

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 07 March 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the Investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,248,870,730	41.73%	5,466,182,882	43.46%	-217,312,152	-1.73%
	HNWIs	3,416,734,326	27.17%	3,441,588,458	27.36%	-24,854,133	-0.20%
	IPIs	641,616,622	5.10%	742,934,425	5.91%	-101,317,803	-0.81%
	Individual DPMs	114,360,442	0.91%	131,518,373	1.05%	-17,157,931	-0.14%
	Sub Total (Individuals)	9,421,582,120	74.91%	9,782,224,139	77.78%	-360,642,019	-2.87%
	Institutions:						
	Corporates	945,599,111	7.52%	1,076,415,556	8.56%	-130,816,445	-1.04%
	Mutual Funds	288,831,134	2.30%	698,146,385	5.55%	-409,315,251	-3.25%
	GRE's	215,189	0.00%	703,852	0.01%	-488,663	0.00%
	Institution DPMs	116,357,889	0.93%	144,192,880	1.15%	-27,834,991	-0.22%
	Sub Total (Institutions)	1,351,003,322	10.74%	1,919,458,673	15.26%	-568,455,351	-4.52%
Total Saudi Investors	10,772,585,442	85.65%	11,701,682,813	93.04%	-929,097,370	-7.39%	
GCC	Individuals	31,805,134	0.25%	21,740,539	0.17%	10,064,595	0.08%
	Institutions	204,315,773	1.62%	270,363,276	2.15%	-66,047,503	-0.53%
	GCC DPMs	91,379	0.00%	0	0.00%	91,379	0.00%
	Total GCC Investors	236,212,286	1.88%	292,103,815	2.32%	-55,891,528	-0.44%
Foreign	SWAP Holders	382,083,744	3.04%	155,221,089	1.23%	226,862,655	1.80%
	Foreign Residents	141,854,685	1.13%	154,125,279	1.23%	-12,270,594	-0.10%
	QFIs	1,044,308,314	8.30%	270,471,339	2.15%	773,836,975	6.15%
	Foreign DPMs	361,233	0.00%	205,230	0.00%	156,003	0.00%
	Strategic Partners	0	0.00%	3,596,140	0.03%	-3,596,140	-0.03%
	Total Foreign Investors	1,568,607,976	12.47%	583,619,077	4.64%	984,988,899	7.83%
Grand Total		12,577,405,705	100.00%	12,577,405,705	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,738,140,830	29.72%	3,493,768,547	27.78%	244,372,283	1.94%
Non-Institutional	8,839,264,875	70.28%	9,083,637,158	72.22%	-244,372,283	-1.94%
Grand Total	12,577,405,705	100.00%	12,577,405,705	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 February 2019		As of 7 March 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	75,878,601,702	3.77%	76,220,553,104	3.79%	341,951,403	0.02%	
	HNWIs	156,395,268,814	7.78%	156,791,093,828	7.81%	395,825,014	0.03%	
	IPIs	272,932,419,917	13.58%	273,083,392,415	13.60%	150,972,498	0.02%	
	Individual DPMs	5,421,645,293	0.27%	5,404,350,633	0.27%	-17,294,660	0.00%	
	Sub Total (Individuals)	510,627,935,726	25.40%	511,499,389,980	25.47%	871,454,254	0.07%	
	Institutions:							
	Corporates	304,735,676,298	15.16%	304,494,421,705	15.16%	-241,254,593	0.00%	
	Mutual Funds	185,117,578,131	9.21%	183,862,065,129	9.15%	-1,255,513,003	-0.05%	
	GRE's	814,017,655,250	40.49%	812,258,179,852	40.44%	-1,759,475,398	-0.05%	
	Institution DPMs	52,665,208,124	2.62%	52,388,277,719	2.61%	-276,930,405	-0.01%	
	Sub Total (Institutions)	1,356,536,117,803	67.47%	1,353,002,944,405	67.36%	-3,533,173,398	-0.11%	
Total Saudi Investors	1,867,164,053,529	92.87%	1,864,502,334,385	92.83%	-2,661,719,144	-0.05%		
GCC	Individuals	3,038,038,805	0.15%	3,041,297,416	0.15%	3,258,611	0.00%	
	Institutions	37,196,449,334	1.85%	37,393,574,930	1.86%	197,125,596	0.01%	
	GCC DPMs	888,650,022	0.04%	875,166,389	0.04%	-13,483,632	0.00%	
	Total GCC Investors	41,123,138,161	2.05%	41,310,038,735	2.06%	186,900,575	0.01%	
Foreign	SWAP Holders	12,750,444,216	0.63%	13,237,596,109	0.66%	487,151,893	0.02%	
	Foreign Residents	3,584,523,481	0.18%	3,596,791,568	0.18%	12,268,088	0.00%	
	QFIs	19,065,786,532	0.95%	19,395,737,570	0.97%	329,951,039	0.02%	
	Foreign DPMs	4,242,340,669	0.21%	4,254,532,083	0.21%	12,191,414	0.00%	
	Strategic Partners	62,562,357,570	3.11%	62,318,807,719	3.10%	-243,549,850	-0.01%	
	Total Foreign Investors	102,205,452,467	5.08%	102,803,465,051	5.12%	598,012,583	0.03%	
Grand Total		2,010,492,644,157	100.00%	2,008,615,838,171	100.00%	-1,876,805,986		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 28 February 2019		As of 7 March 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,771,596,211,356	88.12%	1,768,966,102,254	88.07%	-2,630,109,101	-0.05%
Non-Institutional	238,896,432,801	11.88%	239,649,735,917	11.93%	753,303,115	0.05%
Grand Total	2,010,492,644,157	100.00%	2,008,615,838,171	100.00%	-1,876,805,986	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	10,300,609	97.46%	9,696,782	91.74%	603,827	5.71%
	Corporates	82,947	0.78%	37,971	0.36%	44,976	0.43%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution	11,514	0.11%	483,838	4.58%	-472,324	-4.47%
	Total Saudi Investors	10,395,070	98.35%	10,218,591	96.68%	176,479	1.67%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	174,250	1.65%	94,936	0.90%	79,314	0.75%
	QFIs	0	0.00%	255,793	2.42%	-255,793	-2.42%
	Total Foreign Investors	174,250	1.65%	350,728	3.32%	-176,479	-1.67%
Grand Total		10,569,319	100.00%	10,569,319	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	94,461	0.89%	777,602	7.36%	-683,141	-6.46%
Non-Institutional	10,474,859	99.11%	9,791,718	92.64%	683,141	6.46%
Grand Total	10,569,319	100.00%	10,569,319	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 28 February 2019		As of 7 March 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,199,236,685	94.98%	4,265,729,067	95.08%	66,492,382	0.11%
	Corporates	151,729,973	3.43%	148,531,205	3.31%	-3,198,768	-0.12%
	GRE's	-	-	-	-	-	-
	Institution	38,933,312	0.88%	40,858,598	0.91%	1,925,286	0.03%
	Total Saudi Investors	4,389,899,970	99.29%	4,455,118,870	99.31%	65,218,900	0.02%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,524,123	0.28%	12,365,590	0.28%	-158,533	-0.01%
	Total GCC Investors	12,524,123	0.28%	12,365,590	0.28%	-158,533	-0.01%
Foreign	SWAP Holders	229,023	0.01%	256,286	0.01%	27,263	0.00%
	Foreign Residents	3,883,678	0.09%	4,019,765	0.09%	136,087	0.00%
	QFIs	14,722,346	0.33%	14,497,089	0.32%	-225,257	-0.01%
	Total Foreign Investors	18,835,047	0.43%	18,773,141	0.42%	-61,907	-0.01%
Grand Total		4,421,259,140	100.00%	4,486,257,600	100.00%	64,998,460	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 28 February 2019		As of 7 March 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	218,138,777	4.93%	216,508,768	4.83%	-1,630,010	-0.11%
Non-Institutional	4,203,120,363	95.07%	4,269,748,832	95.17%	66,628,470	0.11%
Grand Total	4,421,259,140	100.00%	4,486,257,600	100.00%	64,998,460	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

