

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 24 January 2019



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Partners</b>	Foreign investors considered founders and partners of Saudi listed companies.
	<b>NRFI</b>	Non-Resident Foreign Investors in accordance with the Guidance Note for the Investment of Non- Resident Foreigners in Parallel Market

\*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
  - Have an average portfolio size of SAR 5m for the preceding 12 months
  - Worked for at least 3 years in financial sectors.
  - Hold General Securities Qualification Certificate which is recognized by the Authority.
  - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,784,227,191	40.83%	6,139,975,587	43.35%	-355,748,397	-2.51%
	HNWIs	3,541,289,684	25.00%	3,779,369,240	26.68%	-238,079,556	-1.68%
	IPIs	459,704,363	3.25%	794,792,673	5.61%	-335,088,311	-2.37%
	Individual DPMs	241,460,619	1.70%	236,733,271	1.67%	4,727,348	0.03%
	<b>Sub Total (Individuals)</b>	<b>10,026,681,857</b>	<b>70.78%</b>	<b>10,950,870,772</b>	<b>77.31%</b>	<b>-924,188,915</b>	<b>-6.52%</b>
	<b>Institutions:</b>						
	Corporates	1,431,651,648	10.11%	1,015,968,292	7.17%	415,683,356	2.93%
	Mutual Funds	191,769,013	1.35%	287,728,712	2.03%	-95,959,699	-0.68%
	GRE's	0	0.00%	2,410,000	0.02%	-2,410,000	-0.02%
	Institution DPMs	334,664,049	2.36%	695,303,244	4.91%	-360,639,195	-2.55%
	<b>Sub Total (Institutions)</b>	<b>1,958,084,710</b>	<b>13.82%</b>	<b>2,001,410,247</b>	<b>14.13%</b>	<b>-43,325,538</b>	<b>-0.31%</b>
<b>Total Saudi Investors</b>	<b>11,984,766,567</b>	<b>84.61%</b>	<b>12,952,281,019</b>	<b>91.44%</b>	<b>-967,514,452</b>	<b>-6.83%</b>	
GCC	Individuals	28,945,249	0.20%	39,260,568	0.28%	-10,315,319	-0.07%
	Institutions	353,122,229	2.49%	332,577,960	2.35%	20,544,269	0.15%
	GCC DPMs	235,263	0.00%	1,278,745	0.01%	-1,043,482	-0.01%
	<b>Total GCC Investors</b>	<b>382,302,740</b>	<b>2.70%</b>	<b>373,117,273</b>	<b>2.63%</b>	<b>9,185,468</b>	<b>0.06%</b>
Foreign	SWAP Holders	779,327,196	5.50%	125,360,101	0.88%	653,967,095	4.62%
	Foreign Residents	143,902,327	1.02%	152,869,713	1.08%	-8,967,386	-0.06%
	QFIs	874,780,848	6.18%	560,600,673	3.96%	314,180,175	2.22%
	Foreign DPMs	89,878	0.00%	104,104	0.00%	-14,226	0.00%
	Strategic Partners	0	0.00%	836,673	0.01%	-836,673	-0.01%
<b>Total Foreign Investors</b>	<b>1,798,100,249</b>	<b>12.69%</b>	<b>839,771,263</b>	<b>5.93%</b>	<b>958,328,985</b>	<b>6.77%</b>	
<b>Grand Total</b>		<b>14,165,169,555</b>	<b>100.00%</b>	<b>14,165,169,555</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,666,805,105	32.95%	4,053,694,447	28.62%	613,110,659	4.33%
Non-Institutional	9,498,364,450	67.05%	10,111,475,108	71.38%	-613,110,658	-4.33%
<b>Grand Total</b>	<b>14,165,169,555</b>	<b>100.00%</b>	<b>14,165,169,555</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 17 January 2019		As of 24 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	76,339,286,202	3.81%	77,499,164,973	3.87%	1,159,878,771	0.06%
	HNWIs	154,266,126,970	7.70%	153,695,788,367	7.68%	-570,338,603	-0.02%
	IPIs	271,058,081,739	13.53%	269,213,630,357	13.46%	-1,844,451,381	-0.07%
	Individual DPMs	5,469,442,563	0.27%	5,366,755,962	0.27%	-102,686,601	0.00%
	<b>Sub Total (Individuals)</b>	<b>507,132,937,473</b>	<b>25.32%</b>	<b>505,775,339,659</b>	<b>25.28%</b>	<b>-1,357,597,814</b>	<b>-0.03%</b>
	<b>Institutions:</b>						
	Corporates	305,736,856,086	15.26%	304,330,346,089	15.21%	-1,406,509,997	-0.05%
	Mutual Funds	100,914,185,802	5.04%	100,372,828,301	5.02%	-541,357,501	-0.02%
	GRE's	813,873,490,720	40.63%	813,574,242,533	40.67%	-299,248,187	0.04%
	Institution DPMs	136,695,305,652	6.82%	136,027,433,103	6.80%	-667,872,548	-0.02%
	<b>Sub Total (Institutions)</b>	<b>1,357,219,838,259</b>	<b>67.76%</b>	<b>1,354,304,850,026</b>	<b>67.70%</b>	<b>-2,914,988,233</b>	<b>-0.05%</b>
<b>Total Saudi Investors</b>	<b>1,864,352,775,733</b>	<b>93.08%</b>	<b>1,860,080,189,685</b>	<b>92.99%</b>	<b>-4,272,586,047</b>	<b>-0.09%</b>	
GCC	Individuals	2,981,212,391	0.15%	2,954,706,813	0.15%	-26,505,578	0.00%
	Institutions	35,965,047,986	1.80%	36,086,893,029	1.80%	121,845,043	0.01%
	GCC DPMs	958,576,485	0.05%	954,530,886	0.05%	-4,045,599	0.00%
	<b>Total GCC Investors</b>	<b>39,904,836,861</b>	<b>1.99%</b>	<b>39,996,130,728</b>	<b>2.00%</b>	<b>91,293,866</b>	<b>0.01%</b>
Foreign	SWAP Holders	10,671,311,539	0.53%	11,190,209,723	0.56%	518,898,184	0.03%
	Foreign Residents	3,557,593,433	0.18%	3,581,120,366	0.18%	23,526,933	0.00%
	QFIs	16,414,901,610	0.82%	16,681,967,864	0.83%	267,066,254	0.01%
	Foreign DPMs	4,288,413,188	0.21%	4,267,823,620	0.21%	-20,589,569	0.00%
	Strategic Partners	63,862,551,397	3.19%	64,545,598,995	3.23%	683,047,598	0.04%
	<b>Total Foreign Investors</b>	<b>98,794,771,168</b>	<b>4.93%</b>	<b>100,266,720,568</b>	<b>5.01%</b>	<b>1,471,949,400</b>	<b>0.08%</b>
<b>Grand Total</b>		<b>2,003,052,383,762</b>	<b>100.00%</b>	<b>2,000,343,040,981</b>	<b>100.00%</b>	<b>-2,709,342,781</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 17 January 2019		As of 24 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,765,908,164,766	88.16%	1,762,612,260,462	88.12%	-3,295,904,304	-0.05%
Non-Institutional	237,144,218,996	11.84%	237,730,780,519	11.88%	586,561,523	0.05%
<b>Grand Total</b>	<b>2,003,052,383,762</b>	<b>100.00%</b>	<b>2,000,343,040,981</b>	<b>100.00%</b>	<b>-2,709,342,781</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,956,770	83.98%	6,603,789	93.11%	-647,019	-9.12%
	Corporates	722,142	10.18%	172,136	2.43%	550,005	7.75%
	Institution	270,000	3.81%	292,630	4.13%	-22,630	-0.32%
	<b>Total Saudi Investors</b>	<b>6,948,911</b>	<b>97.97%</b>	<b>7,068,555</b>	<b>99.66%</b>	<b>-119,644</b>	<b>-1.69%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	143,822	2.03%	24,033	0.34%	119,789	1.69%
	QFIs	0	0.00%	145	0.00%	-145	0.00%
	<b>Total Foreign Investors</b>	<b>143,822</b>	<b>2.03%</b>	<b>24,178</b>	<b>0.34%</b>	<b>119,644</b>	<b>1.69%</b>
<b>Grand Total</b>		<b>7,092,733</b>	<b>100.00%</b>	<b>7,092,733</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	992,142	13.99%	464,912	6.55%	527,230	7.43%
<b>Non-Institutional</b>	6,100,591	86.01%	6,627,821	93.45%	-527,230	-7.43%
<b>Grand Total</b>	<b>7,092,733</b>	<b>100.00%</b>	<b>7,092,733</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 17 January 2019		As of 24 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,444,622,108	92.44%	2,706,049,478	93.12%	261,427,370	0.69%
	Corporates	134,607,739	5.09%	134,379,444	4.62%	-228,295	-0.47%
	Institution	35,820,543	1.35%	36,094,236	1.24%	273,693	-0.11%
	<b>Total Saudi Investors</b>	<b>2,615,050,389</b>	<b>98.88%</b>	<b>2,876,523,157</b>	<b>98.99%</b>	<b>261,472,768</b>	<b>0.11%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,155,030	0.50%	13,087,913	0.45%	-67,118	-0.05%
	<b>Total GCC Investors</b>	<b>13,155,030</b>	<b>0.50%</b>	<b>13,087,913</b>	<b>0.45%</b>	<b>-67,118</b>	<b>-0.05%</b>
Foreign	SWAP Holders	178,077	0.01%	193,687	0.01%	15,610	0.00%
	Foreign Residents	2,526,327	0.10%	2,525,841	0.09%	-486	-0.01%
	QFIs	13,758,677	0.52%	13,588,252	0.47%	-170,424	-0.05%
	<b>Total Foreign Investors</b>	<b>16,463,081</b>	<b>0.62%</b>	<b>16,307,780</b>	<b>0.56%</b>	<b>-155,301</b>	<b>-0.06%</b>
<b>Grand Total</b>		<b>2,644,668,500</b>	<b>100.00%</b>	<b>2,905,918,850</b>	<b>100.00%</b>	<b>261,250,350</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 17 January 2019		As of 24 January 2019		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
<b>Institutional</b>	197,520,065	7.47%	197,343,531	6.79%	-176,534	-0.68%	
<b>Non-Institutional</b>	2,447,148,435	92.53%	2,708,575,319	93.21%	261,426,884	0.68%	
<b>Grand Total</b>	<b>2,644,668,500</b>	<b>100.00%</b>	<b>2,905,918,850</b>	<b>100.00%</b>	<b>261,250,350</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

