

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 29 September 2016



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the week ending 29 September 2016 amounted to SAR 16.81 billion, increasing by 86.46% over the previous week; while total stock market capitalization reached SAR 1,324.65* billion at the end of this period, decreasing by 4.72% over the previous week.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 15.53 billion representing 92.39% of total buying activity, and sales of SAR 15.81 billion representing 94.07% of total selling activity. Total ownership of “Saudi Investors” stood at 93.45% of total market capitalization as of 29 September 2016, representing a decrease of 0.03% from the previous week.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.600 billion representing 3.57% of total buying activity, and sales of SAR 0.353 billion representing 2.10% of total selling activity. Total ownership of “GCC Investors” stood at 2.40% of total market capitalization as of 29 September 2016, representing a decrease of 0.03% from the previous week.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.679 billion representing 4.04% of total buying activity, and sales of SAR 0.644 billion representing 3.83% of total selling activity. Total ownership of “Foreign Investors” stood at 4.15% of total market capitalization as of 29 September 2016, representing an increase of 0.06% from the previous week.



* Non Deposited Tradable Rights amount of 715,200,000 SAR was not reflected as on 29 September holding value

Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,472,612,812	32.55%	7,340,772,632	43.66%	-1,868,159,821	-11.11%
	HNWIs	5,839,600,863	34.74%	6,270,618,023	37.30%	-431,017,160	-2.56%
	IPIs	529,925,792	3.15%	895,826,427	5.33%	-365,900,635	-2.18%
	Individual DPMs	172,521,262	1.03%	165,051,852	0.98%	7,469,410	0.04%
	Sub Total (Individuals)	12,014,660,729	71.47%	14,672,268,935	87.27%	-2,657,608,206	-15.81%
	Institutions:						
	Corporates	2,196,892,201	13.07%	295,070,440	1.76%	1,901,821,761	11.31%
	Mutual Funds	748,378,516	4.45%	557,949,215	3.32%	190,429,301	1.13%
	GRE's	151,006,343	0.90%	0	0.00%	151,006,343	0.90%
	Institution DPMs	421,694,050	2.51%	289,611,576	1.72%	132,082,474	0.79%
	Sub Total (Institutions)	3,517,971,111	20.93%	1,142,631,231	6.80%	2,375,339,880	14.13%
Total Saudi Investors	15,532,631,840	92.39%	15,814,900,166	94.07%	-282,268,326	-1.68%	
GCC	Individuals	69,987,944	0.42%	36,468,099	0.22%	33,519,845	0.20%
	Institutions	525,230,404	3.12%	314,009,929	1.87%	211,220,476	1.26%
	GCC DPMs	4,668,960	0.03%	2,178,891	0.01%	2,490,069	0.01%
	Total GCC Investors	599,887,308	3.57%	352,656,918	2.10%	247,230,390	1.47%
Foreign	SWAP Holders	421,674,033	2.51%	294,134,645	1.75%	127,539,388	0.76%
	Foreign Residents	239,640,278	1.43%	291,058,815	1.73%	-51,418,537	-0.31%
	QFIs	17,997,515	0.11%	59,080,430	0.35%	-41,082,915	-0.24%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	679,311,826	4.04%	644,273,890	3.83%	35,037,936	0.21%
Grand Total		16,811,830,974	100.00%	16,811,830,974	100.00%		

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,189,989,077	30.87%	2,872,913,404	17.09%	2,317,075,673	13.78%
Non-Institutional	11,621,841,897	69.13%	13,938,917,569	82.91%	-2,317,075,673	-13.78%
Grand Total	16,811,830,974	100.00%	16,811,830,974	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 21 September 2016		As of 29 September 2016*		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	67,185,966,713	4.83%	62,229,837,051	4.70%	-4,956,129,662	-0.13%
	HNWIs	119,483,905,683	8.59%	112,222,962,605	8.47%	-7,260,943,078	-0.12%
	IPIs	231,864,451,046	16.68%	219,247,030,966	16.55%	-12,617,420,080	-0.13%
	Individual DPMs	4,255,707,318	0.31%	4,026,787,147	0.30%	-228,920,171	0.00%
	Sub Total (Individuals)	422,790,030,760	30.41%	397,726,617,770	30.02%	-25,063,412,990	-0.39%
	Institutions:						
	Corporates	245,738,372,775	17.68%	231,926,169,273	17.51%	-13,812,203,501	-0.17%
	Mutual Funds	42,361,167,226	3.05%	40,030,764,016	3.02%	-2,330,403,211	-0.03%
	GRE's	549,885,635,491	39.55%	531,138,391,846	40.10%	-18,747,243,645	0.54%
	Institution DPMs	38,852,480,984	2.79%	37,087,313,191	2.80%	-1,765,167,793	0.01%
	Sub Total (Institutions)	876,837,656,476	63.07%	840,182,638,327	63.43%	-36,655,018,149	0.36%
Total Saudi Investors	1,299,627,687,236	93.48%	1,237,909,256,097	93.45%	-61,718,431,139	-0.03%	
GCC	Individuals	2,655,106,073	0.19%	2,556,147,138	0.19%	-98,958,935	0.00%
	Institutions	30,485,651,049	2.19%	28,656,517,848	2.16%	-1,829,133,201	-0.03%
	GCC DPMs	571,306,817	0.04%	548,745,759	0.04%	-22,561,058	0.00%
	Total GCC Investors	33,712,063,939	2.42%	31,761,410,745	2.40%	-1,950,653,194	-0.03%
Foreign	SWAP Holders	12,805,071,479	0.92%	12,339,076,719	0.93%	-465,994,760	0.01%
	Foreign Residents	3,940,822,066	0.28%	3,704,779,780	0.28%	-236,042,285	0.00%
	QFIs	1,220,656,528	0.09%	1,112,535,494	0.08%	-108,121,034	0.00%
	Foreign DPMs	10,866,972	0.00%	10,348,591	0.00%	-518,381	0.00%
	Strategic Partners	38,932,278,483	2.80%	37,815,162,500	2.85%	-1,117,115,983	0.05%
Total Foreign Investors	56,909,695,528	4.09%	54,981,903,084	4.15%	-1,927,792,444	0.06%	
Grand Total		1,390,249,446,703	100.00%	1,324,652,569,926	100.00%	-65,596,876,776	

* Non Deposited Tradable Rights amount of 715,200,000 SAR was not reflected as on 29 September holding value

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Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 21 September 2016		As of 29 September 2016*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,196,983,646,167	86.10%	1,143,938,843,351	86.36%	-53,044,802,817	0.26%
Non-Institutional	193,265,800,535	13.90%	180,713,726,575	13.64%	-12,552,073,960	-0.26%
Grand Total	1,390,249,446,703	100.00%	1,324,652,569,926	100.00%	-65,596,876,776	

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