

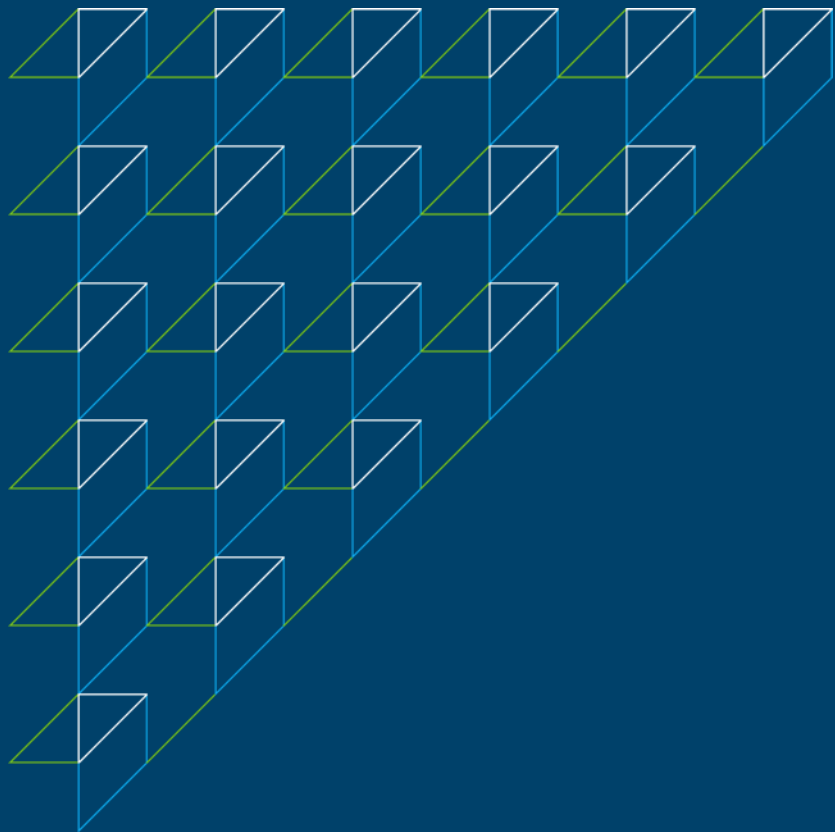
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 17 October 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	4,322,487,513	32.80%	4,771,261,850	36.20%	-448,774,337	-3.40%
	HNWIs	2,426,208,616	18.41%	2,528,595,897	19.18%	-102,387,281	-0.78%
	IPIs	584,987,109	4.44%	316,262,655	2.40%	268,724,453	2.04%
	Individual DPMs	171,595,338	1.30%	117,226,309	0.89%	54,369,028	0.41%
	Sub Total (Individuals)	7,505,278,575	56.94%	7,733,346,712	58.67%	-228,068,137	-1.73%
	Institutions:						
	Corporates	888,408,299	6.74%	771,125,296	5.85%	117,283,003	0.89%
	Mutual Funds	496,689,448	3.77%	492,491,854	3.74%	4,197,594	0.03%
	GRE's	20,646,727	0.16%	1,654,276	0.01%	18,992,451	0.14%
	Institution DPMs	214,808,659	1.63%	1,045,255,412	7.93%	-830,446,753	-6.30%
	Sub Total (Institutions)	1,620,553,133	12.30%	2,310,526,838	17.53%	-689,973,705	-5.23%
	Total Saudi Investors	9,125,831,708	69.24%	10,043,873,550	76.20%	-918,041,842	-6.97%
GCC	Individuals	34,115,980	0.26%	23,648,269	0.18%	10,467,711	0.08%
	Institutions	418,669,038	3.18%	127,251,263	0.97%	291,417,775	2.21%
	GCC DPMs	2,340,294	0.02%	96,173	0.00%	2,244,121	0.02%
	Total GCC Investors	455,125,312	3.45%	150,995,704	1.15%	304,129,607	2.31%
Foreign	SWAP Holders	184,716,687	1.40%	128,031,834	0.97%	56,684,853	0.43%
	Foreign Residents & Others	115,968,435	0.88%	123,884,730	0.94%	-7,916,295	-0.06%
	QFIs	3,298,159,750	25.02%	2,733,276,889	20.74%	564,882,861	4.29%
	Foreign DPMs	331,388	0.00%	70,572	0.00%	260,816	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	3,599,176,260	27.31%	2,985,264,025	22.65%	613,912,235	4.66%
Grand Total		13,180,133,279	100.00%	13,180,133,279	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,281,352,736	47.66%	5,732,742,533	43.50%	548,610,202	4.16%
Non-Institutional	6,898,780,544	52.34%	7,447,390,746	56.50%	-548,610,202	-4.16%
Grand Total	13,180,133,279	100.00%	13,180,133,279	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 10 October 2019		As of 17 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	69,026,638,590	3.80%	68,806,116,620	3.82%	-220,521,970	0.02%
	HNWIs	140,313,135,943	7.72%	139,306,169,586	7.73%	-1,006,966,357	0.01%
	IPIs	252,993,868,235	13.91%	250,162,245,436	13.88%	-2,831,622,799	-0.03%
	Individual DPMs	4,234,814,276	0.23%	4,220,126,004	0.23%	-14,688,272	0.00%
	Sub Total (Individuals)	466,568,457,044	25.66%	462,494,657,646	25.66%	-4,073,799,398	0.00%
	Institutions:						
	Corporates	323,328,791,045	17.78%	320,125,799,409	17.76%	-3,202,991,636	-0.02%
	Mutual Funds	183,820,210,354	10.11%	180,249,658,083	10.00%	-3,570,552,271	-0.11%
	GRE's	620,084,406,869	34.10%	616,541,892,051	34.21%	-3,542,514,817	0.11%
	Institution DPMs	31,718,894,027	1.74%	30,941,988,052	1.72%	-776,905,975	-0.03%
	Sub Total (Institutions)	1,158,952,302,294	63.74%	1,147,859,337,595	63.69%	-11,092,964,699	-0.04%
Total Saudi Investors	1,625,520,759,338	89.40%	1,610,353,995,241	89.36%	-15,166,764,097	-0.04%	
GCC	Individuals	2,287,192,868	0.13%	2,241,702,702	0.12%	-45,490,166	0.00%
	Institutions	31,046,087,376	1.71%	30,753,589,353	1.71%	-292,498,023	0.00%
	GCC DPMs	541,239,150	0.03%	542,902,052	0.03%	1,662,902	0.00%
	Total GCC Investors	33,874,519,394	1.86%	33,538,194,107	1.86%	-336,325,287	0.00%
Foreign	SWAP Holders	3,040,493,236	0.17%	2,950,459,955	0.16%	-90,033,281	0.00%
	Foreign Residents & Others	15,097,696,875	0.83%	15,050,704,476	0.84%	-46,992,399	0.00%
	QFIs	101,767,924,674	5.60%	101,669,777,153	5.64%	-98,147,521	0.04%
	Foreign DPMs	15,840,751	0.00%	15,809,956	0.00%	-30,794	0.00%
	Strategic Investor	38,942,858,568	2.14%	38,540,579,662	2.14%	-402,278,906	0.00%
	Total Foreign Investors	158,864,814,104	8.74%	158,227,331,203	8.78%	-637,482,901	0.04%
Grand Total		1,818,260,092,836	100.00%	1,802,119,520,551	100.00%	-16,140,572,285	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 October 2019		As of 17 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,601,284,036,441	88.07%	1,586,466,605,328	88.03%	-14,817,431,113	-0.03%
Non-Institutional	216,976,056,395	11.93%	215,652,915,223	11.97%	-1,323,141,172	0.03%
Grand Total	1,818,260,092,836	100.00%	1,802,119,520,551	100.00%	-16,140,572,285	

Definitions

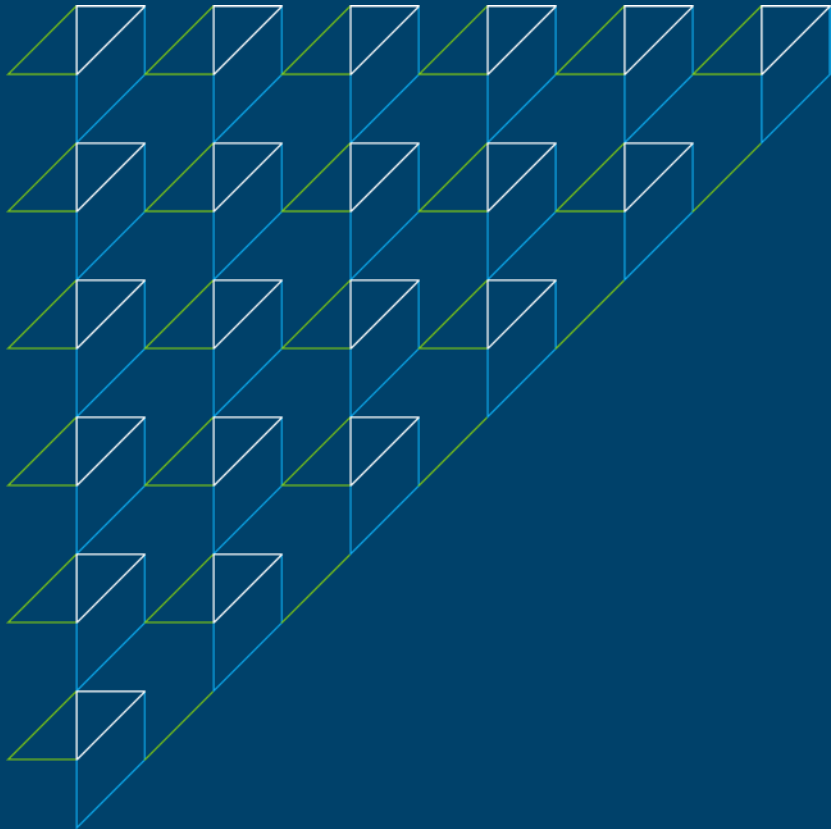
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	13,521,255	90.17%	12,371,162	82.50%	1,150,093	7.67%
	Corporates	426,183	2.84%	1,680,990	11.21%	-1,254,808	-8.37%
	Institution	129,743	0.87%	625,310	4.17%	-495,568	-3.30%
	Total Saudi Investors	14,077,181	93.87%	14,677,463	97.88%	-600,282	-4.00%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	77,685	0.52%	0	0.00%	77,685	0.52%
	Total GCC Investors	77,685	0.52%	0	0.00%	77,685	0.52%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	840,818	5.61%	318,221	2.12%	522,597	3.48%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	840,818	5.61%	318,221	2.12%	522,597	3.48%
Grand Total		14,995,684	100.00%	14,995,684	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	633,610	4.23%	2,306,301	15.38%	-1,672,691	-11.15%
Non-Institutional	14,362,074	95.77%	12,689,383	84.62%	1,672,691	11.15%
Grand Total	14,995,684	100.00%	14,995,684	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 10 October 2019		As of 17 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	3,246,435,497	93.76%	3,249,274,155	93.48%	2,838,658	-0.27%
	Corporates	135,836,118	3.92%	141,268,741	4.06%	5,432,623	0.14%
	Institution	45,574,504	1.32%	49,696,249	1.43%	4,121,745	0.11%
	Total Saudi Investors	3,427,846,120	99.00%	3,440,239,146	98.98%	12,393,026	-0.02%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,024,749	0.38%	13,441,883	0.39%	417,134	0.01%
	Total GCC Investors	13,024,749	0.38%	13,441,883	0.39%	417,134	0.01%
Foreign	SWAP Holders	170,478	0.00%	167,284	0.00%	-3,194	0.00%
	Foreign Residents	5,692,034	0.16%	6,020,099	0.17%	328,065	0.01%
	QFIs	15,912,043	0.46%	15,920,498	0.46%	8,455	0.00%
	Total Foreign Investors	21,774,556	0.63%	22,107,882	0.64%	333,326	0.01%
Grand Total		3,462,645,424	100.00%	3,475,788,910	100.00%	13,143,486	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 October 2019		As of 17 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	210,517,893	6.08%	220,494,655	6.34%	9,976,763	0.26%
Non-Institutional	3,252,127,531	93.92%	3,255,294,255	93.66%	3,166,723	-0.26%
Grand Total	3,462,645,424	100.00%	3,475,788,910	100.00%	13,143,486	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

