

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 27 February 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	8,295,042,089	41.67%	8,731,082,493	43.86%	-436,040,403	-2.19%
	HNWIs	4,225,618,819	21.23%	4,348,871,076	21.85%	-123,252,256	-0.62%
	IPIs	864,353,165	4.34%	515,900,575	2.59%	348,452,590	1.75%
	Individual DPMs	150,353,983	0.76%	532,729,243	2.68%	-382,375,260	-1.92%
	Sub Total (Individuals)	13,535,368,057	68.00%	14,128,583,387	70.98%	-593,215,330	-2.98%
	Institutions:						
	Corporates	1,512,030,916	7.60%	634,104,592	3.19%	877,926,324	4.41%
	Mutual Funds	854,881,856	4.29%	1,079,667,643	5.42%	-224,785,787	-1.13%
	GRE's	14,926,944	0.07%	10,070,823	0.05%	4,856,120	0.02%
	Institution DPMs	215,544,711	1.08%	612,762,164	3.08%	-397,217,453	-2.00%
	Sub Total (Institutions)	2,597,384,427	13.05%	2,336,605,222	11.74%	260,779,204	1.31%
	Total Saudi Investors	16,132,752,484	81.05%	16,465,188,609	82.72%	-332,436,126	-1.67%
	GCC	Individuals	26,894,480	0.14%	42,571,779	0.21%	-15,677,299
Institutions		249,182,821	1.25%	353,875,434	1.78%	-104,692,613	-0.53%
GCC DPMs		18,379,310	0.09%	6,040,385	0.03%	12,338,925	0.06%
Total GCC Investors		294,456,611	1.48%	402,487,598	2.02%	-108,030,987	-0.54%
Foreign	SWAP Holders	24,317,973	0.12%	73,618,777	0.37%	-49,300,803	-0.25%
	Foreign Residents & Others	242,910,516	1.22%	267,921,535	1.35%	-25,011,019	-0.13%
	QFIs	3,210,353,995	16.13%	2,682,034,663	13.47%	528,319,332	2.65%
	Foreign DPMs	0	0.00%	8,843,604	0.04%	-8,843,604	-0.04%
	Strategic Investor	0	0.00%	4,696,793	0.02%	-4,696,793	-0.02%
	Total Foreign Investors	3,477,582,484	17.47%	3,037,115,371	15.26%	440,467,113	2.21%
Grand Total		19,904,791,579	100.00%	19,904,791,579	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,114,325,674	35.74%	6,514,344,697	32.73%	599,980,977	3.01%
Non-Institutional	12,790,465,905	64.26%	13,390,446,882	67.27%	-599,980,977	-3.01%
Grand Total	19,904,791,579	100.00%	19,904,791,579	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 20 February 2020		As of 27 February 2020		Weekly Change					
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership		
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)	
Saudi	Individuals:										
	Retail	105,207,035,505	1.23%	8.97%	98,153,574,394	1.16%	8.78%	-7,053,461,112	-0.06%	-0.19%	
	HNWIs	160,807,490,695	1.87%	13.54%	154,616,010,543	1.84%	13.66%	-6,191,480,152	-0.04%	0.12%	
	IPIs	269,283,771,429	3.14%	17.74%	257,022,784,900	3.05%	17.77%	-12,260,986,529	-0.09%	0.03%	
	Individual DPMs	7,926,074,323	0.09%	0.68%	6,757,659,484	0.08%	0.60%	-1,168,414,839	-0.01%	-0.07%	
	Sub Total (Individuals)	543,224,371,952	6.33%	40.93%	516,550,029,321	6.13%	40.81%	-26,674,342,631	-0.20%	-0.11%	
	Institutions:										
	Corporates	362,596,873,610	4.23%	22.87%	346,961,781,866	4.12%	22.98%	-15,635,091,744	-0.11%	0.11%	
	Mutual Funds	187,192,497,861	2.18%	11.18%	178,093,710,051	2.11%	11.10%	-9,098,787,810	-0.07%	-0.08%	
	GRE's	7,226,338,695,867	84.20%	7.11%	7,130,848,145,278	84.64%	7.07%	-95,490,550,588	0.43%	-0.03%	
	Institution DPMs	35,485,515,492	0.41%	3.03%	34,149,570,530	0.41%	3.05%	-1,335,944,963	-0.01%	0.03%	
	Sub Total (Institutions)	7,811,613,582,830	91.02%	44.18%	7,690,053,207,726	91.27%	44.21%	-121,560,375,104	0.25%	0.03%	
Total Saudi Investors	8,354,837,954,783	97.35%	85.10%	8,206,603,237,047	97.40%	85.03%	-148,234,717,736	0.05%	-0.08%		
GCC	Individuals	2,590,239,554	0.03%	0.22%	2,438,448,280	0.03%	0.22%	-151,791,274	0.00%	0.00%	
	Institutions	38,141,736,143	0.44%	2.15%	36,116,483,901	0.43%	2.15%	-2,025,252,242	-0.02%	0.00%	
	GCC DPMs	557,707,341	0.01%	0.05%	499,210,909	0.01%	0.05%	-58,496,432	0.00%	0.00%	
	Total GCC Investors	41,289,683,038	0.48%	2.43%	39,054,143,091	0.46%	2.42%	-2,235,539,947	-0.02%	-0.01%	
Foreign	SWAP Holders	3,060,367,139	0.04%	0.26%	2,912,257,426	0.03%	0.26%	-148,109,714	0.00%	0.00%	
	Foreign Residents & Others	14,341,819,919	0.17%	0.97%	13,873,174,947	0.16%	0.99%	-468,644,972	0.00%	0.02%	
	QFIs	128,786,655,587	1.50%	11.10%	123,384,667,000	1.46%	11.16%	-5,401,988,588	-0.04%	0.06%	
	Foreign DPMs	1,655,227,179	0.02%	0.14%	1,637,389,662	0.02%	0.15%	-17,837,517	0.00%	0.01%	
	Strategic Investors	38,175,510,639	0.44%	0.00%	37,791,038,826	0.45%	0.00%	-384,471,813	0.00%	0.00%	
Total Foreign Investors	186,019,580,463	2.17%	12.47%	179,598,527,860	2.13%	12.55%	-6,421,052,603	-0.04%	0.08%		
Grand Total		8,582,147,218,283	100.00%	100.00%	8,425,255,907,998	100.00%	100.00%	-156,891,310,286			

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 20 February 2020			As of 27 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,306,903,405,888	96.70%	76.30%	8,163,649,285,092	96.81%	76.35%	-143,254,120,796	0.10%	0.05%
Non-Institutional	275,243,812,395	3.30%	23.70%	261,606,622,905	3.19%	23.65%	-13,637,189,490	-0.10%	-0.05%
Grand Total	8,582,147,218,283	100.00%	100.00%	8,425,255,907,998	100.00%	100.00%	-156,891,310,286		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	78,086,407	98.79%	77,655,619	98.24%	430,789	0.55%
	Corporates	0	0.00%	0	0.00%	0	0.00%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	78,086,407	98.79%	77,655,619	98.24%	430,789	0.55%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	7,680	0.01%	0	0.00%	7,680	0.01%
	Foreign Residents	949,357	1.20%	1,387,825	1.76%	-438,469	-0.55%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	957,037	1.21%	1,387,825	1.76%	-430,789	-0.55%
Grand Total		79,043,444	100.00%	79,043,444	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,680	0.01%	0	0.00%	7,680	0.01%
Non-Institutional	79,035,764	99.99%	79,043,444	100.00%	-7,680	-0.01%
Grand Total	79,043,444	100.00%	79,043,444	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 20 February 2020			As of 27 February 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,324,928,072	96.86%	96.20%	2,299,837,458	96.80%	96.29%	-25,090,613	-0.06%	0.09%
	Corporates	67,937,696	2.83%	2.84%	69,042,401	2.91%	2.80%	1,104,705	0.08%	-0.04%
	Institution	2,544,833	0.11%	0.31%	2,480,066	0.10%	0.31%	-64,767	0.00%	-0.01%
	Total Saudi Investors	2,395,410,600	99.80%	99.35%	2,371,359,926	99.82%	99.39%	-24,050,675	0.01%	0.05%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	4,789,400	0.20%	0.65%	4,390,075	0.18%	0.61%	-399,325	-0.01%	-0.05%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	4,789,400	0.20%	0.65%	4,390,075	0.18%	0.61%	-399,325	-0.01%	-0.05%
Grand Total		2,400,200,000	100.00%	100.00%	2,375,750,000	100.00%	100.00%	-24,450,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 20 February 2020			As of 27 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	70,482,529	2.94%	3.15%	71,522,467	3.01%	3.10%	1,039,938	0.07%	-0.05%
Non-Institutional	2,329,717,471	97.06%	96.85%	2,304,227,533	96.99%	96.90%	-25,489,938	-0.07%	0.05%
Grand Total	2,400,200,000	100%	100%	2,375,750,000	100%	100%	-24,450,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

