

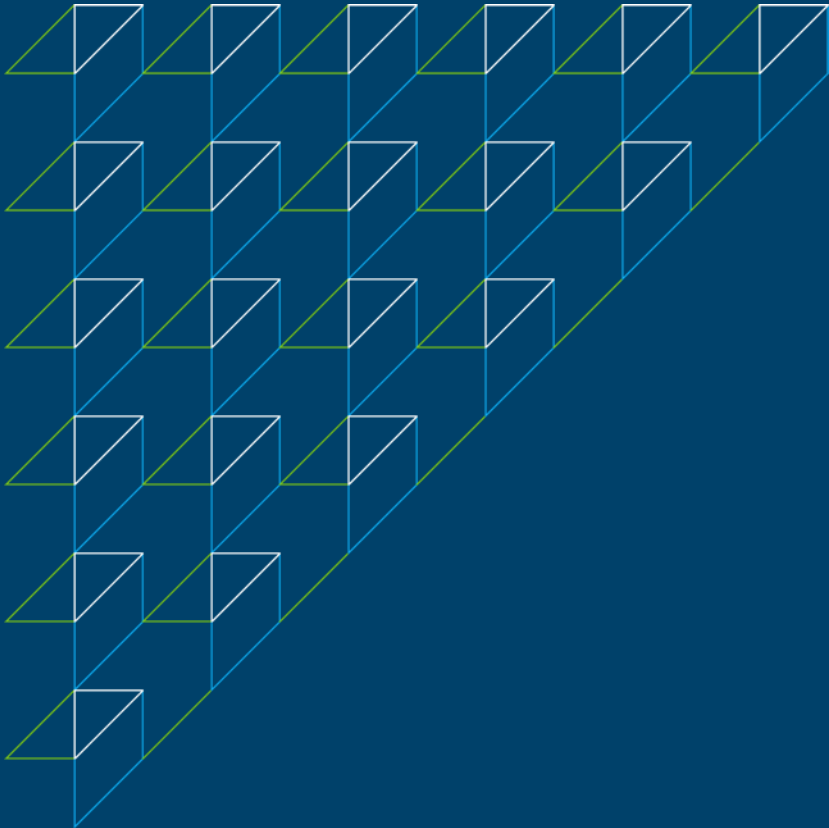
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 18 October 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMS	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMS	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMS	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMS	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	7,633,305,470	28.04%	8,763,353,201	32.19%	-1,130,047,731	-4.15%
	HNWIs	6,262,774,366	23.01%	7,189,548,702	26.41%	-926,774,336	-3.40%
	IPIs	1,187,410,377	4.36%	2,328,733,179	8.55%	-1,141,322,802	-4.19%
	Individual DPMs	146,973,529	0.54%	381,764,440	1.40%	-234,790,911	-0.86%
	<b>Sub Total (Individuals)</b>	<b>15,230,463,743</b>	<b>55.95%</b>	<b>18,663,399,522</b>	<b>68.56%</b>	<b>-3,432,935,780</b>	<b>-12.61%</b>
	<b>Institutions:</b>						
	Corporates	1,663,891,151	6.11%	1,642,515,241	6.03%	21,375,910	0.08%
	Mutual Funds	386,756,924	1.42%	465,753,463	1.71%	-78,996,539	-0.29%
	GRE's	1,770,605,381	6.50%	0	0.00%	1,770,605,381	6.50%
	Institution DPMs	6,634,807,059	24.37%	540,298,203	1.98%	6,094,508,855	22.39%
	<b>Sub Total (Institutions)</b>	<b>10,456,060,514</b>	<b>38.41%</b>	<b>2,648,566,908</b>	<b>9.73%</b>	<b>7,807,493,607</b>	<b>28.68%</b>
<b>Total Saudi Investors</b>	<b>25,686,524,257</b>	<b>94.36%</b>	<b>21,311,966,430</b>	<b>78.29%</b>	<b>4,374,557,827</b>	<b>16.07%</b>	
GCC	Individuals	53,737,705	0.20%	88,093,661	0.32%	-34,355,955	-0.13%
	Institutions	486,304,958	1.79%	819,840,748	3.01%	-333,535,789	-1.23%
	GCC DPMs	3,422,321	0.01%	3,085,099	0.01%	337,221	0.00%
	<b>Total GCC Investors</b>	<b>543,464,985</b>	<b>2.00%</b>	<b>911,019,508</b>	<b>3.35%</b>	<b>-367,554,523</b>	<b>-1.35%</b>
Foreign	SWAP Holders	142,521,046	0.52%	1,743,645,836	6.41%	-1,601,124,790	-5.88%
	Foreign Residents	249,382,942	0.92%	360,312,792	1.32%	-110,929,850	-0.41%
	QFIs	599,212,356	2.20%	2,894,131,485	10.63%	-2,294,919,129	-8.43%
	Foreign DPMs	231,679	0.00%	261,214	0.00%	-29,535	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>991,348,023</b>	<b>3.64%</b>	<b>4,998,351,327</b>	<b>18.36%</b>	<b>-4,007,003,304</b>	<b>-14.72%</b>
<b>Grand Total</b>		<b>27,221,337,265</b>	<b>100.00%</b>	<b>27,221,337,265</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	13,022,136,780	47.84%	10,820,028,908	39.75%	2,202,107,872	8.09%
Non-Institutional	14,199,200,484	52.16%	16,401,308,357	60.25%	-2,202,107,872	-8.09%
<b>Grand Total</b>	<b>27,221,337,265</b>	<b>100.00%</b>	<b>27,221,337,265</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 11 October 2018		As of 18 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	70,895,074,920	3.97%	71,413,587,374	3.91%	518,512,453	-0.06%
	HNWIs	139,297,941,379	7.81%	140,223,758,649	7.68%	925,817,270	-0.13%
	IPIs	247,399,407,278	13.87%	247,461,574,329	13.56%	62,167,051	-0.31%
	Individual DPMs	5,220,972,138	0.29%	5,110,225,631	0.28%	-110,746,506	-0.01%
	<b>Sub Total (Individuals)</b>	<b>462,813,395,715</b>	<b>25.94%</b>	<b>464,209,145,983</b>	<b>25.43%</b>	<b>1,395,750,268</b>	<b>-0.51%</b>
	<b>Institutions:</b>						
	Corporates	278,626,117,739	15.62%	282,668,888,472	15.48%	4,042,770,733	-0.13%
	Mutual Funds	90,023,631,700	5.05%	90,970,127,984	4.98%	946,496,284	-0.06%
	GRE's	712,413,151,959	39.93%	740,742,839,628	40.58%	28,329,687,669	0.65%
	Institution DPMs	114,116,485,392	6.40%	121,221,951,649	6.64%	7,105,466,257	0.24%
<b>Sub Total (Institutions)</b>	<b>1,195,179,386,790</b>	<b>66.98%</b>	<b>1,235,603,807,732</b>	<b>67.68%</b>	<b>40,424,420,942</b>	<b>0.70%</b>	
<b>Total Saudi Investors</b>	<b>1,657,992,782,505</b>	<b>92.92%</b>	<b>1,699,812,953,715</b>	<b>93.11%</b>	<b>41,820,171,210</b>	<b>0.19%</b>	
GCC	Individuals	2,905,313,314	0.16%	2,917,020,038	0.16%	11,706,724	0.00%
	Institutions	33,079,752,587	1.85%	32,610,673,424	1.79%	-469,079,163	-0.07%
	GCC DPMs	802,618,296	0.04%	803,852,314	0.04%	1,234,018	0.00%
	<b>Total GCC Investors</b>	<b>36,787,684,197</b>	<b>2.06%</b>	<b>36,331,545,776</b>	<b>1.99%</b>	<b>-456,138,421</b>	<b>-0.07%</b>
Foreign	SWAP Holders	12,564,414,567	0.70%	10,853,983,118	0.59%	-1,710,431,449	-0.11%
	Foreign Residents	6,609,249,832	0.37%	6,554,547,411	0.36%	-54,702,421	-0.01%
	QFIs	16,464,816,071	0.92%	14,875,015,417	0.81%	-1,589,800,654	-0.11%
	Foreign DPMs	3,077,838,365	0.17%	3,087,975,012	0.17%	10,136,647	0.00%
	Strategic Partners	50,779,364,873	2.85%	54,018,822,057	2.96%	3,239,457,184	0.11%
	<b>Total Foreign Investors</b>	<b>89,495,683,709</b>	<b>5.02%</b>	<b>89,390,343,016</b>	<b>4.90%</b>	<b>-105,340,693</b>	<b>-0.12%</b>
<b>Grand Total</b>		<b>1,784,276,150,411</b>	<b>100.00%</b>	<b>1,825,534,842,507</b>	<b>100.00%</b>	<b>41,258,692,096</b>	

\* Non deposited amount of 497,340,000 SAR was not reflected as on 18 October holding value ( Saudi Industrial Export Co. 4140 - Corporate Action - Tradable Rights )

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 11 October 2018		As of 18 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,564,568,570,966	87.69%	1,604,425,929,035	87.89%	39,857,358,069	0.20%
Non-Institutional	219,707,579,446	12.31%	221,108,913,472	12.11%	1,401,334,026	-0.20%
<b>Grand Total</b>	<b>1,784,276,150,411</b>	<b>100.00%</b>	<b>1,825,534,842,507</b>	<b>100.00%</b>	<b>41,258,692,096</b>	

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	3,731,934	73.36%	4,402,537	86.54%	-670,603	-13.18%
	Corporates	1,170,657	23.01%	325,886	6.41%	844,770	16.61%
	Institution	19,424	0.38%	318,556	6.26%	-299,133	-5.88%
	<b>Total Saudi Investors</b>	<b>4,922,014</b>	<b>96.76%</b>	<b>5,046,980</b>	<b>99.21%</b>	<b>-124,966</b>	<b>-2.46%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	43,128	0.85%	0	0.00%	43,128	0.85%
	<b>Total GCC Investors</b>	<b>43,128</b>	<b>0.85%</b>	<b>0</b>	<b>0.00%</b>	<b>43,128</b>	<b>0.85%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	39,788	0.78%	40,050	0.79%	-262	-0.01%
	QFIs	82,100	1.61%	0	0.00%	82,100	1.61%
	<b>Total Foreign Investors</b>	<b>121,888</b>	<b>2.40%</b>	<b>40,050</b>	<b>0.79%</b>	<b>81,838</b>	<b>1.61%</b>
<b>Grand Total</b>		<b>5,087,030</b>	<b>100.00%</b>	<b>5,087,030</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
<b>Institutional</b>	1,315,308	25.86%	644,442	12.67%	670,866	13.19%	
<b>Non-Institutional</b>	3,771,722	74.14%	4,442,588	87.33%	-670,866	-13.19%	
<b>Grand Total</b>	<b>5,087,030</b>	<b>100.00%</b>	<b>5,087,030</b>	<b>100.00%</b>			

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 11 October 2018		As of 18 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,876,628,297	91.50%	1,842,801,776	91.40%	-33,826,521	-0.10%
	Corporates	110,298,421	5.38%	110,091,397	5.46%	-207,024	0.08%
	Institution	39,192,167	1.91%	38,337,694	1.90%	-854,473	-0.01%
	<b>Total Saudi Investors</b>	<b>2,026,118,884</b>	<b>98.79%</b>	<b>1,991,230,866</b>	<b>98.76%</b>	<b>-34,888,018</b>	<b>-0.03%</b>
GCC	Individuals	22,815	0.00%	22,663	0.00%	-152	0.00%
	Institutions	13,019,381	0.63%	12,559,368	0.62%	-460,013	-0.01%
	<b>Total GCC Investors</b>	<b>13,042,196</b>	<b>0.64%</b>	<b>12,582,031</b>	<b>0.62%</b>	<b>-460,165</b>	<b>-0.01%</b>
Foreign	SWAP Holders	193,635	0.01%	168,296	0.01%	-25,339	0.00%
	Foreign Residents	1,986,888	0.10%	1,959,620	0.10%	-27,268	0.00%
	QFIs	9,628,397	0.47%	10,267,557	0.51%	639,159	0.04%
	<b>Total Foreign Investors</b>	<b>11,808,920</b>	<b>0.58%</b>	<b>12,395,473</b>	<b>0.61%</b>	<b>586,553</b>	<b>0.04%</b>
<b>Grand Total</b>		<b>2,050,970,000</b>	<b>100.00%</b>	<b>2,016,208,370</b>	<b>100.00%</b>	<b>-34,761,630</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 11 October 2018		As of 18 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	172,332,000	8.40%	171,424,312	8.50%	-907,689	0.10%
Non-Institutional	1,878,638,000	91.60%	1,844,784,059	91.50%	-33,853,941	-0.10%
<b>Grand Total</b>	<b>2,050,970,000</b>	<b>100.00%</b>	<b>2,016,208,370</b>	<b>100.00%</b>	<b>-34,761,630</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

