

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 25 April 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,278,271,846	34.05%	6,473,149,363	35.11%	-194,877,517	-1.06%
	HNWIs	4,504,796,895	24.43%	4,628,591,598	25.11%	-123,794,703	-0.67%
	IPIs	1,223,795,369	6.64%	1,017,702,609	5.52%	206,092,760	1.12%
	Individual DPMs	161,071,461	0.87%	222,018,627	1.20%	-60,947,165	-0.33%
	Sub Total (Individuals)	12,167,935,571	66.00%	12,341,462,197	66.94%	-173,526,626	-0.94%
	Institutions:						
	Corporates	1,528,432,877	8.29%	688,810,445	3.74%	839,622,432	4.55%
	Mutual Funds	746,868,920	4.05%	774,955,731	4.20%	-28,086,811	-0.15%
	GRE's	1,196,431	0.01%	513,308,657	2.78%	-512,112,226	-2.78%
	Institution DPMs	475,004,305	2.58%	383,710,738	2.08%	91,293,567	0.50%
	Sub Total (Institutions)	2,751,502,533	14.92%	2,360,785,571	12.81%	390,716,961	2.12%
Total Saudi Investors	14,919,438,103	80.93%	14,702,247,768	79.75%	217,190,335	1.18%	
GCC	Individuals	50,733,834	0.28%	79,413,155	0.43%	-28,679,321	-0.16%
	Institutions	263,592,590	1.43%	497,326,384	2.70%	-233,733,794	-1.27%
	GCC DPMs	587,802	0.00%	47,539,759	0.26%	-46,951,957	-0.25%
	Total GCC Investors	314,914,226	1.71%	624,279,298	3.39%	-309,365,072	-1.68%
Foreign	SWAP Holders	145,001,740	0.79%	488,508,111	2.65%	-343,506,371	-1.86%
	Foreign Residents	189,167,169	1.03%	207,152,552	1.12%	-17,985,383	-0.10%
	QFIs	2,867,292,531	15.55%	552,062,864	2.99%	2,315,229,667	12.56%
	Foreign DPMs	139,405	0.00%	95,883	0.00%	43,522	0.00%
	Strategic Partners	0	0.00%	1,861,606,699	10.10%	-1,861,606,699	-10.10%
	Total Foreign Investors	3,201,600,845	17.37%	3,109,426,108	16.87%	92,174,736	0.50%
Grand Total		18,435,953,175	100.00%	18,435,953,175	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,412,983,431	40.21%	7,047,646,506	38.23%	365,336,924	1.98%
Non-Institutional	11,022,969,744	59.79%	11,388,306,668	61.77%	-365,336,924	-1.98%
Grand Total	18,435,953,175	100.00%	18,435,953,175	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 18 April 2019		As of 25 April 2019		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	81,170,692,804	3.76%	82,743,350,997	3.81%	1,572,658,193	0.05%	
	HNWIs	165,061,360,868	7.64%	166,149,077,634	7.64%	1,087,716,766	0.00%	
	IPIs	292,275,013,784	13.53%	293,484,338,016	13.50%	1,209,324,232	-0.03%	
	Individual DPMs	5,637,300,247	0.26%	5,558,992,518	0.26%	-78,307,729	-0.01%	
	Sub Total (Individuals)	544,144,367,703	25.20%	547,935,759,165	25.20%	3,791,391,462	0.01%	
	Institutions:							
	Corporates	324,953,283,159	15.05%	326,965,660,935	15.04%	2,012,377,777	-0.01%	
	Mutual Funds	202,444,863,952	9.37%	204,904,193,905	9.43%	2,459,329,953	0.05%	
	GRE's	875,563,906,974	40.54%	878,805,152,908	40.42%	3,241,245,934	-0.12%	
	Institution DPMs	48,697,686,041	2.25%	48,607,294,212	2.24%	-90,391,829	-0.02%	
	Sub Total (Institutions)	1,451,659,740,126	67.22%	1,459,282,301,961	67.13%	7,622,561,835	-0.09%	
	Total Saudi Investors	1,995,804,107,829	92.41%	2,007,218,061,126	92.33%	11,413,953,297	-0.08%	
GCC	Individuals	3,316,112,892	0.15%	3,327,771,171	0.15%	11,658,279	0.00%	
	Institutions	39,703,569,942	1.84%	39,895,342,361	1.84%	191,772,419	0.00%	
	GCC DPMs	909,492,559	0.04%	853,529,946	0.04%	-55,962,613	0.00%	
	Total GCC Investors	43,929,175,393	2.03%	44,076,643,478	2.03%	147,468,085	-0.01%	
Foreign	SWAP Holders	12,999,571,346	0.60%	12,615,123,403	0.58%	-384,447,943	-0.02%	
	Foreign Residents	3,771,375,969	0.17%	3,765,249,097	0.17%	-6,126,873	0.00%	
	QFIs	31,619,872,550	1.46%	33,648,379,315	1.55%	2,028,506,765	0.08%	
	Foreign DPMs	4,125,770,011	0.19%	4,060,148,736	0.19%	-65,621,275	0.00%	
	Strategic Partners	67,442,088,995	3.12%	68,563,239,682	3.15%	1,121,150,687	0.03%	
	Total Foreign Investors	119,958,678,871	5.55%	122,652,140,232	5.64%	2,693,461,361	0.09%	
Grand Total		2,159,691,962,093	100.00%	2,173,946,844,836	100.00%	14,254,882,742		

* Non deposited shares was not reflected as holding value on 25 April for (Taiba Holding Co. 4090 - Corporate Action - Acquisition) & (Seera Group Holding 1810 - Corporate Action - Bonus Shares) & (Etihad Attheeb Telecommunication Co. 7040 - Corporate Action - Capital Reduction)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 18 April 2019		As of 25 April 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,906,372,419,559	88.27%	1,917,961,395,937	88.22%	11,588,976,377	-0.05%
Non-Institutional	253,319,542,534	11.73%	255,985,448,899	11.78%	2,665,906,365	0.05%
Grand Total	2,159,691,962,093	100.00%	2,173,946,844,836	100.00%	14,254,882,742	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	2,955,132	91.85%	2,978,949	92.59%	-23,817	-0.74%
	Corporates	47,565	1.48%	14	0.00%	47,551	1.48%
	Institution	0	0.00%	195,001	6.06%	-195,001	-6.06%
	Total Saudi Investors	3,002,697	93.32%	3,173,964	98.65%	-171,266	-5.32%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	11,130	0.35%	43,543	1.35%	-32,413	-1.01%
	QFIs	203,679	6.33%	0	0.00%	203,679	6.33%
	Total Foreign Investors	214,809	6.68%	43,543	1.35%	171,266	5.32%
Grand Total		3,217,506	100.00%	3,217,506	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	251,244	7.81%	195,015	6.06%	56,229	1.75%
Non-Institutional	2,966,262	92.19%	3,022,491	93.94%	-56,229	-1.75%
Grand Total	3,217,506	100.00%	3,217,506	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 18 April 2019		As of 25 April 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,205,871,394	95.10%	4,151,247,035	95.22%	-54,624,359	0.12%
	Corporates	147,312,233	3.33%	142,762,416	3.27%	-4,549,816	-0.06%
	Institution	38,472,298	0.87%	36,731,266	0.84%	-1,741,032	-0.03%
	Total Saudi Investors	4,391,655,924	99.30%	4,330,740,717	99.34%	-60,915,207	0.04%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	11,526,833	0.26%	10,533,141	0.24%	-993,693	-0.02%
	Total GCC Investors	11,526,833	0.26%	10,533,141	0.24%	-993,693	-0.02%
Foreign	SWAP Holders	194,041	0.00%	204,696	0.00%	10,655	0.00%
	Foreign Residents	3,950,715	0.09%	3,831,018	0.09%	-119,696	0.00%
	QFIs	15,110,087	0.34%	14,240,876	0.33%	-869,211	-0.02%
	Total Foreign Investors	19,254,843	0.44%	18,276,590	0.42%	-978,252	-0.02%
Grand Total		4,422,437,600	100.00%	4,359,550,448	100.00%	-62,887,152	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 18 April 2019		As of 25 April 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	212,615,492	4.81%	204,472,395	4.69%	-8,143,097	-0.12%
Non-Institutional	4,209,822,108	95.19%	4,155,078,053	95.31%	-54,744,055	0.12%
Grand Total	4,422,437,600	100.00%	4,359,550,448	100.00%	-62,887,152	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

