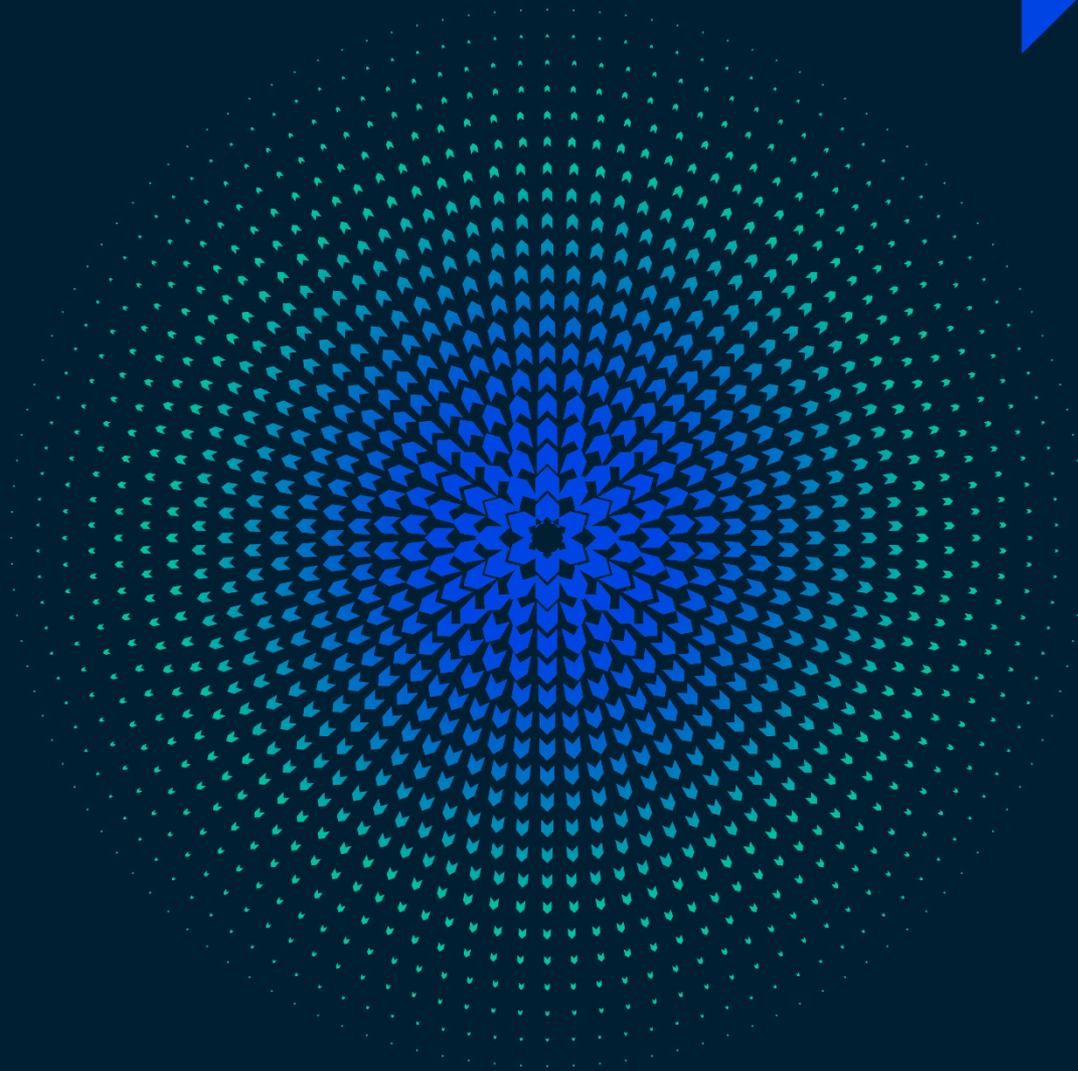


Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 31 October 2023



Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	38,031,551,130	34.04%	35,849,185,744	32.09%	2,182,365,386	1.95%	
	HNWIs	19,585,828,485	17.53%	19,999,495,686	17.90%	-413,667,201	-0.37%	
	IPIs	3,896,179,415	3.49%	5,243,435,282	4.69%	-1,347,255,867	-1.21%	
	Individual DPMs	951,782,106	0.85%	1,089,229,581	0.98%	-137,447,476	-0.12%	
	Sub Total (Individuals)	62,465,341,135	55.92%	62,181,346,294	55.66%	283,994,841	0.25%	
	Institutions:							
	Corporates	7,587,505,679	6.79%	7,596,418,404	6.80%	-8,912,725	-0.01%	
	Mutual Funds	5,797,655,342	5.19%	5,351,570,556	4.79%	446,084,786	0.40%	
	GRE's	7,560,580,351	6.77%	4,752,499,654	4.25%	2,808,080,697	2.51%	
	Institution DPMs	807,353,193	0.72%	570,471,237	0.51%	236,881,957	0.21%	
	Sub Total (Institutions)	21,753,094,565	19.47%	18,270,959,851	16.36%	3,482,134,714	3.12%	
Total Saudi Investors	84,218,435,700	75.39%	80,452,306,144	72.02%	3,766,129,555	3.37%		
GCC	Individuals	385,304,481	0.34%	231,619,742	0.21%	153,684,739	0.14%	
	Institutions	1,625,717,105	1.46%	2,250,532,978	2.01%	-624,815,873	-0.56%	
	GCC DPMs	86,956,865	0.08%	96,716,608	0.09%	-9,759,744	-0.01%	
	Total GCC Investors	2,097,978,451	1.88%	2,578,869,328	2.31%	-480,890,878	-0.43%	
Foreign	SWAP Holders	62,541,473	0.06%	100,989,554	0.09%	-38,448,081	-0.03%	
	Foreign Residents & Others	1,982,612,138	1.77%	1,906,382,287	1.71%	76,229,851	0.07%	
	QFIs	23,349,091,953	20.90%	26,672,488,422	23.88%	-3,323,396,469	-2.97%	
	Foreign DPMs	2,399,478	0.00%	2,023,457	0.00%	376,021	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	25,396,645,042	22.73%	28,681,883,720	25.67%	-3,285,238,678	-2.94%	
Grand Total		111,713,059,192	100.00%	111,713,059,192	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	51,727,762,959	46.30%	53,726,375,733	48.09%	-1,998,612,774	-1.79%
Non-Institutional	59,985,296,233	53.70%	57,986,683,459	51.91%	1,998,612,774	1.79%
Grand Total	111,713,059,192	100.00%	111,713,059,192	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2023			As of 31 October 2023			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	144,373,699,692	1.27%	5.34%	138,171,196,489	1.26%	5.35%	-6,202,503,203	0.00%	0.01%
	HNWIs	235,441,378,158	2.06%	8.62%	226,211,302,889	2.07%	8.68%	-9,230,075,268	0.01%	0.06%
	IPIs	409,117,538,482	3.59%	11.65%	398,491,448,647	3.64%	11.78%	-10,626,089,835	0.06%	0.13%
	Individual DPMs	8,196,801,128	0.07%	0.30%	7,831,689,175	0.07%	0.30%	-365,111,953	0.00%	0.00%
	Sub Total (Individuals)	797,129,417,460	6.99%	25.91%	770,705,637,201	7.05%	26.12%	-26,423,780,259	0.06%	0.20%
	Institutions:									
	Corporates	1,257,899,513,264	11.02%	31.17%	1,212,902,280,316	11.09%	31.75%	-44,997,232,947	0.07%	0.58%
	Mutual Funds	283,348,762,879	2.48%	8.20%	274,162,216,690	2.51%	8.42%	-9,186,546,190	0.02%	0.22%
	GRE's	8,607,747,969,124	75.44%	19.36%	8,217,282,673,385	75.16%	18.30%	-390,465,295,739	-0.28%	-1.06%
	Institution DPMs	41,711,539,855	0.37%	1.33%	41,652,907,354	0.38%	1.40%	-58,632,501	0.02%	0.07%
Sub Total (Institutions)	10,190,707,785,122	89.31%	60.06%	9,746,000,077,745	89.14%	59.88%	-444,707,707,377	-0.17%	-0.18%	
Total Saudi Investors	10,987,837,202,582	96.30%	85.97%	10,516,705,714,946	96.19%	85.99%	-471,131,487,637	-0.11%	0.02%	
GCC	Individuals	3,162,508,037	0.03%	0.12%	3,127,106,580	0.03%	0.13%	-35,401,458	0.00%	0.00%
	Institutions	52,659,208,506	0.46%	1.36%	58,650,715,880	0.54%	1.35%	5,991,507,374	0.07%	-0.01%
	GCC DPMs	957,378,768	0.01%	0.04%	949,057,715	0.01%	0.04%	-8,321,053	0.00%	0.00%
	Total GCC Investors	56,779,095,312	0.50%	1.52%	62,726,880,174	0.57%	1.51%	5,947,784,863	0.08%	0.00%
Foreign	SWAP Holders	2,226,743,878	0.02%	0.09%	2,235,758,878	0.02%	0.09%	9,015,000	0.00%	0.00%
	Foreign Residents & Others	16,268,261,776	0.14%	0.62%	15,646,728,121	0.14%	0.62%	-621,533,655	0.00%	-0.01%
	QFIs	290,069,022,960	2.54%	11.28%	279,941,724,256	2.56%	11.23%	-10,127,298,704	0.02%	-0.05%
	Foreign DPMs	13,591,548,813	0.12%	0.53%	13,915,817,523	0.13%	0.56%	324,268,710	0.01%	0.03%
	Strategic Investors	43,755,975,962	0.38%	0.00%	42,422,447,043	0.39%	0.00%	-1,333,528,919	0.00%	0.00%
Total Foreign Investors	365,911,553,388	3.21%	12.51%	354,162,475,820	3.24%	12.50%	-11,749,077,568	0.03%	-0.02%	
Grand Total		11,410,527,851,282	100.00%	100.00%	10,933,595,070,940	100.00%	100.00%	-476,932,780,342		

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 September 2023			As of 31 October 2023			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	11,011,282,003,619	96.50%	85.29%	10,550,438,736,861	96.50%	85.22%	-460,843,266,758	-0.01%	-0.07%
Non-Institutional	399,245,847,663	3.50%	14.71%	383,156,334,079	3.50%	14.78%	-16,089,513,583	0.01%	0.07%
Grand Total	11,410,527,851,282	100.00%	100.00%	10,933,595,070,940	100.00%	100.00%	-476,932,780,342		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	548,461,050	89.17%	511,516,783	83.16%	36,944,267	6.01%
	Corporates	8,818,159	1.43%	47,211,462	7.68%	-38,393,304	-6.24%
	Institution	21,192,303	3.45%	13,266,016	2.16%	7,926,288	1.29%
	Total Saudi Investors	578,471,512	94.05%	571,994,261	93.00%	6,477,251	1.05%
GCC	Individuals	322,287	0.05%	203,382	0.03%	118,904	0.02%
	Institutions	486,307	0.08%	1,180,985	0.19%	-694,679	-0.11%
	Total GCC Investors	808,593	0.13%	1,384,367	0.23%	-575,774	-0.09%
Foreign	SWAP Holders	0	0.00%	218,066	0.04%	-218,066	-0.04%
	Foreign Residents	11,355,315	1.85%	13,586,348	2.21%	-2,231,033	-0.36%
	QFIs	24,444,038	3.97%	27,896,415	4.54%	-3,452,378	-0.56%
	Total Foreign Investors	35,799,353	5.82%	41,700,829	6.78%	-5,901,477	-0.96%
Grand Total		615,079,458	100.00%	615,079,458	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	54,940,806	8.93%	89,772,944	14.60%	-34,832,138	-5.66%
Non-Institutional	560,138,652	91.07%	525,306,513	85.40%	34,832,138	5.66%
Grand Total	615,079,458	100.00%	615,079,458	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 September 2023			As of 31 October 2023			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	32,450,488,669	68.63%	66.62%	33,032,370,358	68.83%	66.63%	581,881,689	0.20%	0.01%
	Corporates	13,358,976,675	28.25%	28.99%	13,421,783,875	27.97%	28.73%	62,807,200	-0.29%	-0.26%
	Institution	735,925,278	1.56%	1.06%	730,313,121	1.52%	1.05%	-5,612,157	-0.03%	-0.02%
	Total Saudi Investors	46,545,390,622	98.43%	96.67%	47,184,467,354	98.31%	96.40%	639,076,732	-0.12%	-0.27%
GCC	Individuals	49,470,917	0.10%	0.22%	104,732,304	0.22%	0.47%	55,261,388	0.11%	0.24%
	Institutions	145,588,797	0.31%	0.65%	155,508,918	0.32%	0.69%	9,920,121	0.02%	0.04%
	Total GCC Investors	195,059,714	0.41%	0.88%	260,241,223	0.54%	1.16%	65,181,509	0.13%	0.28%
Foreign	SWAP Holders	2,312,240	0.00%	0.01%	2,064,023	0.00%	0.01%	-248,217	0.00%	0.00%
	Foreign Residents	268,398,995	0.57%	1.21%	282,350,544	0.59%	1.26%	13,951,550	0.02%	0.05%
	QFIs	274,864,022	0.58%	1.24%	264,190,458	0.55%	1.18%	-10,673,564	-0.03%	-0.06%
	Total Foreign Investors	545,575,257	1.15%	2.45%	548,605,025	1.14%	2.44%	3,029,768	-0.01%	-0.01%
Grand Total		47,286,025,593	100.00%	100.00%	47,993,313,602	100.00%	100.00%	707,288,009		

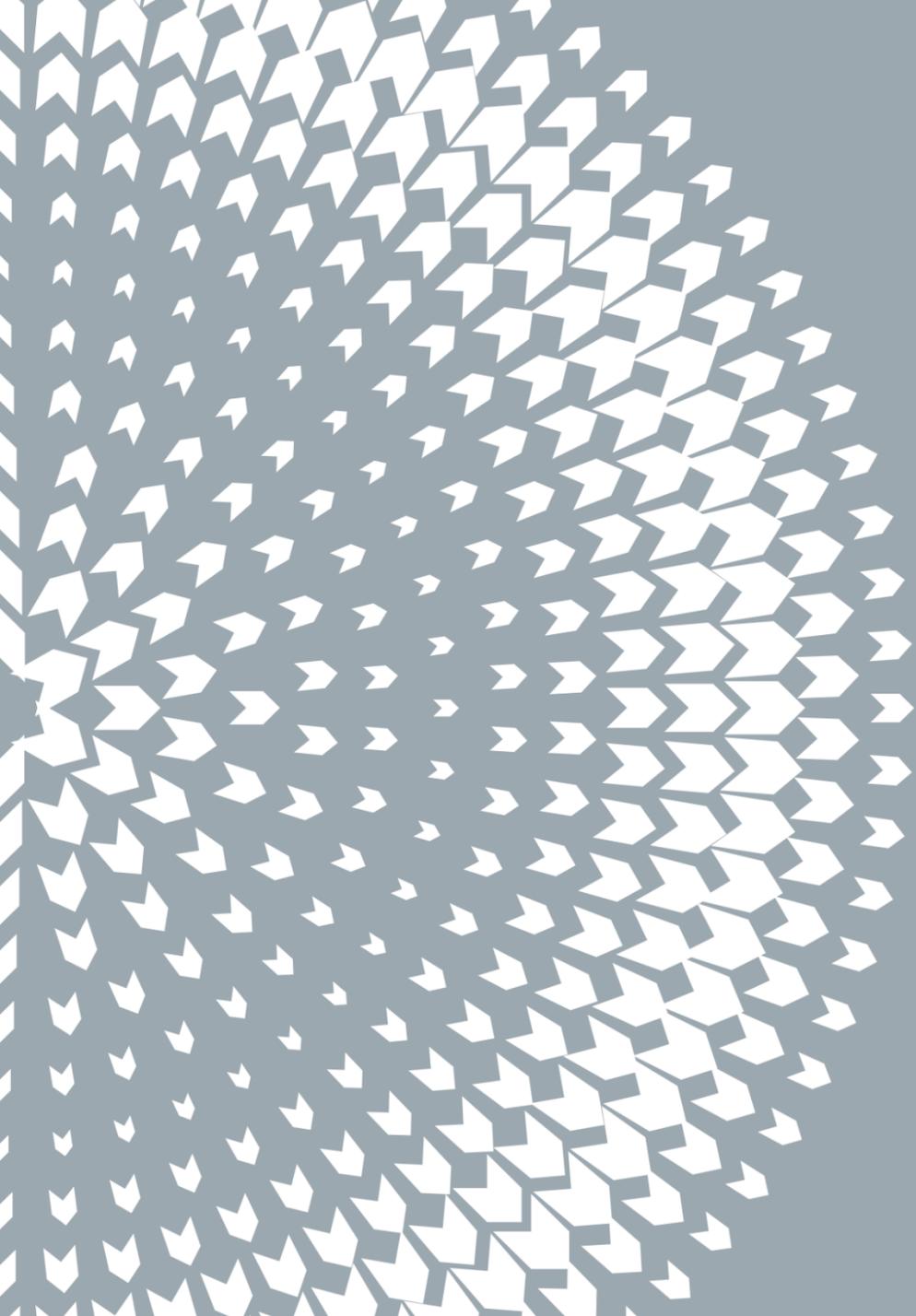
Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 September 2023			As of 31 October 2023			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	14,517,667,013	30.70%	31.95%	14,573,860,395	30.37%	31.65%	56,193,383	-0.34%	-0.30%
Non-Institutional	32,768,358,580	69.30%	68.05%	33,419,453,207	69.63%	68.35%	651,094,627	0.34%	0.30%
Grand Total	47,286,025,593	100.00%	100.00%	47,993,313,602	100.00%	100.00%	707,288,009		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	704,514,896	25.45%	1,876,629,730	67.80%	-1,172,114,834	-42.35%
	GRE's	81,156,050	2.93%	0	0.00%	81,156,050	2.93%
	Individual	135,104,650	4.88%	145,298,161	5.25%	-10,193,511	-0.37%
	Institutions	23,589,953	0.85%	37,746,484	1.36%	-14,156,530	-0.51%
	Total Saudi Investors	944,365,549	34.12%	2,059,674,375	74.42%	-1,115,308,825	-40.30%
GCC	Individual	20,048	0.00%	10,030	0.00%	10,018	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	20,048	0.00%	10,030	0.00%	10,018	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,071,917	0.18%	1,265,576	0.05%	3,806,341	0.14%
	QFIs	1,818,240,000	65.70%	706,747,533	25.54%	1,111,492,467	40.16%
	Total Foreign Investors	1,823,311,917	65.88%	708,013,109	25.58%	1,115,298,808	40.30%
Grand Total		2,767,697,514	100.00%	2,767,697,514	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,627,500,899	94.93%	2,621,123,747	94.70%	6,377,153	0.23%
Non-Institutional	140,196,615	5.07%	146,573,767	5.30%	-6,377,153	-0.23%
Grand Total	2,767,697,514	100.00%	2,767,697,514	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 30 September 2023		As of 31 October 2023		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	416,676,857,000	77.92%	416,550,664,000	77.62%	-126,193,000	-0.30%
	GRE's	99,297,669,000	18.57%	99,416,734,000	18.53%	119,065,000	-0.04%
	Individual	9,088,525,000	1.70%	9,024,262,000	1.68%	-64,263,000	-0.02%
	Institutions	4,281,266,000	0.80%	4,102,707,000	0.76%	-178,559,000	-0.04%
	Total Saudi Investors	529,344,317,000	98.99%	529,094,367,000	98.60%	-249,950,000	-0.40%
GCC	Individual	58,993,000	0.01%	59,369,000	0.01%	376,000	0.00%
	Institutions	1,150,000,000	0.22%	1,150,000,000	0.21%	0	0.00%
	Total GCC Investors	1,208,993,000	0.23%	1,209,369,000	0.23%	376,000	0.00%
Foreign	SWAP Holders	160,000,000	0.03%	185,000,000	0.03%	25,000,000	0.00%
	Foreign Residents & Others	1,680,989,000	0.31%	2,449,377,000	0.46%	768,388,000	0.14%
	QFIs	2,325,920,000	0.43%	3,685,055,000	0.69%	1,359,135,000	0.25%
	Total Foreign Investors	4,166,909,000	0.78%	6,319,432,000	1.18%	2,152,523,000	0.40%
Grand Total		534,720,219,000	100.00%	536,623,168,000	100.00%	1,902,949,000	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 September 2023		As of 31 October 2023		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	523,891,712,000	97.97%	525,090,160,000	97.85%	1,198,448,000	-0.12%
Non-Institutional	10,828,507,000	2.03%	11,533,008,000	2.15%	704,501,000	0.12%
Grand Total	534,720,219,000	100.00%	536,623,168,000	100.00%	1,902,949,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you