

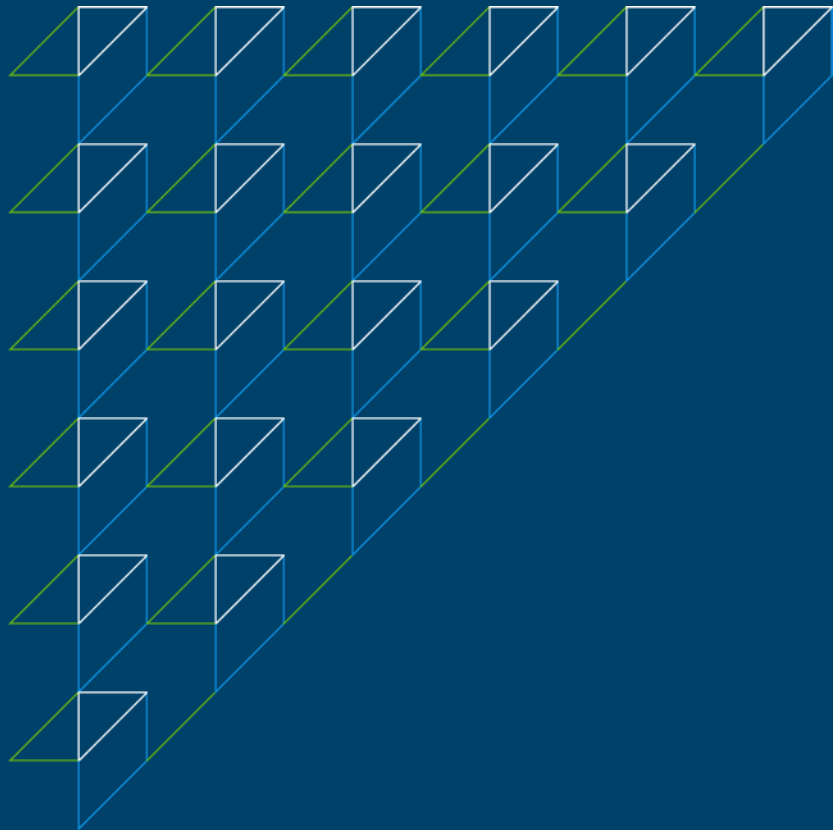
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 07 May 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	12,182,291,300	53.35%	11,460,212,497	50.19%	722,078,803	3.16%
	HNWIs	5,343,086,009	23.40%	5,554,525,964	24.33%	-211,439,955	-0.93%
	IPIs	825,774,210	3.62%	858,594,593	3.76%	-32,820,383	-0.14%
	Individual DPMs	114,784,323	0.50%	373,622,662	1.64%	-258,838,339	-1.13%
	Sub Total (Individuals)	18,465,935,842	80.87%	18,246,955,717	79.91%	218,980,126	0.96%
	Institutions:						
	Corporates	769,635,745	3.37%	473,462,814	2.07%	296,172,930	1.30%
	Mutual Funds	1,030,450,517	4.51%	1,049,607,223	4.60%	-19,156,706	-0.08%
	GRE's	201,026,674	0.88%	409,751,749	1.79%	-208,725,075	-0.91%
	Institution DPMs	239,970,237	1.05%	275,044,236	1.20%	-35,073,999	-0.15%
	Sub Total (Institutions)	2,241,083,173	9.81%	2,207,866,022	9.67%	33,217,151	0.15%
	Total Saudi Investors	20,707,019,015	90.69%	20,454,821,739	89.58%	252,197,276	1.10%
GCC	Individuals	40,576,375	0.18%	37,328,660	0.16%	3,247,715	0.01%
	Institutions	217,184,199	0.95%	297,567,105	1.30%	-80,382,906	-0.35%
	GCC DPMs	3,643,650	0.02%	479,843	0.00%	3,163,807	0.01%
	Total GCC Investors	261,404,224	1.14%	335,375,608	1.47%	-73,971,384	-0.32%
Foreign	SWAP Holders	12,393,999	0.05%	17,376,343	0.08%	-4,982,344	-0.02%
	Foreign Residents & Others	471,905,206	2.07%	417,364,899	1.83%	54,540,306	0.24%
	QFIs	1,381,142,306	6.05%	1,608,926,161	7.05%	-227,783,855	-1.00%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,865,441,511	8.17%	2,043,667,403	8.95%	-178,225,893	-0.78%
Grand Total		22,833,864,750	100.00%	22,833,864,750	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,796,005,860	21.00%	5,364,432,729	23.49%	-568,426,869	-2.49%
Non-Institutional	18,037,858,890	79.00%	17,469,432,020	76.51%	568,426,869	2.49%
Grand Total	22,833,864,750	100.00%	22,833,864,750	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 April 2020			As of 07 May 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	99,198,056,495	1.24%	9.52%	93,897,575,965	1.21%	9.66%	-5,300,480,530	-0.03%	0.15%
	HNWIs	144,662,760,105	1.81%	13.66%	133,903,397,330	1.73%	13.58%	-10,759,362,774	-0.08%	-0.09%
	IPIs	248,498,167,777	3.10%	17.70%	231,434,580,451	2.98%	17.55%	-17,063,587,325	-0.12%	-0.14%
	Individual DPMs	5,253,323,402	0.07%	0.50%	4,844,932,244	0.06%	0.50%	-408,391,158	0.00%	-0.01%
	Sub Total (Individuals)	497,612,307,779	6.22%	41.38%	464,080,485,990	5.98%	41.29%	-33,531,821,789	-0.23%	-0.09%
	Institutions:									
	Corporates	335,032,207,952	4.19%	23.24%	313,806,298,584	4.04%	23.19%	-21,225,909,368	-0.14%	-0.04%
	Mutual Funds	170,697,452,200	2.13%	11.12%	157,268,223,799	2.03%	11.13%	-13,429,228,401	-0.11%	0.01%
	GRE's	6,769,856,331,839	84.57%	7.24%	6,608,339,455,494	85.17%	7.31%	-161,516,876,345	0.60%	0.07%
	Institution DPMs	32,297,806,951	0.40%	3.10%	30,236,677,033	0.39%	3.11%	-2,061,129,918	-0.01%	0.01%
	Sub Total (Institutions)	7,307,883,798,941	91.29%	44.69%	7,109,650,654,909	91.63%	44.74%	-198,233,144,032	0.34%	0.05%
Total Saudi Investors	7,805,496,106,720	97.50%	86.07%	7,573,731,140,900	97.61%	86.03%	-231,764,965,820	0.11%	-0.04%	
GCC	Individuals	2,120,910,816	0.03%	0.20%	1,978,016,737	0.03%	0.20%	-142,894,079	0.00%	0.00%
	Institutions	33,960,164,277	0.42%	1.95%	31,598,019,335	0.41%	1.95%	-2,362,144,942	-0.02%	0.00%
	GCC DPMs	729,771,888	0.01%	0.07%	676,356,365	0.01%	0.07%	-53,415,523	0.00%	0.00%
	Total GCC Investors	36,810,846,981	0.46%	2.22%	34,252,392,437	0.44%	2.22%	-2,558,454,543	-0.02%	0.00%
Foreign	SWAP Holders	2,231,167,172	0.03%	0.21%	2,068,249,580	0.03%	0.21%	-162,917,592	0.00%	0.00%
	Foreign Residents & Others	8,490,206,814	0.11%	0.56%	7,811,846,406	0.10%	0.55%	-678,360,407	-0.01%	-0.01%
	QFIs	113,400,739,896	1.42%	10.76%	106,132,199,686	1.37%	10.81%	-7,268,540,211	-0.05%	0.05%
	Foreign DPMs	1,872,940,163	0.02%	0.18%	1,733,961,310	0.02%	0.18%	-138,978,852	0.00%	0.00%
	Strategic Investors	37,120,220,295	0.46%	0.00%	33,384,058,800	0.43%	0.00%	-3,736,161,495	-0.03%	0.00%
Total Foreign Investors	163,115,274,339	2.04%	11.71%	151,130,315,782	1.95%	11.75%	-11,984,958,557	-0.09%	0.04%	
Grand Total		8,005,422,228,040	100.00%	100.00%	7,759,113,849,119	100.00%	100.00%	-246,308,378,920		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 April 2020			As of 07 May 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,753,538,499,170	96.82%	76.06%	7,523,911,063,991	96.94%	76.01%	-229,627,435,179	0.12%	-0.05%
Non-Institutional	251,883,728,869	3.18%	23.94%	235,202,785,129	3.06%	23.99%	-16,680,943,741	-0.12%	0.05%
Grand Total	8,005,422,228,040	100.00%	100.00%	7,759,113,849,119	100.00%	100.00%	-246,308,378,920		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	73,348,762	97.76%	72,962,228	97.25%	386,534	0.52%
	Corporates	0	0.00%	682,079	0.91%	-682,079	-0.91%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	73,348,762	97.76%	73,644,307	98.16%	-295,545	-0.39%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,676,958	2.24%	1,381,413	1.84%	295,545	0.39%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,676,958	2.24%	1,381,413	1.84%	295,545	0.39%
Grand Total		75,025,720	100.00%	75,025,720	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	0	0.00%	682,079	0.91%	-682,079	-0.91%
Non-Institutional	75,025,720	100.00%	74,343,641	99.09%	682,079	0.91%
Grand Total	75,025,720	100.00%	75,025,720	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 April 2020			As of 07 May 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,861,777,110	98.61%	96.16%	1,813,890,209	98.72%	96.45%	-47,886,900	0.11%	0.28%
	Corporates	21,189,199	1.12%	3.08%	18,758,993	1.02%	2.82%	-2,430,205	-0.10%	-0.26%
	Institution	1,675,530	0.09%	0.24%	1,610,348	0.09%	0.24%	-65,182	0.00%	0.00%
	Total Saudi Investors	1,884,641,838	99.82%	99.48%	1,834,259,550	99.83%	99.51%	-50,382,287	0.01%	0.03%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	14,030	0.00%	0.00%	14,176	0.00%	0.00%	146	0.00%	0.00%
	Foreign Residents	3,344,132	0.18%	0.51%	3,076,273	0.17%	0.49%	-267,859	-0.01%	-0.03%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,358,162	0.18%	0.52%	3,090,450	0.17%	0.49%	-267,713	-0.01%	-0.03%
Grand Total		1,888,000,000	100.00%	100.00%	1,837,350,000	100.00%	100.00%	-50,650,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 30 April 2020			As of 07 May 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	22,878,758	1.21%	3.33%	20,383,517	1.11%	3.07%	-2,495,241	-0.10%	-0.26%
Non-Institutional	1,865,121,242	98.79%	96.67%	1,816,966,483	98.89%	96.93%	-48,154,759	0.10%	0.26%
Grand Total	1,888,000,000	100%	100%	1,837,350,000	100%	100%	-50,650,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

