

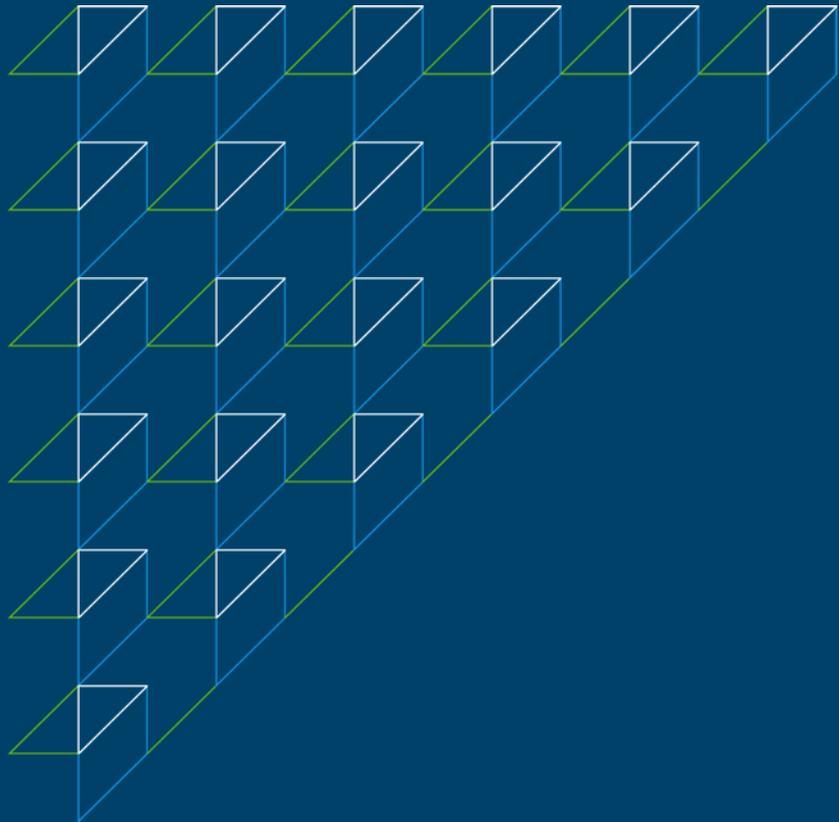
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 09 April 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

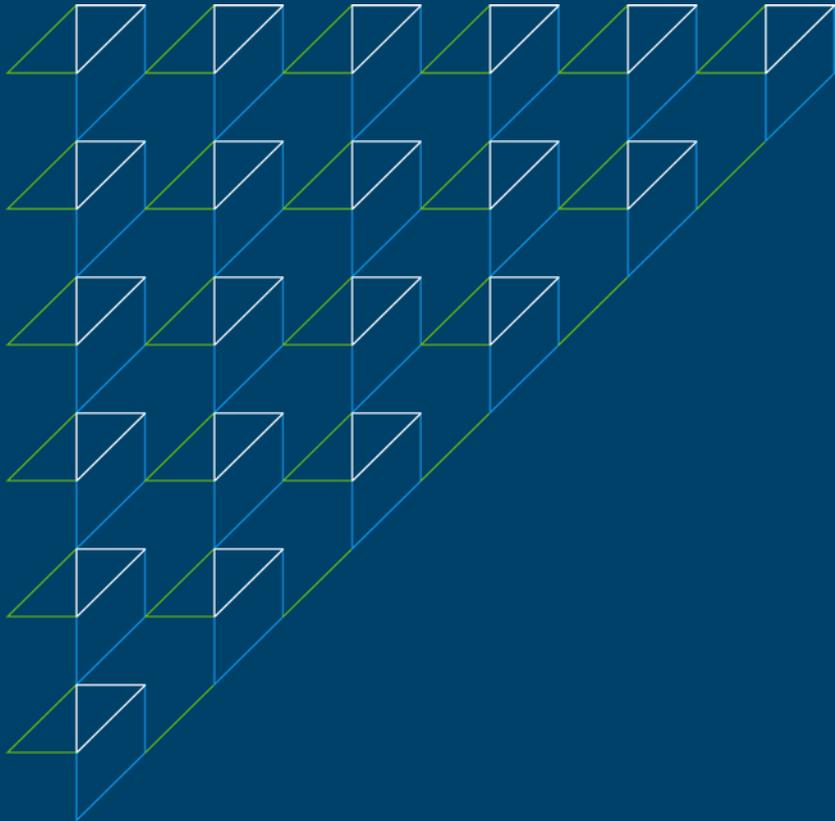
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	13,721,693,405	53.77%	12,907,521,563	50.58%	814,171,842	3.19%
	HNWIs	5,923,290,035	23.21%	6,544,774,516	25.64%	-621,484,481	-2.44%
	IPIs	624,018,045	2.45%	893,325,173	3.50%	-269,307,129	-1.06%
	Individual DPMs	151,419,783	0.59%	382,017,359	1.50%	-230,597,576	-0.90%
	Sub Total (Individuals)	20,420,421,267	80.01%	20,727,638,611	81.22%	-307,217,344	-1.20%
	Institutions:						
	Corporates	839,957,148	3.29%	1,129,551,259	4.43%	-289,594,111	-1.13%
	Mutual Funds	823,470,840	3.23%	612,368,996	2.40%	211,101,844	0.83%
	GRE's	31,701,131	0.12%	685,034,302	2.68%	-653,333,171	-2.56%
	Institution DPMs	283,320,637	1.11%	331,897,595	1.30%	-48,576,958	-0.19%
	Sub Total (Institutions)	1,978,449,756	7.75%	2,758,852,152	10.81%	-780,402,396	-3.06%
Total Saudi Investors	22,398,871,023	87.76%	23,486,490,763	92.03%	-1,087,619,740	-4.26%	
GCC	Individuals	76,681,702	0.30%	52,967,043	0.21%	23,714,659	0.09%
	Institutions	344,780,753	1.35%	368,474,237	1.44%	-23,693,485	-0.09%
	GCC DPMs	12,690,266	0.05%	14,805,234	0.06%	-2,114,968	-0.01%
	Total GCC Investors	434,152,720	1.70%	436,246,513	1.71%	-2,093,793	-0.01%
Foreign	SWAP Holders	48,442,692	0.19%	15,544,394	0.06%	32,898,298	0.13%
	Foreign Residents & Others	456,192,603	1.79%	415,394,041	1.63%	40,798,562	0.16%
	QFIs	2,183,685,193	8.56%	1,167,764,791	4.58%	1,015,920,403	3.98%
	Foreign DPMs	196,230	0.00%	99,960	0.00%	96,270	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,688,516,718	10.53%	1,598,803,185	6.26%	1,089,713,533	4.27%
Grand Total		25,521,540,461	100.00%	25,521,540,461	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,343,682,717	20.94%	5,600,883,299	21.95%	-257,200,582	-1.01%
Non-Institutional	20,177,857,744	79.06%	19,920,657,162	78.05%	257,200,582	1.01%
Grand Total	25,521,540,461	100.00%	25,521,540,461	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 02 April 2020			As of 09 April 2020			Weekly Change				
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership			
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)		
Saudi	Individuals:											
	Retail	93,364,232,609	1.18%	9.41%	95,275,667,291	1.18%	9.30%	1,911,434,683	0.00%	-0.11%		
	HNWIs	140,465,623,260	1.77%	13.96%	142,330,941,752	1.76%	13.70%	1,865,318,492	-0.01%	-0.26%		
	IPIs	230,852,510,947	2.91%	17.26%	238,051,289,425	2.95%	17.25%	7,198,778,478	0.04%	-0.01%		
	Individual DPMs	5,308,474,996	0.07%	0.53%	5,374,468,572	0.07%	0.52%	65,993,575	0.00%	-0.01%		
	Sub Total (Individuals)	469,990,841,812	5.93%	41.16%	481,032,367,039	5.96%	40.77%	11,041,525,227	0.03%	-0.39%		
	Institutions:											
	Corporates	315,328,978,166	3.98%	23.00%	328,746,501,955	4.08%	23.12%	13,417,523,789	0.10%	0.12%		
	Mutual Funds	164,295,613,128	2.07%	11.04%	170,160,666,164	2.11%	11.07%	5,865,053,036	0.04%	0.03%		
	GRE's	6,757,808,452,447	85.28%	7.46%	6,859,012,870,096	85.04%	7.54%	101,204,417,649	-0.24%	0.08%		
	Institution DPMs	30,535,683,493	0.39%	3.08%	31,399,019,853	0.39%	3.07%	863,336,360	0.00%	-0.01%		
	Sub Total (Institutions)	7,267,968,727,233	91.71%	44.57%	7,389,319,058,067	91.61%	44.80%	121,350,330,834	-0.10%	0.23%		
	Total Saudi Investors	7,737,959,569,045	97.65%	85.73%	7,870,351,425,107	97.58%	85.57%	132,391,856,062	-0.07%	-0.16%		
GCC	Individuals	1,993,377,116	0.03%	0.20%	2,072,639,753	0.03%	0.20%	79,262,637	0.00%	0.00%		
	Institutions	31,430,459,076	0.40%	1.96%	32,819,346,619	0.41%	1.96%	1,388,887,542	0.01%	0.00%		
	GCC DPMs	715,074,071	0.01%	0.07%	745,127,450	0.01%	0.07%	30,053,380	0.00%	0.00%		
	Total GCC Investors	34,138,910,263	0.43%	2.23%	35,637,113,822	0.44%	2.24%	1,498,203,559	0.01%	0.00%		
Foreign	SWAP Holders	2,170,570,944	0.03%	0.22%	2,236,458,043	0.03%	0.22%	65,887,099	0.00%	0.00%		
	Foreign Residents & Others	12,136,205,527	0.15%	0.98%	12,963,872,402	0.16%	1.01%	827,666,875	0.01%	0.04%		
	QFIs	106,745,535,281	1.35%	10.68%	111,365,971,282	1.38%	10.80%	4,620,436,001	0.03%	0.12%		
	Foreign DPMs	1,644,926,849	0.02%	0.16%	1,716,413,843	0.02%	0.17%	71,486,994	0.00%	0.00%		
	Strategic Investors	29,771,906,407	0.38%	0.00%	31,559,039,745	0.39%	0.00%	1,787,133,338	0.02%	0.00%		
	Total Foreign Investors	152,469,145,008	1.92%	12.04%	159,841,755,315	1.98%	12.20%	7,372,610,308	0.06%	0.16%		
Grand Total		7,924,567,624,316	100.00%	100.00%	8,065,830,294,244	100.00%	100.00%	141,262,669,928				

* Non deposited shares was not reflected as on 09 April holding value (Alinma Bank 1150 - Corporate Action - Capital Increase - Bonus shares)

* Non deducted shares not reflected as on 09 April holding value (Wafrah for Industry and Development Co. 2100 - Corporate Action - Capital Reduction)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 02 April 2020			As of 09 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,683,331,431,115	96.87%	75.46%	7,820,544,997,135	96.87%	75.79%	137,213,566,020	0.00%	0.34%
Non-Institutional	241,236,193,201	3.13%	24.54%	245,285,297,109	3.13%	24.21%	4,049,103,908	0.00%	-0.34%
Grand Total	7,924,567,624,316	100.00%	100.00%	8,065,830,294,244	100.00%	100.00%	141,262,669,928		

Definitions

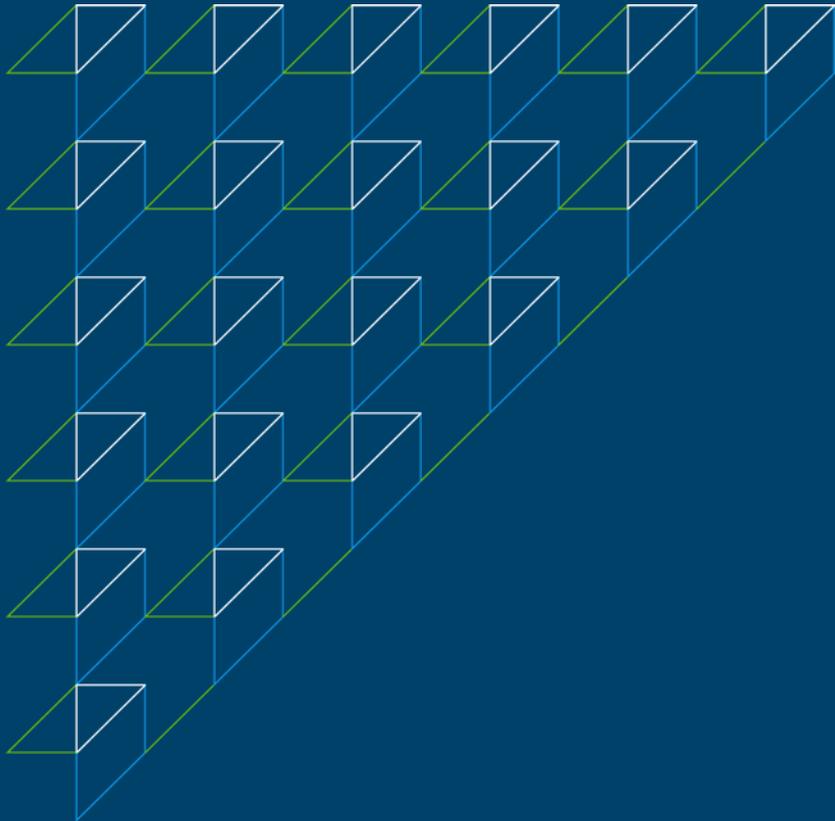
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	63,219,809	98.50%	60,893,869	94.87%	2,325,941	3.62%
	Corporates	54,073	0.08%	2,023,960	3.15%	-1,969,887	-3.07%
	Institution	0	0.00%	481	0.00%	-481	0.00%
	Total Saudi Investors	63,273,882	98.58%	62,918,310	98.03%	355,573	0.55%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	910,623	1.42%	1,266,196	1.97%	-355,573	-0.55%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	910,623	1.42%	1,266,196	1.97%	-355,573	-0.55%
Grand Total		64,184,505	100.00%	64,184,505	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	54,073	0.08%	2,024,441	3.15%	-1,970,368	-3.07%
Non-Institutional	64,130,432	99.92%	62,160,064	96.85%	1,970,368	3.07%
Grand Total	64,184,505	100.00%	64,184,505	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 02 April 2020			As of 09 April 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,893,263,982	96.70%	96.26%	1,984,612,777	96.72%	96.52%	91,348,795	0.02%	0.27%
	Corporates	58,887,541	3.01%	2.90%	61,517,567	3.00%	2.66%	2,630,026	-0.01%	-0.23%
	Institution	1,775,594	0.09%	0.27%	1,894,834	0.09%	0.27%	119,239	0.00%	0.00%
	Total Saudi Investors	1,953,927,117	99.80%	99.43%	2,048,025,179	99.81%	99.46%	94,098,061	0.01%	0.03%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	11,102	0.00%	0.00%	12,932	0.00%	0.00%	1,830	0.00%	0.00%
	Foreign Residents	3,961,781	0.20%	0.57%	3,961,889	0.19%	0.54%	109	-0.01%	-0.03%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,972,883	0.20%	0.57%	3,974,821	0.19%	0.54%	1,939	-0.01%	-0.03%
Grand Total		1,957,900,000	100.00%	100.00%	2,052,000,000	100.00%	100.00%	94,100,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 02 April 2020			As of 09 April 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	60,674,237	3.10%	3.17%	63,425,333	3.09%	2.94%	2,751,096	-0.01%	-0.23%
Non-Institutional	1,897,225,763	96.90%	96.83%	1,988,574,667	96.91%	97.06%	91,348,904	0.01%	0.23%
Grand Total	1,957,900,000	100%	100%	2,052,000,000	100%	100%	94,100,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

