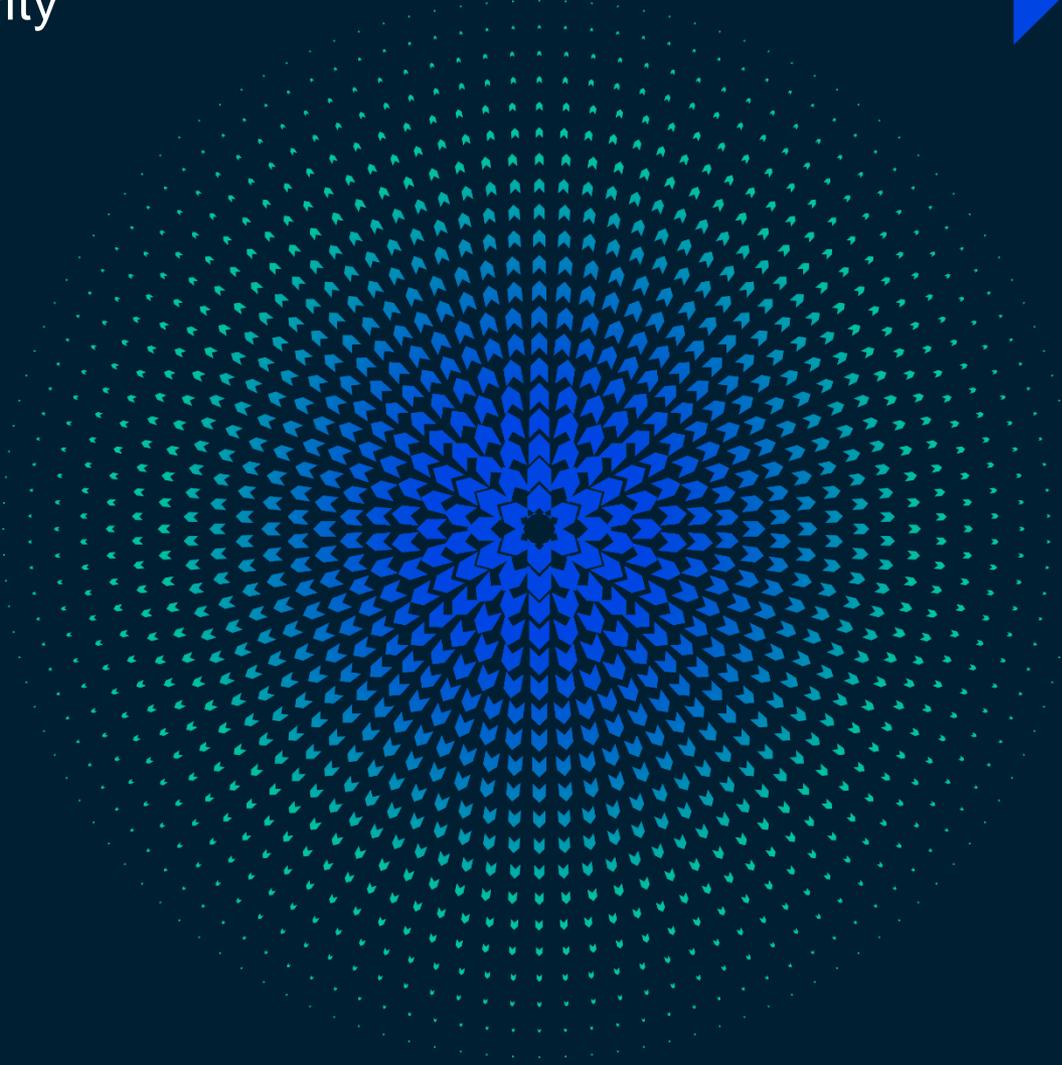


# Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية  
Saudi Exchange



Market Reports

Week Ending 04 November 2021



# Definitions

# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



# Main Market - Value Traded & Ownership Breakdown

# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	11,921,267,778	44.05%	11,833,132,530	43.72%	88,135,249	0.33%	
	HNWIs	8,659,696,918	32.00%	8,558,238,191	31.62%	101,458,726	0.37%	
	IPs	1,280,998,415	4.73%	1,233,461,685	4.56%	47,536,730	0.18%	
	Individual DPMs	213,611,103	0.79%	300,546,875	1.11%	-86,935,772	-0.32%	
	<b>Sub Total (Individuals)</b>	<b>22,075,574,214</b>	<b>81.57%</b>	<b>21,925,379,281</b>	<b>81.02%</b>	<b>150,194,933</b>	<b>0.55%</b>	
	<b>Institutions:</b>							
	Corporates	908,926,232	3.36%	821,623,825	3.04%	87,302,407	0.32%	
	Mutual Funds	588,275,634	2.17%	782,247,023	2.89%	-193,971,389	-0.72%	
	GRE's	19,697,863	0.07%	22,785,792	0.08%	-3,087,929	-0.01%	
	Institution DPMs	193,423,815	0.71%	448,913,533	1.66%	-255,489,717	-0.94%	
	<b>Sub Total (Institutions)</b>	<b>1,710,323,545</b>	<b>6.32%</b>	<b>2,075,570,173</b>	<b>7.67%</b>	<b>-365,246,628</b>	<b>-1.35%</b>	
	<b>Total Saudi Investors</b>	<b>23,785,897,759</b>	<b>87.89%</b>	<b>24,000,949,454</b>	<b>88.69%</b>	<b>-215,051,695</b>	<b>-0.79%</b>	
GCC	Individuals	46,013,756	0.17%	100,014,734	0.37%	-54,000,978	-0.20%	
	Institutions	326,200,913	1.21%	325,601,105	1.20%	599,808	0.00%	
	GCC DPMs	1,668,346	0.01%	2,528,433	0.01%	-860,088	0.00%	
	<b>Total GCC Investors</b>	<b>373,883,015</b>	<b>1.38%</b>	<b>428,144,272</b>	<b>1.58%</b>	<b>-54,261,257</b>	<b>-0.20%</b>	
Foreign	SWAP Holders	7,712,378	0.03%	9,859,306	0.04%	-2,146,928	-0.01%	
	Foreign Residents & Others	449,196,067	1.66%	430,238,855	1.59%	18,957,212	0.07%	
	QFIs	2,446,417,668	9.04%	2,193,386,371	8.10%	253,031,298	0.93%	
	Foreign DPMs	0	0.00%	528,630	0.00%	-528,630	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
<b>Total Foreign Investors</b>	<b>2,903,326,113</b>	<b>10.73%</b>	<b>2,634,013,161</b>	<b>9.73%</b>	<b>269,312,952</b>	<b>1.00%</b>		
<b>Grand Total</b>		<b>27,063,106,887</b>	<b>100.00%</b>	<b>27,063,106,887</b>	<b>100.00%</b>			

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,986,932,368	22.12%	6,141,482,577	22.69%	-154,550,209	-0.57%
Non-Institutional	21,076,174,519	77.88%	20,921,624,310	77.31%	154,550,209	0.57%
<b>Grand Total</b>	<b>27,063,106,887</b>	<b>100.00%</b>	<b>27,063,106,887</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 October 2021			As of 04 November 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	174,122,137,263	1.66%	9.81%	155,706,013,512	1.48%	8.83%	-18,416,123,751	-0.18%	-0.99%
	HNWIs	245,593,774,995	2.34%	13.75%	250,658,330,390	2.38%	14.05%	5,064,555,395	0.05%	0.30%
	IPIs	398,601,463,697	3.79%	16.48%	411,099,054,707	3.91%	17.04%	12,497,591,010	0.11%	0.57%
	Individual DPMs	9,899,448,036	0.09%	0.56%	9,618,702,608	0.09%	0.55%	-280,745,428	0.00%	-0.02%
	<b>Sub Total (Individuals)</b>	<b>828,216,823,991</b>	<b>7.88%</b>	<b>40.60%</b>	<b>827,082,101,217</b>	<b>7.86%</b>	<b>40.47%</b>	<b>-1,134,722,774</b>	<b>-0.02%</b>	<b>-0.14%</b>
	<b>Institutions:</b>									
	Corporates	890,301,810,070	8.47%	23.70%	888,752,175,429	8.45%	23.89%	-1,549,634,641	-0.02%	0.19%
	Mutual Funds	290,167,805,591	2.76%	11.40%	288,009,245,483	2.74%	11.37%	-2,158,560,108	-0.02%	-0.03%
	GRE's	8,051,911,959,682	76.62%	2.46%	8,069,168,464,715	76.70%	2.44%	17,256,505,033	0.08%	-0.02%
	Institution DPMs	85,588,689,273	0.81%	4.84%	85,515,375,722	0.81%	4.84%	-73,313,552	0.00%	-0.01%
<b>Sub Total (Institutions)</b>	<b>9,317,970,264,616</b>	<b>88.67%</b>	<b>42.40%</b>	<b>9,331,445,261,348</b>	<b>88.70%</b>	<b>42.53%</b>	<b>13,474,996,732</b>	<b>0.03%</b>	<b>0.13%</b>	
<b>Total Saudi Investors</b>	<b>10,146,187,088,607</b>	<b>96.55%</b>	<b>83.01%</b>	<b>10,158,527,362,565</b>	<b>96.56%</b>	<b>83.00%</b>	<b>12,340,273,958</b>	<b>0.01%</b>	<b>-0.01%</b>	
GCC	Individuals	3,510,305,287	0.03%	0.20%	3,473,282,634	0.03%	0.20%	-37,022,653	0.00%	0.00%
	Institutions	48,020,768,694	0.46%	1.94%	47,797,022,453	0.45%	1.93%	-223,746,241	0.00%	-0.02%
	GCC DPMs	183,661,961	0.00%	0.01%	180,797,036	0.00%	0.01%	-2,864,925	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>51,714,735,942</b>	<b>0.49%</b>	<b>2.16%</b>	<b>51,451,102,123</b>	<b>0.49%</b>	<b>2.14%</b>	<b>-263,633,819</b>	<b>0.00%</b>	<b>-0.02%</b>
Foreign	SWAP Holders	2,314,838,962	0.02%	0.13%	2,301,325,669	0.02%	0.13%	-13,513,293	0.00%	0.00%
	Foreign Residents & Others	7,772,995,269	0.07%	0.36%	7,728,924,218	0.07%	0.36%	-44,071,052	0.00%	0.00%
	QFIs	247,470,875,789	2.35%	14.33%	248,098,639,204	2.36%	14.37%	627,763,415	0.00%	0.03%
	Foreign DPMs	24,658,498	0.00%	0.00%	23,759,927	0.00%	0.00%	-898,571	0.00%	0.00%
	Strategic Investors	53,099,123,102	0.51%	0.00%	52,474,922,752	0.50%	0.00%	-624,200,350	-0.01%	0.00%
	<b>Total Foreign Investors</b>	<b>310,682,491,620</b>	<b>2.96%</b>	<b>14.83%</b>	<b>310,627,571,770</b>	<b>2.95%</b>	<b>14.86%</b>	<b>-54,919,851</b>	<b>0.00%</b>	<b>0.03%</b>
<b>Grand Total</b>		<b>10,508,584,316,169</b>	<b>100.00%</b>	<b>100.00%</b>	<b>10,520,606,036,458</b>	<b>100.00%</b>	<b>100.00%</b>	<b>12,021,720,289</b>		

\*Non deposited shares was not reflected as on 04 November holding value ( The Mediterranean and Gulf Insurance and Reinsurance Co. 8030 - Corporate Action -Right Issue )

\*Non deposited shares was not reflected as on 04 November holding value ( Alkhaleej Training and Education Co. 4290 - Corporate Action -Right Issue )

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 28 October 2021			As of 04 November 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,079,124,599,269	95.90%	75.87%	10,104,553,983,096	96.03%	76.56%	25,429,383,827	0.13%	0.69%
Non-Institutional	429,459,716,900	4.10%	24.13%	416,052,053,362	3.97%	23.44%	-13,407,663,538	-0.13%	-0.69%
<b>Grand Total</b>	<b>10,508,584,316,169</b>	<b>100.00%</b>	<b>100.00%</b>	<b>10,520,606,036,458</b>	<b>100.00%</b>	<b>100.00%</b>	<b>12,021,720,289</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown

# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	581,760,601	98.21%	220,392,557	37.21%	361,368,044	61.01%
	Corporates	3,014,751	0.51%	362,512,299	61.20%	-359,497,548	-60.69%
	Institution	330	0.00%	325,000	0.05%	-324,671	-0.05%
	<b>Total Saudi Investors</b>	<b>584,775,682</b>	<b>98.72%</b>	<b>583,229,856</b>	<b>98.46%</b>	<b>1,545,825</b>	<b>0.26%</b>
GCC	Individuals	0	0.00%	47,250	0.01%	-47,250	-0.01%
	Institutions	0	0.00%	82,000	0.01%	-82,000	-0.01%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>129,250</b>	<b>0.02%</b>	<b>-129,250</b>	<b>-0.02%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,250,336	0.72%	4,100,509	0.69%	149,828	0.03%
	QFIs	3,321,188	0.56%	4,887,591	0.83%	-1,566,403	-0.26%
	<b>Total Foreign Investors</b>	<b>7,571,524</b>	<b>1.28%</b>	<b>8,988,100</b>	<b>1.52%</b>	<b>-1,416,575</b>	<b>-0.24%</b>
<b>Grand Total</b>		<b>592,347,206</b>	<b>100.00%</b>	<b>592,347,206</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	6,336,268	1.07%	367,806,890	62.09%	-361,470,622	-61.02%
<b>Non-Institutional</b>	586,010,937	98.93%	224,540,316	37.91%	361,470,622	61.02%
<b>Grand Total</b>	<b>592,347,206</b>	<b>100.00%</b>	<b>592,347,206</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 28 October 2021			As of 04 November 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	11,775,186,681	71.05%	65.20%	13,042,566,572	73.16%	67.68%	1,267,379,891	2.11%	2.48%
	Corporates	4,572,505,678	27.59%	32.52%	4,556,820,073	25.56%	30.14%	-15,685,605	-2.03%	-2.38%
	Institution	111,736,689	0.67%	0.79%	116,736,778	0.65%	0.77%	5,000,089	-0.02%	-0.02%
	<b>Total Saudi Investors</b>	<b>16,459,429,047</b>	<b>99.32%</b>	<b>98.51%</b>	<b>17,716,123,423</b>	<b>99.37%</b>	<b>98.59%</b>	<b>1,256,694,376</b>	<b>0.05%</b>	<b>0.07%</b>
GCC	Individuals	4,533,819	0.03%	0.06%	4,460,666	0.03%	0.06%	-73,153	0.00%	0.00%
	Institutions	21,935,690	0.13%	0.29%	21,522,935	0.12%	0.27%	-412,755	-0.01%	-0.02%
	<b>Total GCC Investors</b>	<b>26,469,509</b>	<b>0.16%</b>	<b>0.35%</b>	<b>25,983,601</b>	<b>0.15%</b>	<b>0.33%</b>	<b>-485,908</b>	<b>-0.01%</b>	<b>-0.02%</b>
Foreign	SWAP Holders	17,525,542	0.11%	0.23%	17,192,299	0.10%	0.22%	-333,243	-0.01%	-0.01%
	Foreign Residents	13,924,538	0.08%	0.18%	14,938,883	0.08%	0.19%	1,014,345	0.00%	0.01%
	QFIs	54,826,364	0.33%	0.72%	53,454,795	0.30%	0.68%	-1,371,569	-0.03%	-0.04%
	<b>Total Foreign Investors</b>	<b>86,276,444</b>	<b>0.52%</b>	<b>1.14%</b>	<b>85,585,977</b>	<b>0.48%</b>	<b>1.09%</b>	<b>-690,468</b>	<b>-0.04%</b>	<b>-0.05%</b>
<b>Grand Total</b>		<b>16,572,175,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>17,827,693,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>1,255,518,000</b>		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 28 October 2021			As of 04 November 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,778,529,962	28.83%	34.55%	4,765,726,880	26.73%	32.08%	-12,803,083	-2.10%	-2.48%
Non-Institutional	11,793,645,038	71.17%	65.45%	13,061,966,120	73.27%	67.92%	1,268,321,083	2.10%	2.48%
<b>Grand Total</b>	<b>16,572,175,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>17,827,693,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>1,255,518,000</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you