders	835,031,661	0.87%	3,733,177,324	3.90%	-2,898,145,663	-3.03%
	Foreign Residents	935,792,431	0.98%	1,293,294,106	1.35%	-357,501,675	-0.37%
	QFIs	3,200,728,837	3.34%	6,540,077,725	6.83%	-3,339,348,889	-3.49%
	Foreign DPMs	517,559	0.00%	764,250	0.00%	-246,691	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	4,972,070,488	5.19%	11,567,313,405	12.08%	-6,595,242,917	-6.89%
Grand Total		95,741,469,530	100.00%	95,741,469,530	100.00%		

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	38,259,148,254	39.96%	29,356,192,180	30.66%	8,902,956,074	9.30%
Non-Institutional	57,482,321,276	60.04%	66,385,277,350	69.34%	-8,902,956,074	-9.30%
Grand Total	95,741,469,530	100.00%	95,741,469,530	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2018		As of 31 October 2018		Monthly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	73,989,524,538	3.90%	72,472,168,893	3.85%	-1,517,355,645	-0.04%	
	HNWIs	148,127,794,693	7.80%	144,408,536,777	7.68%	-3,719,257,916	-0.12%	
	IPIs	263,029,055,771	13.85%	254,901,834,705	13.55%	-8,127,221,066	-0.30%	
	Individual DPMs	5,654,707,365	0.30%	5,079,703,607	0.27%	-575,003,757	-0.03%	
	Sub Total (Individuals)	490,801,082,367	25.85%	476,862,243,982	25.35%	-13,938,838,385	-0.50%	
	Institutions:							
	Corporates	296,351,988,877	15.61%	292,073,901,265	15.53%	-4,278,087,612	-0.08%	
	Mutual Funds	94,247,303,661	4.96%	90,008,075,951	4.78%	-4,239,227,711	-0.18%	
	GRE's	753,151,834,225	39.66%	762,619,897,531	40.54%	9,468,063,306	0.88%	
	Institution DPMs	129,163,492,664	6.80%	133,500,509,410	7.10%	4,337,016,747	0.29%	
Sub Total (Institutions)	1,272,914,619,427	67.03%	1,278,202,384,157	67.95%	5,287,764,730	0.91%		
Total Saudi Investors	1,763,715,701,794	92.88%	1,755,064,628,138	93.30%	-8,651,073,656	0.42%		
GCC	Individuals	3,067,062,615	0.16%	2,957,823,898	0.16%	-109,238,717	0.00%	
	Institutions	35,545,206,704	1.87%	33,719,394,676	1.79%	-1,825,812,028	-0.08%	
	GCC DPMs	843,029,452	0.04%	839,348,095	0.04%	-3,681,358	0.00%	
	Total GCC Investors	39,455,298,771	2.08%	37,516,566,669	1.99%	-1,938,732,102	-0.08%	
Foreign	SWAP Holders	13,576,966,623	0.71%	9,810,390,843	0.52%	-3,766,575,780	-0.19%	
	Foreign Residents	6,998,505,025	0.37%	3,298,413,578	0.18%	-3,700,091,447	-0.19%	
	QFIs	16,507,163,052	0.87%	13,558,046,766	0.72%	-2,949,116,287	-0.15%	
	Foreign DPMs	3,223,761,056	0.17%	3,406,880,012	0.18%	183,118,957	0.01%	
	Strategic Partners	55,457,535,096	2.92%	58,514,606,802	3.11%	3,057,071,705	0.19%	
	Total Foreign Investors	95,763,930,852	5.04%	88,588,338,001	4.71%	-7,175,592,851	-0.33%	
Grand Total		1,898,934,931,417	100.00%	1,881,169,532,808	100.00%	-17,765,398,609		

* Non deposited amount of 633,960,000 SAR was not reflected as on 31 October holding value (Saudi Industrial Export Co. 4140 - Corporate Action - Tradable Rights)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 September 2018		As of 31 October 2018		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,666,752,044,546	87.77%	1,658,032,589,662	88.14%	-8,719,454,884	0.37%
Non-Institutional	232,182,886,871	12.23%	223,136,943,146	11.86%	-9,045,943,725	-0.37%
Grand Total	1,898,934,931,417	100.00%	1,881,169,532,808	100.00%	-17,765,398,609	

Definitions

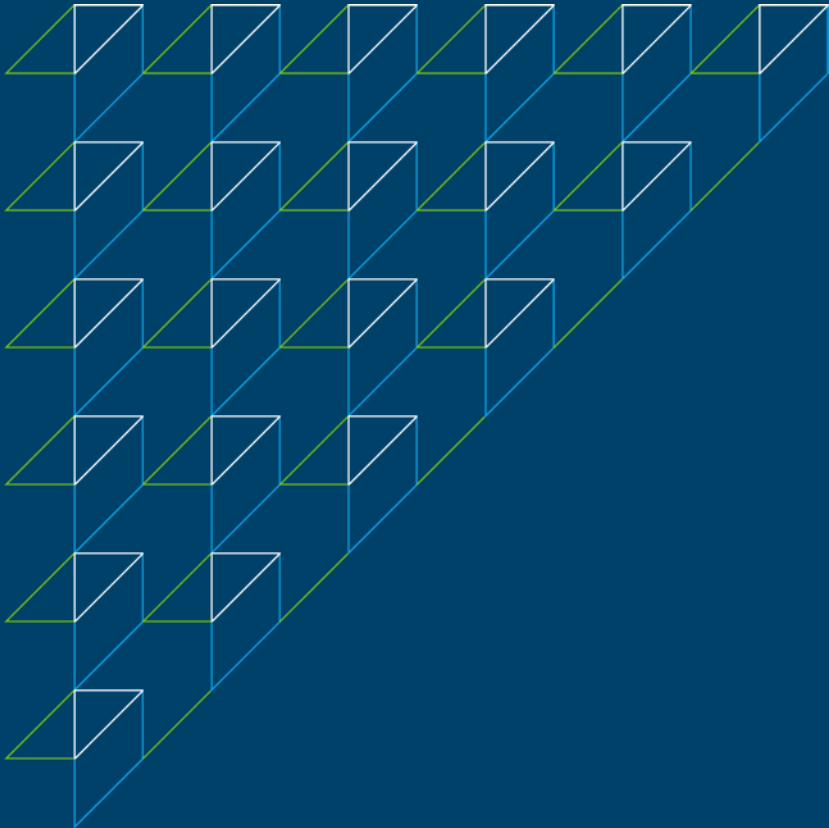
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Highlights

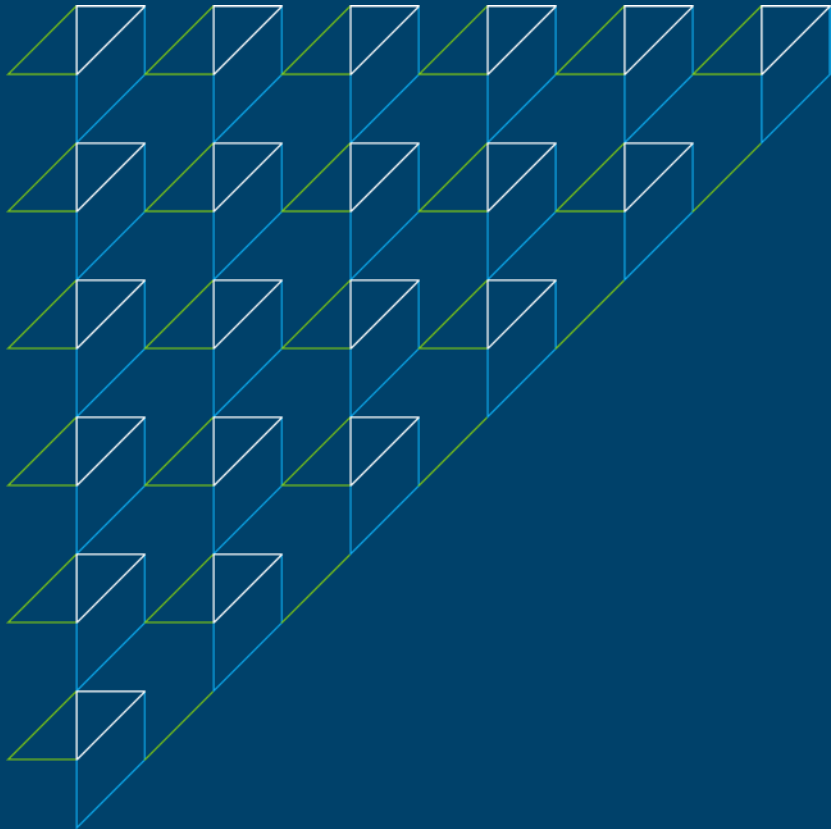


The total value of shares traded for the month ending 31 October 2018 amounted to SAR 20.72 million, decreasing by 2.67% over the previous month; while total stock market capitalization reached SAR 2,107.00 million at the end of this period, decreasing by 1.48% over the previous month.



- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 19.09 million representing 92.13% of total buying activity, and sales of SAR 20.26 million representing 97.77% of total selling activity. Total ownership of “Saudi Investors” stood at 98.67% of total market capitalization as of 31 October 2018, representing a decrease of 0.19% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.05 million representing 0.26% of total buying activity, and sales of SAR 0.32 million representing 1.55% of total selling activity. Total ownership of “GCC Investors” stood at 0.67% of total market capitalization as of 31 October 2018, representing an increase of 0.07% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 1.58 million representing 7.61% of total buying activity, and sales of SAR 0.14 million representing 0.68% of total selling activity. Total ownership of “Foreign Investors” stood at 0.66% of total market capitalization as of 31 October 2018 representing an increase of 0.12% from the previous month.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	16,016,587	77.30%	16,298,777	78.66%	-282,189	-1.36%
	Corporates	2,921,985	14.10%	2,244,209	10.83%	677,776	3.27%
	Institution	150,263	0.73%	1,713,813	8.27%	-1,563,550	-7.55%
	Total Saudi Investors	19,088,834	92.13%	20,256,798	97.77%	-1,167,964	-5.64%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	53,580	0.26%	320,927	1.55%	-267,347	-1.29%
	Total GCC Investors	53,580	0.26%	320,927	1.55%	-267,347	-1.29%
Foreign	SWAP Holders	0	0.00%	86,425	0.42%	-86,425	-0.42%
	Foreign Residents	90,447	0.44%	55,335	0.27%	35,112	0.17%
	QFIs	1,486,624	7.18%	0	0.00%	1,486,624	7.18%
	Total Foreign Investors	1,577,071	7.61%	141,760	0.68%	1,435,311	6.93%
Grand Total		20,719,486	100.00%	20,719,486	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,612,451	22.26%	4,365,374	21.07%	247,077	1.19%
Non-Institutional	16,107,034	77.74%	16,354,112	78.93%	-247,077	-1.19%
Grand Total	20,719,486	100.00%	20,719,486	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 30 September 2018		As of 31 October 2018		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,959,174,446	91.61%	1,927,351,055	91.47%	-31,823,391	-0.13%
	Corporates	113,081,769	5.29%	112,488,529	5.34%	-593,240	0.05%
	Institution	42,037,378	1.97%	39,180,322	1.86%	-2,857,057	-0.11%
	Total Saudi Investors	2,114,293,593	98.86%	2,079,019,905	98.67%	-35,273,688	-0.19%
GCC	Individuals	24,133	0.00%	23,322	0.00%	-811	0.00%
	Institutions	12,820,532	0.60%	14,099,089	0.67%	1,278,557	0.07%
	Total GCC Investors	12,844,665	0.60%	14,122,411	0.67%	1,277,746	0.07%
Foreign	SWAP Holders	358,505	0.02%	158,299	0.01%	-200,206	-0.01%
	Foreign Residents	2,000,215	0.09%	2,087,390	0.10%	87,176	0.01%
	QFIs	9,170,523	0.43%	11,608,614	0.55%	2,438,092	0.12%
	Total Foreign Investors	11,529,242	0.54%	13,854,304	0.66%	2,325,062	0.12%
Grand Total		2,138,667,500	100.00%	2,106,996,620	100.00%	-31,670,880	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 September 2018		As of 31 October 2018		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	177,468,707	8.30%	177,534,853	8.43%	66,146	0.13%
Non-Institutional	1,961,198,793	91.70%	1,929,461,767	91.57%	-31,737,026	-0.13%
Grand Total	2,138,667,500	100.00%	2,106,996,620	100.00%	-31,670,880	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

