

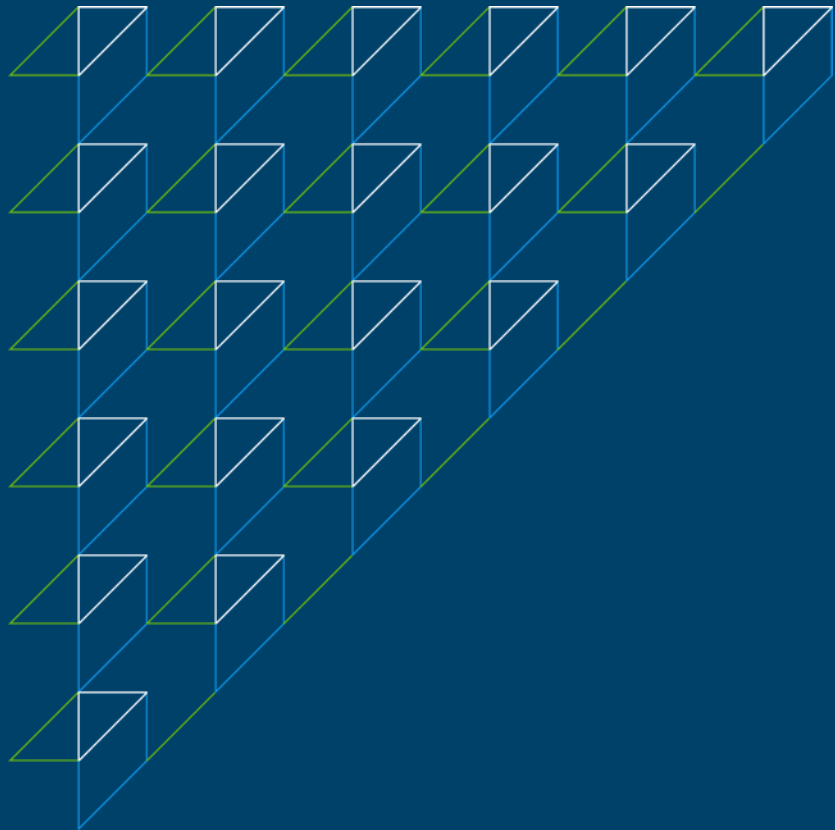
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 10 November 2016



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the week ending 10 November 2016 amounted to SAR 25.71 billion, increasing by 59.47% over the previous week; while total stock market capitalization reached SAR 1,523.54 billion at the end of this period, increasing by 6.63% over the previous week.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 24.38 billion representing 94.81% of total buying activity, and sales of SAR 23.85 billion representing 92.74% of total selling activity. Total ownership of “Saudi Investors” stood at 93.32% of total market capitalization as of 10 November 2016, representing a decrease of 0.20% from the previous week.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.516 billion representing 2.01% of total buying activity, and sales of SAR 0.865 billion representing 3.36% of total selling activity. Total ownership of “GCC Investors” stood at 2.36% of total market capitalization as of 10 November 2016, representing an increase of 0.03% from the previous week.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.819 billion representing 3.19% of total buying activity, and sales of SAR 1.00 billion representing 3.89% of total selling activity. Total ownership of “Foreign Investors” stood at 4.32% of total market capitalization as of 10 November 2016, representing an increase of 0.17% from the previous week.



Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	8,746,160,764	34.02%	10,842,018,536	42.17%	-2,095,857,772	-8.15%
	HNWIs	7,277,951,264	28.31%	8,923,653,191	34.71%	-1,645,701,927	-6.40%
	IPIs	620,031,997	2.41%	1,803,820,388	7.02%	-1,183,788,391	-4.60%
	Individual DPMs	221,485,849	0.86%	272,639,360	1.06%	-51,153,511	-0.20%
	Sub Total (Individuals)	16,865,629,874	65.59%	21,842,131,475	84.95%	-4,976,501,601	-19.35%
	Institutions:						
	Corporates	1,439,117,029	5.60%	1,006,866,017	3.92%	432,251,012	1.68%
	Mutual Funds	5,649,773,281	21.97%	582,345,622	2.26%	5,067,427,660	19.71%
	GRE's	19,004,369	0.07%	42,111,533	0.16%	-23,107,165	-0.09%
	Institution DPMs	403,727,779	1.57%	372,982,879	1.45%	30,744,900	0.12%
	Sub Total (Institutions)	7,511,622,457	29.21%	2,004,306,051	7.80%	5,507,316,406	21.42%
Total Saudi Investors	24,377,252,332	94.81%	23,846,437,526	92.74%	530,814,805	2.06%	
GCC	Individuals	57,235,328	0.22%	89,972,256	0.35%	-32,736,928	-0.13%
	Institutions	455,852,438	1.77%	762,974,647	2.97%	-307,122,209	-1.19%
	GCC DPMs	2,976,194	0.01%	12,243,100	0.05%	-9,266,905	-0.04%
	Total GCC Investors	516,063,960	2.01%	865,190,002	3.36%	-349,126,042	-1.36%
Foreign	SWAP Holders	378,478,654	1.47%	561,354,361	2.18%	-182,875,707	-0.71%
	Foreign Residents	320,976,055	1.25%	394,601,846	1.53%	-73,625,791	-0.29%
	QFIs	119,795,735	0.47%	44,225,873	0.17%	75,569,861	0.29%
	Foreign DPMs	0	0.00%	757,127	0.00%	-757,127	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	819,250,444	3.19%	1,000,939,207	3.89%	-181,688,764	-0.71%
Grand Total		25,712,566,736	100.00%	25,712,566,736	100.00%		

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	9,310,243,324	36.21%	5,462,320,906	21.24%	3,847,922,418	14.97%
Non-Institutional	16,402,323,412	63.79%	20,250,245,830	78.76%	-3,847,922,418	-14.97%
Grand Total	25,712,566,736	100.00%	25,712,566,736	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 3 November 2016		As of 10 November 2016		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	65,536,325,646	4.59%	69,755,438,524	4.58%	4,219,112,879	-0.01%
	HNWIs	116,946,765,648	8.18%	124,330,136,431	8.16%	7,383,370,783	-0.02%
	IPIs	232,937,906,511	16.30%	246,497,226,617	16.18%	13,559,320,106	-0.12%
	Individual DPMs	4,236,459,428	0.30%	4,549,327,375	0.30%	312,867,947	0.00%
	Sub Total (Individuals)	419,657,457,233	29.37%	445,132,128,947	29.22%	25,474,671,714	-0.15%
	Institutions:						
	Corporates	247,922,892,283	17.35%	268,700,344,331	17.64%	20,777,452,048	0.29%
	Mutual Funds	47,521,776,103	3.33%	56,398,609,722	3.70%	8,876,833,619	0.38%
	GRE's	581,130,864,410	40.67%	608,467,682,740	39.94%	27,336,818,331	-0.73%
	Institution DPMs	39,944,680,486	2.80%	43,003,974,333	2.82%	3,059,293,847	0.03%
	Sub Total (Institutions)	916,520,213,282	64.14%	976,570,611,127	64.10%	60,050,397,845	-0.05%
Total Saudi Investors	1,336,177,670,515	93.52%	1,421,702,740,074	93.32%	85,525,069,559	-0.20%	
GCC	Individuals	2,649,706,117	0.19%	2,853,367,071	0.19%	203,660,954	0.00%
	Institutions	29,989,993,264	2.10%	32,439,250,273	2.13%	2,449,257,009	0.03%
	GCC DPMs	620,827,134	0.04%	663,643,349	0.04%	42,816,215	0.00%
	Total GCC Investors	33,260,526,515	2.33%	35,956,260,693	2.36%	2,695,734,178	0.03%
Foreign	SWAP Holders	15,077,826,248	1.06%	16,085,509,316	1.06%	1,007,683,068	0.00%
	Foreign Residents	3,887,929,379	0.27%	4,208,842,766	0.28%	320,913,388	0.00%
	QFIs	1,282,123,828	0.09%	1,433,961,183	0.09%	151,837,355	0.00%
	Foreign DPMs	11,891,892	0.00%	12,001,529	0.00%	109,637	0.00%
	Strategic Partners	39,131,570,144	2.74%	44,139,508,175	2.90%	5,007,938,031	0.16%
	Total Foreign Investors	59,391,341,490	4.16%	65,879,822,969	4.32%	6,488,481,479	0.17%
Grand Total		1,428,829,538,521	100.00%	1,523,538,823,736	100.00%	94,709,285,216	

Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 3 November 2016		As of 10 November 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,239,808,811,731	86.77%	1,322,391,038,943	86.80%	82,582,227,212	0.03%
Non-Institutional	189,020,726,789	13.23%	201,147,784,793	13.20%	12,127,058,004	-0.03%
Grand Total	1,428,829,538,521	100.00%	1,523,538,823,736	100.00%	94,709,285,216	

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