

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 3 August 2017



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	5,602,757,305	41.03%	7,399,448,153	54.19%	-1,796,690,848	-13.16%
	HNWIs	3,860,813,418	28.27%	3,721,192,721	27.25%	139,620,697	1.02%
	IPIs	358,578,782	2.63%	345,930,606	2.53%	12,648,176	0.09%
	Individual DPMs	141,346,782	1.04%	192,637,161	1.41%	-51,290,379	-0.38%
	<b>Sub Total (Individuals)</b>	<b>9,963,496,287</b>	<b>72.97%</b>	<b>11,659,208,641</b>	<b>85.39%</b>	<b>-1,695,712,353</b>	<b>-12.42%</b>
	<b>Institutions:</b>						
	Corporates	2,026,226,510	14.84%	498,517,415	3.65%	1,527,709,095	11.19%
	Mutual Funds	626,567,217	4.59%	582,224,610	4.26%	44,342,607	0.32%
	GRE's	3,364,964	0.02%	180,000	0.00%	3,184,964	0.02%
	Institution DPMs	244,854,188	1.79%	143,636,200	1.05%	101,217,988	0.74%
	<b>Sub Total (Institutions)</b>	<b>2,901,012,879</b>	<b>21.25%</b>	<b>1,224,558,225</b>	<b>8.97%</b>	<b>1,676,454,653</b>	<b>12.28%</b>
	<b>Total Saudi Investors</b>	<b>12,864,509,166</b>	<b>94.21%</b>	<b>12,883,766,866</b>	<b>94.35%</b>	<b>-19,257,700</b>	<b>-0.14%</b>
GCC	Individuals	42,062,479	0.31%	37,544,809	0.27%	4,517,670	0.03%
	Institutions	130,732,717	0.96%	230,206,016	1.69%	-99,473,299	-0.73%
	GCC DPMs	180,361	0.00%	5,144,045	0.04%	-4,963,684	-0.04%
	<b>Total GCC Investors</b>	<b>172,975,557</b>	<b>1.27%</b>	<b>272,894,869</b>	<b>2.00%</b>	<b>-99,919,312</b>	<b>-0.73%</b>
Foreign	SWAP Holders	210,155,396	1.54%	110,672,626	0.81%	99,482,770	0.73%
	Foreign Residents	200,712,228	1.47%	205,342,840	1.50%	-4,630,611	-0.03%
	QFIs	171,827,318	1.26%	176,668,169	1.29%	-4,840,851	-0.04%
	Foreign DPMs	34,599,811	0.25%	2,506,948	0.02%	32,092,864	0.24%
	Strategic Partners	0	0.00%	2,927,160	0.02%	-2,927,160	-0.02%
<b>Total Foreign Investors</b>	<b>617,294,754</b>	<b>4.52%</b>	<b>498,117,742</b>	<b>3.65%</b>	<b>119,177,012</b>	<b>0.87%</b>	
<b>Grand Total</b>		<b>13,654,779,477</b>	<b>100.00%</b>	<b>13,654,779,477</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,948,434,047	28.92%	2,291,250,955	16.78%	1,657,183,091	12.14%
Non-Institutional	9,706,345,430	71.08%	11,363,528,522	83.22%	-1,657,183,091	-12.14%
<b>Grand Total</b>	<b>13,654,779,477</b>	<b>100.00%</b>	<b>13,654,779,477</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 27 July 2017		As of 3 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	72,511,218,768	4.26%	70,631,801,768	4.21%	-1,879,417,000	-0.05%
	HNWIs	130,736,051,321	7.68%	129,835,723,576	7.73%	-900,327,745	0.05%
	IPIs	255,895,223,203	15.03%	253,131,414,318	15.08%	-2,763,808,885	0.05%
	Individual DPMs	4,366,722,376	0.26%	5,019,456,152	0.30%	652,733,776	0.04%
	<b>Sub Total (Individuals)</b>	<b>463,509,215,668</b>	<b>27.23%</b>	<b>458,618,395,814</b>	<b>27.32%</b>	<b>-4,890,819,854</b>	<b>0.09%</b>
	<b>Institutions:</b>						
	Corporates	279,521,035,256	16.42%	275,498,061,284	16.41%	-4,022,973,972	-0.01%
	Mutual Funds	104,698,111,844	6.15%	102,702,832,636	6.12%	-1,995,279,209	-0.03%
	GRE's	693,318,676,192	40.73%	681,412,930,859	40.59%	-11,905,745,333	-0.14%
	Institution DPMs	47,229,494,894	2.77%	48,554,951,500	2.89%	1,325,456,606	0.12%
	<b>Sub Total (Institutions)</b>	<b>1,124,767,318,185</b>	<b>66.07%</b>	<b>1,108,168,776,278</b>	<b>66.01%</b>	<b>-16,598,541,907</b>	<b>-0.06%</b>
<b>Total Saudi Investors</b>	<b>1,588,276,533,854</b>	<b>93.30%</b>	<b>1,566,787,172,092</b>	<b>93.33%</b>	<b>-21,489,361,762</b>	<b>0.03%</b>	
GCC	Individuals	2,965,778,525	0.17%	2,928,115,337	0.17%	-37,663,187	0.00%
	Institutions	33,754,336,150	1.98%	33,023,747,891	1.97%	-730,588,259	-0.02%
	GCC DPMs	664,808,940	0.04%	661,364,182	0.04%	-3,444,758	0.00%
	<b>Total GCC Investors</b>	<b>37,384,923,615</b>	<b>2.20%</b>	<b>36,613,227,410</b>	<b>2.18%</b>	<b>-771,696,205</b>	<b>-0.02%</b>
Foreign	SWAP Holders	9,948,031,747	0.58%	9,830,869,378	0.59%	-117,162,369	0.00%
	Foreign Residents	4,383,133,824	0.26%	4,320,754,793	0.26%	-62,379,031	0.00%
	QFIs	6,599,043,655	0.39%	6,558,443,548	0.39%	-40,600,106	0.00%
	Foreign DPMs	2,615,096,091	0.15%	2,590,671,364	0.15%	-24,424,727	0.00%
	Strategic Partners	53,116,184,689	3.12%	52,009,307,315	3.10%	-1,106,877,374	-0.02%
<b>Total Foreign Investors</b>	<b>76,661,490,006</b>	<b>4.50%</b>	<b>75,310,046,399</b>	<b>4.49%</b>	<b>-1,351,443,607</b>	<b>-0.02%</b>	
<b>Grand Total</b>		<b>1,702,322,947,474</b>	<b>100.00%</b>	<b>1,678,710,445,901</b>	<b>100.00%</b>	<b>-23,612,501,573</b>	

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 27 July 2017		As of 3 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,491,726,765,036	87.63%	1,470,994,050,426	87.63%	-20,732,714,610	0.00%
Non-Institutional	210,596,182,438	12.37%	207,716,395,475	12.37%	-2,879,786,963	0.00%
<b>Grand Total</b>	<b>1,702,322,947,474</b>	<b>100.00%</b>	<b>1,678,710,445,901</b>	<b>100.00%</b>	<b>-23,612,501,573</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Individuals	10,913,743	84.93%	10,926,842	85.03%	-13,099	-0.10%
	Corporates	0	0.00%	0	0.00%	0	0.00%
	Institution	1,080,804	8.41%	1,702,009	13.24%	-621,205	-4.83%
	<b>Total Saudi Investors</b>	<b>11,994,547</b>	<b>93.34%</b>	<b>12,628,851</b>	<b>98.27%</b>	<b>-634,303</b>	<b>-4.94%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	377,457	2.94%	0	0.00%	377,457	2.94%
	<b>Total GCC Investors</b>	<b>377,457</b>	<b>2.94%</b>	<b>0</b>	<b>0.00%</b>	<b>377,457</b>	<b>2.94%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	255,913	1.99%	221,877	1.73%	34,035	0.26%
	QFIs	222,811	1.73%	0	0.00%	222,811	1.73%
	<b>Total Foreign Investors</b>	<b>478,724</b>	<b>3.73%</b>	<b>221,877</b>	<b>1.73%</b>	<b>256,847</b>	<b>2.00%</b>
<b>Grand Total</b>		<b>12,850,728</b>	<b>100.00%</b>	<b>12,850,728</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
<b>Institutional</b>	1,681,072	13.08%	1,702,009	13.24%	-20,937	-0.16%	
<b>Non-Institutional</b>	11,169,656	86.92%	11,148,719	86.76%	20,937	0.16%	
<b>Grand Total</b>	<b>12,850,728</b>	<b>100.00%</b>	<b>12,850,728</b>	<b>100.00%</b>			

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 27 July 2017		As of 3 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Individuals	2,232,881,166	87.87%	2,190,999,534	87.84%	-41,881,632	-0.03%
	Corporates	142,519,063	5.61%	139,010,736	5.57%	-3,508,327	-0.04%
	Institution	143,846,947	5.66%	142,245,867	5.70%	-1,601,081	0.04%
	<b>Total Saudi Investors</b>	<b>2,519,247,177</b>	<b>99.14%</b>	<b>2,472,256,137</b>	<b>99.11%</b>	<b>-46,991,039</b>	<b>-0.02%</b>
GCC	Individuals	31,333	0.00%	30,268	0.00%	-1,065	0.00%
	Institutions	15,588,969	0.61%	15,788,578	0.63%	199,609	0.02%
	<b>Total GCC Investors</b>	<b>15,620,301</b>	<b>0.61%</b>	<b>15,818,846</b>	<b>0.63%</b>	<b>198,545</b>	<b>0.02%</b>
Foreign	SWAP Holders	234,610	0.01%	215,067	0.01%	-19,544	0.00%
	Foreign Residents	5,117,515	0.20%	4,928,108	0.20%	-189,407	0.00%
	QFIs	991,777	0.04%	1,206,192	0.05%	214,415	0.01%
	<b>Total Foreign Investors</b>	<b>6,343,902</b>	<b>0.25%</b>	<b>6,349,367</b>	<b>0.25%</b>	<b>5,465</b>	<b>0.00%</b>
<b>Grand Total</b>		<b>2,541,211,380</b>	<b>100.00%</b>	<b>2,494,424,350</b>	<b>100.00%</b>	<b>-46,787,030</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 27 July 2017		As of 3 August 2017		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
<b>Institutional</b>	303,181,366	11.93%	298,466,439	11.97%	-4,714,927	0.03%	
<b>Non-Institutional</b>	2,238,030,014	88.07%	2,195,957,911	88.03%	-42,072,103	-0.03%	
<b>Grand Total</b>	<b>2,541,211,380</b>	<b>100.00%</b>	<b>2,494,424,350</b>	<b>100.00%</b>	<b>-46,787,030</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

