

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 26 October 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,228,536,575	42.30%	5,827,242,815	47.14%	-598,706,240	-4.84%
	HNWIs	3,837,637,318	31.05%	4,053,889,734	32.79%	-216,252,416	-1.75%
	IPIs	241,604,512	1.95%	365,948,382	2.96%	-124,343,870	-1.01%
	Individual DPMs	150,167,543	1.21%	103,328,176	0.84%	46,839,367	0.38%
	Sub Total (Individuals)	9,457,945,949	76.51%	10,350,409,107	83.73%	-892,463,158	-7.22%
	Institutions:						
	Corporates	1,178,271,489	9.53%	364,062,524	2.95%	814,208,965	6.59%
	Mutual Funds	807,235,009	6.53%	446,238,322	3.61%	360,996,687	2.92%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	141,089,228	1.14%	194,192,778	1.57%	-53,103,550	-0.43%
	Sub Total (Institutions)	2,126,595,726	17.20%	1,004,493,624	8.13%	1,122,102,102	9.08%
Total Saudi Investors	11,584,541,675	93.71%	11,354,902,731	91.86%	229,638,944	1.86%	
GCC	Individuals	34,037,010	0.28%	37,555,299	0.30%	-3,518,289	-0.03%
	Institutions	213,389,893	1.73%	192,777,066	1.56%	20,612,828	0.17%
	GCC DPMs	61,851	0.00%	95,951,861	0.78%	-95,890,010	-0.78%
	Total GCC Investors	247,488,754	2.00%	326,284,225	2.64%	-78,795,471	-0.64%
Foreign	SWAP Holders	114,873,485	0.93%	239,286,383	1.94%	-124,412,898	-1.01%
	Foreign Residents	154,394,708	1.25%	173,089,507	1.40%	-18,694,798	-0.15%
	QFIs	176,257,394	1.43%	239,950,584	1.94%	-63,693,190	-0.52%
	Foreign DPMs	83,922,420	0.68%	27,965,007	0.23%	55,957,413	0.45%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	529,448,007	4.28%	680,291,480	5.50%	-150,843,473	-1.22%
Grand Total		12,361,478,436	100.00%	12,361,478,436	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,106,872,824	25.13%	2,269,701,082	18.36%	837,171,742	6.77%
Non-Institutional	9,254,605,612	74.87%	10,091,777,354	81.64%	-837,171,742	-6.77%
Grand Total	12,361,478,436	100.00%	12,361,478,436	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 19 October 2017		As of 26 October 2017*		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,551,676,988	4.31%	71,192,093,119	4.36%	-359,583,869	0.05%
	HNWIs	130,453,941,475	7.87%	128,821,931,666	7.89%	-1,632,009,809	0.03%
	IPIs	247,547,606,607	14.93%	244,072,580,275	14.96%	-3,475,026,333	0.03%
	Individual DPMs	4,708,763,349	0.28%	4,681,301,359	0.29%	-27,461,990	0.00%
	Sub Total (Individuals)	454,261,988,419	27.39%	448,767,906,419	27.50%	-5,494,082,001	0.10%
	Institutions:						
	Corporates	273,923,933,817	16.52%	269,550,074,952	16.52%	-4,373,858,866	0.00%
	Mutual Funds	164,825,939,318	9.94%	162,186,512,916	9.94%	-2,639,426,402	0.00%
	GRE's	610,832,387,148	36.83%	600,539,302,615	36.80%	-10,293,084,533	-0.04%
	Institution DPMs	48,725,225,940	2.94%	48,116,557,187	2.95%	-608,668,752	0.01%
Sub Total (Institutions)	1,098,307,486,223	66.23%	1,080,392,447,670	66.20%	-17,915,038,553	-0.03%	
Total Saudi Investors	1,552,569,474,642	93.62%	1,529,160,354,088	93.70%	-23,409,120,554	0.07%	
GCC	Individuals	2,832,338,904	0.17%	2,812,471,984	0.17%	-19,866,920	0.00%
	Institutions	31,622,231,848	1.91%	30,390,482,307	1.86%	-1,231,749,541	-0.04%
	GCC DPMs	659,601,788	0.04%	530,939,433	0.03%	-128,662,355	-0.01%
	Total GCC Investors	35,114,172,540	2.12%	33,733,893,724	2.07%	-1,380,278,816	-0.05%
Foreign	SWAP Holders	10,091,886,827	0.61%	9,797,910,448	0.60%	-293,976,379	-0.01%
	Foreign Residents	4,130,212,567	0.25%	4,080,230,124	0.25%	-49,982,443	0.00%
	QFIs	7,290,615,843	0.44%	7,051,496,515	0.43%	-239,119,328	-0.01%
	Foreign DPMs	2,810,110,952	0.17%	2,763,376,846	0.17%	-46,734,107	0.00%
	Strategic Partners	46,299,142,242	2.79%	45,436,502,231	2.78%	-862,640,010	-0.01%
	Total Foreign Investors	70,621,968,430	4.26%	69,129,516,164	4.24%	-1,492,452,267	-0.02%
Grand Total		1,658,305,615,612	100.00%	1,632,023,763,976	100.00%	-26,281,851,636	

* Non Deposited Tradable Rights amount of 555,560,000 SAR was not reflected as on 26 October holding value

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 19 October 2017		As of 26 October 2017*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,449,337,445,678	87.40%	1,425,117,037,083	87.32%	-24,220,408,596	-0.08%
Non-Institutional	208,968,169,934	12.60%	206,906,726,893	12.68%	-2,061,443,041	0.08%
Grand Total	1,658,305,615,612	100.00%	1,632,023,763,976	100.00%	-26,281,851,636	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,967,040	93.57%	4,311,100	81.21%	655,940	12.36%
	Corporates	183,186	3.45%	0	0.00%	183,186	3.45%
	Institution	100,100	1.89%	897,170	16.90%	-797,070	-15.01%
	Total Saudi Investors	5,250,326	98.90%	5,208,270	98.11%	42,056	0.79%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	58,318	1.10%	100,374	1.89%	-42,056	-0.79%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	58,318	1.10%	100,374	1.89%	-42,056	-0.79%
Grand Total		5,308,644	100.00%	5,308,644	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	283,286	5.34%	897,170	16.90%	-613,884	-11.56%
Non-Institutional	5,025,358	94.66%	4,411,474	83.10%	613,884	11.56%
Grand Total	5,308,644	100.00%	5,308,644	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 19 October 2017		As of 26 October 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,907,225,369	89.01%	1,989,091,785	89.07%	81,866,416	0.06%
	Corporates	107,968,642	5.04%	115,325,774	5.16%	7,357,132	0.13%
	Institution	109,959,449	5.13%	110,516,806	4.95%	557,357	-0.18%
	Total Saudi Investors	2,125,153,460	99.18%	2,214,934,365	99.18%	89,780,905	0.00%
GCC	Individuals	28,139	0.00%	28,975	0.00%	837	0.00%
	Institutions	11,542,044	0.54%	12,088,772	0.54%	546,728	0.00%
	Total GCC Investors	11,570,183	0.54%	12,117,747	0.54%	547,565	0.00%
Foreign	SWAP Holders	186,618	0.01%	206,344	0.01%	19,726	0.00%
	Foreign Residents	3,295,246	0.15%	3,381,420	0.15%	86,174	0.00%
	QFIs	2,568,978	0.12%	2,616,113	0.12%	47,135	0.00%
	Total Foreign Investors	6,050,843	0.28%	6,203,877	0.28%	153,035	0.00%
Grand Total		2,142,774,485	100.00%	2,233,255,990	100.00%	90,481,505	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 19 October 2017		As of 26 October 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	232,225,732	10.84%	240,753,811	10.78%	8,528,079	-0.06%
Non-Institutional	1,910,548,753	89.16%	1,992,502,179	89.22%	81,953,426	0.06%
Grand Total	2,142,774,485	100.00%	2,233,255,990	100.00%	90,481,505	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

