

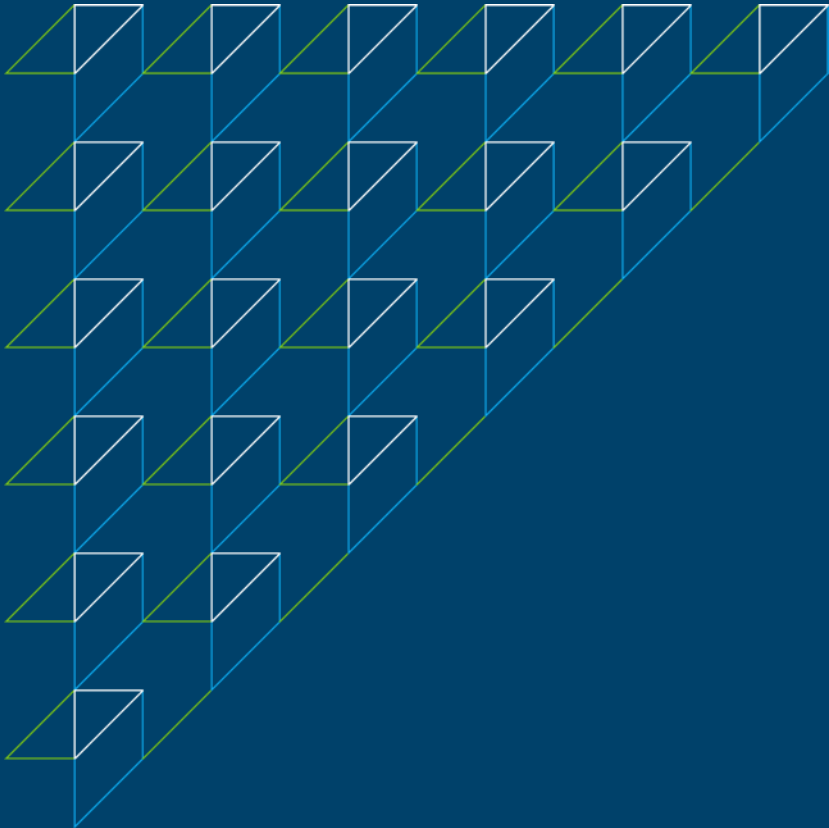
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 09 May 2019



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

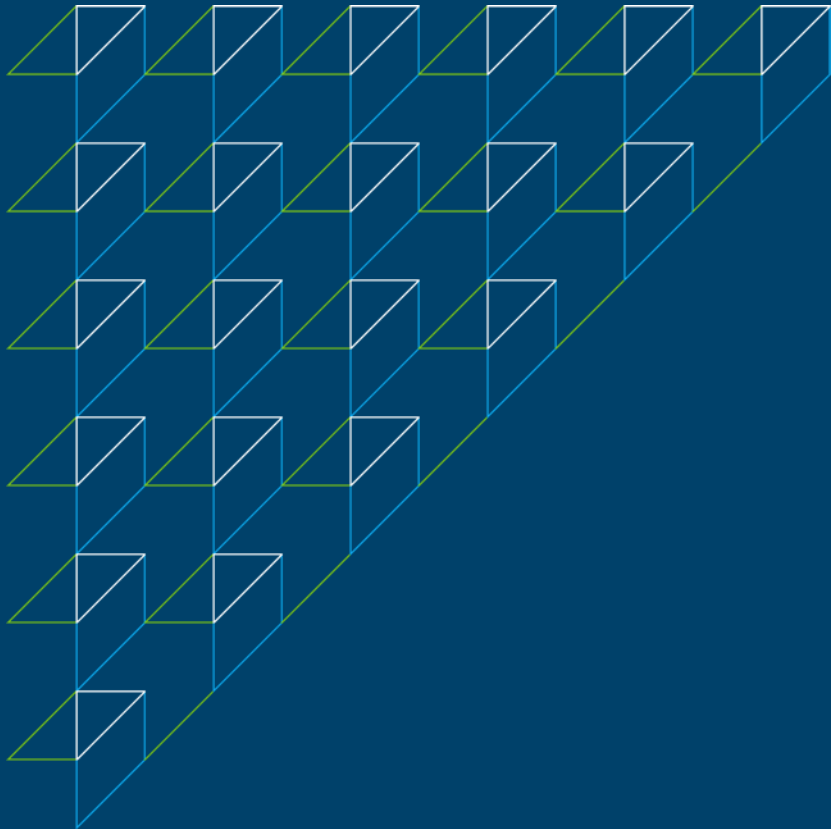
## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- <b>Retail</b>	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- <b>High Net Worth Investors (HNWIs)</b>	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- <b>Individual Professional Investors (IPIs)</b>	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- <b>Individual DPMs</b>	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- <b>Corporates</b>	Saudi company with a commercial registration permitting its investment in the stock market .
	- <b>Mutual Funds</b>	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- <b>Government Related Entities (GRES)</b>	A Government entity or a supranational authority recognized by the CMA.
	- <b>Institutional DPMs</b>	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Individuals*</b>	GCC natural person
GCC	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Partners</b>	Foreign investors considered founders and partners of Saudi listed companies.
	<b>NRFI</b>	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

\*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
  - Have an average portfolio size of SAR 5m for the preceding 12 months
  - Worked for at least 3 years in financial sectors.
  - Hold General Securities Qualification Certificate which is recognized by the Authority.
  - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,297,944,780	29.59%	5,445,594,604	30.41%	-147,649,824	-0.82%
	HNWIs	4,724,099,978	26.38%	4,525,145,379	25.27%	198,954,599	1.11%
	IPIs	769,474,894	4.30%	1,407,553,992	7.86%	-638,079,098	-3.56%
	Individual DPMs	208,308,799	1.16%	291,002,249	1.63%	-82,693,450	-0.46%
	<b>Sub Total (Individuals)</b>	<b>10,999,828,452</b>	<b>61.43%</b>	<b>11,669,296,224</b>	<b>65.17%</b>	<b>-669,467,773</b>	<b>-3.74%</b>
	<b>Institutions:</b>						
	Corporates	1,026,433,547	5.73%	1,513,066,768	8.45%	-486,633,221	-2.72%
	Mutual Funds	1,093,547,976	6.11%	910,186,854	5.08%	183,361,122	1.02%
	GRE's	510,362	0.00%	133,567,376	0.75%	-133,057,014	-0.74%
	Institution DPMs	373,075,543	2.08%	413,453,213	2.31%	-40,377,670	-0.23%
	<b>Sub Total (Institutions)</b>	<b>2,493,567,428</b>	<b>13.93%</b>	<b>2,970,274,211</b>	<b>16.59%</b>	<b>-476,706,783</b>	<b>-2.66%</b>
	<b>Total Saudi Investors</b>	<b>13,493,395,880</b>	<b>75.35%</b>	<b>14,639,570,436</b>	<b>81.75%</b>	<b>-1,146,174,556</b>	<b>-6.40%</b>
GCC	Individuals	26,436,859	0.15%	148,712,409	0.83%	-122,275,551	-0.68%
	Institutions	236,489,477	1.32%	535,978,478	2.99%	-299,489,001	-1.67%
	GCC DPMs	1,057,981	0.01%	15,638,615	0.09%	-14,580,634	-0.08%
	<b>Total GCC Investors</b>	<b>263,984,317</b>	<b>1.47%</b>	<b>700,329,503</b>	<b>3.91%</b>	<b>-436,345,186</b>	<b>-2.44%</b>
Foreign	SWAP Holders	224,313,300	1.25%	835,808,626	4.67%	-611,495,326	-3.41%
	Foreign Residents	170,558,783	0.95%	185,817,176	1.04%	-15,258,393	-0.09%
	QFIs	3,754,167,260	20.97%	1,544,908,091	8.63%	2,209,259,169	12.34%
	Foreign DPMs	317,609	0.00%	303,316	0.00%	14,293	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>4,149,356,952</b>	<b>23.17%</b>	<b>2,566,837,210</b>	<b>14.33%</b>	<b>1,582,519,742</b>	<b>8.84%</b>
<b>Grand Total</b>		<b>17,906,737,148</b>	<b>100.00%</b>	<b>17,906,737,148</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,687,696,748	42.93%	7,601,467,579	42.45%	86,229,168	0.48%
Non-Institutional	10,219,040,401	57.07%	10,305,269,569	57.55%	-86,229,168	-0.48%
<b>Grand Total</b>	<b>17,906,737,148</b>	<b>100.00%</b>	<b>17,906,737,148</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 02 May 2019		As of 09 May 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	<b>Individuals:</b>							
	Retail	79,535,128,433	3.63%	76,524,009,645	3.67%	-3,011,118,788	0.05%	
	HNWIs	166,674,264,286	7.60%	158,622,693,423	7.62%	-8,051,570,863	0.02%	
	IPIs	298,268,631,481	13.60%	284,044,215,487	13.64%	-14,224,415,995	0.04%	
	Individual DPMs	5,408,639,959	0.25%	5,069,709,534	0.24%	-338,930,425	0.00%	
	<b>Sub Total (Individuals)</b>	<b>549,886,664,159</b>	<b>25.08%</b>	<b>524,260,628,089</b>	<b>25.17%</b>	<b>-25,626,036,070</b>	<b>0.10%</b>	
	<b>Institutions:</b>							
	Corporates	327,145,933,385	14.92%	354,002,197,301	17.00%	26,856,263,916	2.08%	
	Mutual Funds	203,360,318,568	9.27%	196,739,132,234	9.45%	-6,621,186,334	0.17%	
	GRE's	893,919,371,097	40.76%	799,138,575,128	38.37%	-94,780,795,969	-2.39%	
	Institution DPMs	49,102,147,311	2.24%	46,429,734,193	2.23%	-2,672,413,119	-0.01%	
<b>Sub Total (Institutions)</b>	<b>1,473,527,770,361</b>	<b>67.20%</b>	<b>1,396,309,638,855</b>	<b>67.05%</b>	<b>-77,218,131,506</b>	<b>-0.15%</b>		
<b>Total Saudi Investors</b>	<b>2,023,414,434,520</b>	<b>92.27%</b>	<b>1,920,570,266,944</b>	<b>92.23%</b>	<b>-102,844,167,576</b>	<b>-0.05%</b>		
GCC	Individuals	3,306,008,544	0.15%	3,086,260,701	0.15%	-219,747,843	0.00%	
	Institutions	39,837,960,687	1.82%	37,867,036,123	1.82%	-1,970,924,564	0.00%	
	GCC DPMs	774,494,202	0.04%	701,310,471	0.03%	-73,183,731	0.00%	
	<b>Total GCC Investors</b>	<b>43,918,463,433</b>	<b>2.00%</b>	<b>41,654,607,296</b>	<b>2.00%</b>	<b>-2,263,856,137</b>	<b>0.00%</b>	
Foreign	SWAP Holders	10,992,556,305	0.50%	9,992,523,591	0.48%	-1,000,032,714	-0.02%	
	Foreign Residents	3,757,405,975	0.17%	3,580,420,771	0.17%	-176,985,205	0.00%	
	QFIs	39,307,240,740	1.79%	38,774,531,878	1.86%	-532,708,861	0.07%	
	Foreign DPMs	3,901,531,455	0.18%	3,615,352,991	0.17%	-286,178,464	0.00%	
	Strategic Partners	67,604,079,029	3.08%	64,289,322,956	3.09%	-3,314,756,073	0.00%	
<b>Total Foreign Investors</b>	<b>125,562,813,504</b>	<b>5.73%</b>	<b>120,252,152,187</b>	<b>5.77%</b>	<b>-5,310,661,317</b>	<b>0.05%</b>		
<b>Grand Total</b>		<b>2,192,895,711,457</b>	<b>100.00%</b>	<b>2,082,477,026,427</b>	<b>100.00%</b>	<b>-110,418,685,030</b>		

\* Non deposited shares was not reflected as holding value on 09-May- 2019 for (Taiba Holding Co. 4090 - Corporate Action -Acquisition)

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 02 May 2019		As of 09 May 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,939,622,904,219	88.45%	1,840,663,641,887	88.39%	-98,959,262,332	-0.06%
Non-Institutional	253,272,807,238	11.55%	241,813,384,540	11.61%	-11,459,422,698	0.06%
<b>Grand Total</b>	<b>2,192,895,711,457</b>	<b>100.00%</b>	<b>2,082,477,026,427</b>	<b>100.00%</b>	<b>-110,418,685,030</b>	

## Definitions

### Institutional:

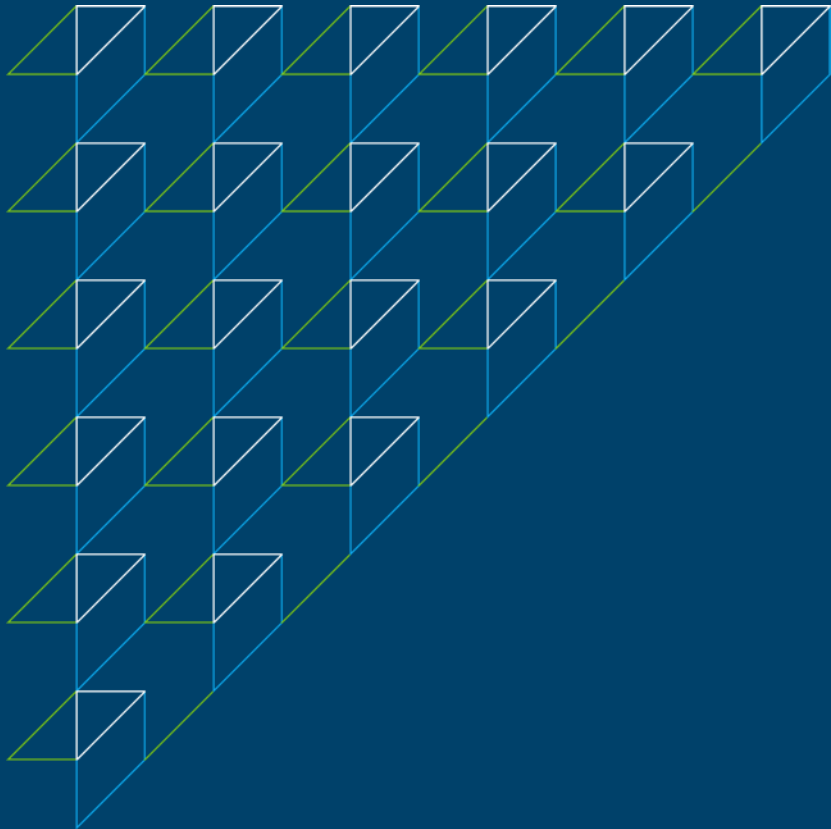
Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,235,039	74.42%	5,187,019	91.15%	-951,980	-16.73%
	Corporates	13,527	0.24%	674	0.01%	12,853	0.23%
	Institution	570,500	10.02%	311,517	5.47%	258,983	4.55%
	<b>Total Saudi Investors</b>	<b>4,819,067</b>	<b>84.68%</b>	<b>5,499,210</b>	<b>96.63%</b>	<b>-680,143</b>	<b>-11.95%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	64,725	1.14%	77,476	1.36%	-12,751	-0.22%
	<b>Total GCC Investors</b>	<b>64,725</b>	<b>1.14%</b>	<b>77,476</b>	<b>1.36%</b>	<b>-12,751</b>	<b>-0.22%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	147,621	2.59%	114,138	2.01%	33,484	0.59%
	QFIs	659,410	11.59%	0	0.00%	659,410	11.59%
	<b>Total Foreign Investors</b>	<b>807,031</b>	<b>14.18%</b>	<b>114,138</b>	<b>2.01%</b>	<b>692,894</b>	<b>12.18%</b>
<b>Grand Total</b>		<b>5,690,823</b>	<b>100.00%</b>	<b>5,690,823</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	1,308,163	22.99%	389,666	6.85%	918,496	16.14%
<b>Non-Institutional</b>	4,382,661	77.01%	5,301,157	93.15%	-918,496	-16.14%
<b>Grand Total</b>	<b>5,690,823</b>	<b>100.00%</b>	<b>5,690,823</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 02 May 2019		As of 09 May 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,168,635,935	95.23%	4,138,822,185	95.30%	-29,813,750	0.07%
	Corporates	142,801,002	3.26%	137,995,150	3.18%	-4,805,853	-0.08%
	Institution	36,584,998	0.84%	36,447,102	0.84%	-137,895	0.00%
	<b>Total Saudi Investors</b>	<b>4,348,021,934</b>	<b>99.32%</b>	<b>4,313,264,437</b>	<b>99.32%</b>	<b>-34,757,498</b>	<b>-0.01%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	10,757,066	0.25%	10,536,541	0.24%	-220,524	0.00%
	<b>Total GCC Investors</b>	<b>10,757,066</b>	<b>0.25%</b>	<b>10,536,541</b>	<b>0.24%</b>	<b>-220,524</b>	<b>0.00%</b>
Foreign	SWAP Holders	204,755	0.00%	204,818	0.00%	63	0.00%
	Foreign Residents	3,757,182	0.09%	3,657,727	0.08%	-99,455	0.00%
	QFIs	14,831,435	0.34%	15,330,099	0.35%	498,664	0.01%
	<b>Total Foreign Investors</b>	<b>18,793,372</b>	<b>0.43%</b>	<b>19,192,644</b>	<b>0.44%</b>	<b>399,272</b>	<b>0.01%</b>
<b>Grand Total</b>		<b>4,377,572,372</b>	<b>100.00%</b>	<b>4,342,993,622</b>	<b>100.00%</b>	<b>-34,578,750</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 02 May 2019		As of 09 May 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
<b>Institutional</b>	205,179,255	4.69%	200,513,710	4.62%	-4,665,545	-0.07%
<b>Non-Institutional</b>	4,172,393,117	95.31%	4,142,479,912	95.38%	-29,913,205	0.07%
<b>Grand Total</b>	<b>4,377,572,372</b>	<b>100.00%</b>	<b>4,342,993,622</b>	<b>100.00%</b>	<b>-34,578,750</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول  
Tadawul

Thank you

