

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 09 July 2020



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents &amp; Others</b>	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Investors</b>	A foreign legal entity that aims to own a strategic shareholding in listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	17,319,058,552	57.76%	17,802,877,648	59.37%	-483,819,095	-1.61%
	HNWIs	6,814,119,564	22.73%	7,053,236,886	23.52%	-239,117,323	-0.80%
	IPIs	598,605,041	2.00%	1,074,456,186	3.58%	-475,851,144	-1.59%
	Individual DPMs	208,267,469	0.69%	357,581,593	1.19%	-149,314,123	-0.50%
	<b>Sub Total (Individuals)</b>	<b>24,940,050,627</b>	<b>83.18%</b>	<b>26,288,152,313</b>	<b>87.67%</b>	<b>-1,348,101,686</b>	<b>-4.50%</b>
	<b>Institutions:</b>						
	Corporates	896,561,950	2.99%	615,114,990	2.05%	281,446,959	0.94%
	Mutual Funds	644,701,855	2.15%	461,202,056	1.54%	183,499,799	0.61%
	GRE's	402,227,908	1.34%	487,825,063	1.63%	-85,597,155	-0.29%
	Institution DPMs	487,946,587	1.63%	165,435,682	0.55%	322,510,905	1.08%
	<b>Sub Total (Institutions)</b>	<b>2,431,438,300</b>	<b>8.11%</b>	<b>1,729,577,790</b>	<b>5.77%</b>	<b>701,860,509</b>	<b>2.34%</b>
	<b>Total Saudi Investors</b>	<b>27,371,488,926</b>	<b>91.29%</b>	<b>28,017,730,103</b>	<b>93.44%</b>	<b>-646,241,176</b>	<b>-2.16%</b>
GCC	Individuals	77,937,355	0.26%	65,380,697	0.22%	12,556,657	0.04%
	Institutions	461,887,382	1.54%	168,375,260	0.56%	293,512,123	0.98%
	GCC DPMs	2,575,698	0.01%	28,984	0.00%	2,546,714	0.01%
	<b>Total GCC Investors</b>	<b>542,400,435</b>	<b>1.81%</b>	<b>233,784,941</b>	<b>0.78%</b>	<b>308,615,494</b>	<b>1.03%</b>
Foreign	SWAP Holders	50,448,066	0.17%	29,113,762	0.10%	21,334,305	0.07%
	Foreign Residents & Others	552,775,494	1.84%	585,491,774	1.95%	-32,716,281	-0.11%
	QFIs	1,466,032,578	4.89%	1,117,724,749	3.73%	348,307,829	1.16%
	Foreign DPMs	699,830	0.00%	0	0.00%	699,830	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>2,069,955,967</b>	<b>6.90%</b>	<b>1,732,330,285</b>	<b>5.78%</b>	<b>337,625,683</b>	<b>1.13%</b>
<b>Grand Total</b>		<b>29,983,845,329</b>	<b>100.00%</b>	<b>29,983,845,329</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,219,954,364	17.41%	4,476,858,323	14.93%	743,096,041	2.48%
Non-Institutional	24,763,890,964	82.59%	25,506,987,006	85.07%	-743,096,041	-2.48%
<b>Grand Total</b>	<b>29,983,845,329</b>	<b>100.00%</b>	<b>29,983,845,329</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 02 July 2020			As of 09 July 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	102,294,686,419	1.23%	9.55%	104,453,106,450	1.24%	9.61%	2,158,420,031	0.01%	0.06%
	HNWIs	147,488,174,378	1.77%	13.60%	150,083,920,945	1.78%	13.64%	2,595,746,567	0.01%	0.03%
	IPIs	254,499,421,886	3.05%	17.31%	258,131,614,938	3.06%	17.25%	3,632,193,051	0.01%	-0.06%
	Individual DPMs	4,957,103,559	0.06%	0.46%	5,063,331,323	0.06%	0.47%	106,227,764	0.00%	0.00%
	<b>Sub Total (Individuals)</b>	<b>509,239,386,243</b>	<b>6.11%</b>	<b>40.93%</b>	<b>517,731,973,656</b>	<b>6.14%</b>	<b>40.96%</b>	<b>8,492,587,413</b>	<b>0.03%</b>	<b>0.03%</b>
	<b>Institutions:</b>									
	Corporates	532,305,105,447	6.39%	22.73%	536,925,728,990	6.37%	22.79%	4,620,623,543	-0.02%	0.06%
	Mutual Funds	170,119,468,683	2.04%	11.13%	170,679,961,660	2.02%	11.09%	560,492,977	-0.02%	-0.04%
	GRE's	6,876,814,052,066	82.53%	7.45%	6,956,702,248,075	82.52%	7.37%	79,888,196,009	-0.01%	-0.07%
	Institution DPMs	33,163,787,310	0.40%	3.10%	33,637,851,561	0.40%	3.10%	474,064,252	0.00%	0.00%
	<b>Sub Total (Institutions)</b>	<b>7,612,402,413,506</b>	<b>91.36%</b>	<b>44.41%</b>	<b>7,697,945,790,286</b>	<b>91.31%</b>	<b>44.36%</b>	<b>85,543,376,780</b>	<b>-0.04%</b>	<b>-0.05%</b>
<b>Total Saudi Investors</b>	<b>8,121,641,799,749</b>	<b>97.47%</b>	<b>85.33%</b>	<b>8,215,677,763,942</b>	<b>97.45%</b>	<b>85.32%</b>	<b>94,035,964,193</b>	<b>-0.01%</b>	<b>-0.02%</b>	
GCC	Individuals	2,211,789,638	0.03%	0.20%	2,249,094,312	0.03%	0.20%	37,304,674	0.00%	0.00%
	Institutions	34,639,078,030	0.42%	1.91%	35,808,645,099	0.42%	1.95%	1,169,567,069	0.01%	0.03%
	GCC DPMs	669,895,810	0.01%	0.06%	705,695,700	0.01%	0.06%	35,799,890	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>37,520,763,479</b>	<b>0.45%</b>	<b>2.18%</b>	<b>38,763,435,112</b>	<b>0.46%</b>	<b>2.21%</b>	<b>1,242,671,633</b>	<b>0.01%</b>	<b>0.04%</b>
Foreign	SWAP Holders	2,203,764,094	0.03%	0.20%	2,242,963,480	0.03%	0.20%	39,199,386	0.00%	0.00%
	Foreign Residents & Others	8,628,562,047	0.10%	0.55%	8,844,276,617	0.10%	0.55%	215,714,570	0.00%	0.00%
	QFIs	125,852,779,149	1.51%	11.60%	127,394,024,927	1.51%	11.57%	1,541,245,778	0.00%	-0.02%
	Foreign DPMs	1,562,041,627	0.02%	0.14%	1,602,948,125	0.02%	0.15%	40,906,498	0.00%	0.00%
	Strategic Investors	35,266,793,725	0.42%	0.00%	35,902,945,836	0.43%	0.00%	636,152,111	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>173,513,940,641</b>	<b>2.08%</b>	<b>12.49%</b>	<b>175,987,158,984</b>	<b>2.09%</b>	<b>12.47%</b>	<b>2,473,218,343</b>	<b>0.01%</b>	<b>-0.02%</b>
<b>Grand Total</b>		<b>8,332,676,503,869</b>	<b>100.00%</b>	<b>100.00%</b>	<b>8,430,428,358,038</b>	<b>100.00%</b>	<b>100.00%</b>	<b>97,751,854,169</b>		

\* Non deposited shares was not reflected as on 09 July holding value (Allianz Saudi Fransi Cooperative Insurance Co. 8040 - Capital Increase - Right Issue)

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 02 July 2020			As of 09 July 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	8,074,744,625,551	96.87%	76.09%	8,167,475,677,615	96.85%	76.00%	92,731,052,064	-0.02%	-0.09%
<b>Non-Institutional</b>	257,931,878,318	3.13%	23.91%	262,952,680,423	3.15%	24.00%	5,020,802,105	0.02%	0.09%
<b>Grand Total</b>	<b>8,332,676,503,869</b>	<b>100.00%</b>	<b>100.00%</b>	<b>8,430,428,358,038</b>	<b>100.00%</b>	<b>100.00%</b>	<b>97,751,854,169</b>		

## Definitions

### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	102,171,901	95.94%	104,764,771	98.37%	-2,592,870	-2.43%
	Corporates	2,511,264	2.36%	0	0.00%	2,511,264	2.36%
	Institution	261,540	0.25%	167,400	0.16%	94,140	0.09%
	<b>Total Saudi Investors</b>	<b>104,944,704</b>	<b>98.54%</b>	<b>104,932,171</b>	<b>98.53%</b>	<b>12,533</b>	<b>0.01%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,551,835	1.46%	1,564,368	1.47%	-12,533	-0.01%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>1,551,835</b>	<b>1.46%</b>	<b>1,564,368</b>	<b>1.47%</b>	<b>-12,533</b>	<b>-0.01%</b>
<b>Grand Total</b>		<b>106,496,539</b>	<b>100.00%</b>	<b>106,496,539</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,772,803	2.60%	167,400	0.16%	2,605,403	2.45%
Non-Institutional	103,723,736	97.40%	106,329,139	99.84%	-2,605,403	-2.45%
<b>Grand Total</b>	<b>106,496,539</b>	<b>100.00%</b>	<b>106,496,539</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 02 July 2020			As of 09 July 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,032,314,008	64.50%	94.03%	2,249,890,622	66.03%	94.42%	217,576,615	1.53%	0.39%
	Corporates	1,111,371,209	35.27%	5.07%	1,150,965,682	33.78%	4.84%	39,594,473	-1.49%	-0.23%
	Institution	2,339,912	0.07%	0.29%	2,332,376	0.07%	0.27%	-7,536	-0.01%	-0.02%
	<b>Total Saudi Investors</b>	<b>3,146,025,130</b>	<b>99.85%</b>	<b>99.39%</b>	<b>3,403,188,680</b>	<b>99.88%</b>	<b>99.53%</b>	<b>257,163,551</b>	<b>0.04%</b>	<b>0.14%</b>
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	4,874,871	0.15%	0.61%	3,961,320	0.12%	0.47%	-913,551	-0.04%	-0.14%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>4,874,871</b>	<b>0.15%</b>	<b>0.61%</b>	<b>3,961,320</b>	<b>0.12%</b>	<b>0.47%</b>	<b>-913,551</b>	<b>-0.04%</b>	<b>-0.14%</b>
<b>Grand Total</b>		<b>3,150,900,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>3,407,150,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>256,250,000</b>		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 02 July 2020			As of 09 July 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	1,113,711,122	35.35%	5.36%	1,153,298,058	33.85%	5.11%	39,586,936	-1.50%	-0.25%
<b>Non-Institutional</b>	2,037,188,878	64.65%	94.64%	2,253,851,942	66.15%	94.89%	216,663,064	1.50%	0.25%
<b>Grand Total</b>	<b>3,150,900,000</b>	<b>100%</b>	<b>100%</b>	<b>3,407,150,000</b>	<b>100%</b>	<b>100%</b>	<b>256,250,000</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

