

# Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية  
Saudi Exchange



Market Reports

Week Ending 23 December 2021

Classification: Public



# Definitions

# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

- \*Nomu-Parallel Market Individual Investors
- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
  - Have an average portfolio size of SAR 5m for the preceding 12 months
  - Worked for at least 3 years in financial sectors.
  - Hold General Securities Qualification Certificate which is recognized by the Authority.
  - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



# Main Market - Value Traded & Ownership Breakdown

# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	14,797,475,951	50.11%	15,360,595,011	52.01%	-563,119,060	-1.91%	
	HNWIs	8,366,117,394	28.33%	8,923,102,601	30.21%	-556,985,208	-1.89%	
	IPIs	777,273,919	2.63%	635,658,555	2.15%	141,615,364	0.48%	
	Individual DPMs	238,371,674	0.81%	296,552,299	1.00%	-58,180,625	-0.20%	
	<b>Sub Total (Individuals)</b>	<b>24,179,238,938</b>	<b>81.87%</b>	<b>25,215,908,467</b>	<b>85.38%</b>	<b>-1,036,669,529</b>	<b>-3.51%</b>	
	<b>Institutions:</b>							
	Corporates	945,876,753	3.20%	733,300,523	2.48%	212,576,230	0.72%	
	Mutual Funds	435,541,336	1.47%	685,554,710	2.32%	-250,013,374	-0.85%	
	GRE's	14,484,965	0.05%	9,154,799	0.03%	5,330,166	0.02%	
	Institution DPMs	168,572,468	0.57%	254,909,976	0.86%	-86,337,508	-0.29%	
	<b>Sub Total (Institutions)</b>	<b>1,564,475,522</b>	<b>5.30%</b>	<b>1,682,920,008</b>	<b>5.70%</b>	<b>-118,444,486</b>	<b>-0.40%</b>	
	<b>Total Saudi Investors</b>	<b>25,743,714,460</b>	<b>87.17%</b>	<b>26,898,828,475</b>	<b>91.08%</b>	<b>-1,155,114,015</b>	<b>-3.91%</b>	
GCC	Individuals	51,438,092	0.17%	51,050,302	0.17%	387,790	0.00%	
	Institutions	431,644,319	1.46%	231,367,563	0.78%	200,276,756	0.68%	
	GCC DPMs	1,232,876	0.00%	3,654,338	0.01%	-2,421,461	-0.01%	
	<b>Total GCC Investors</b>	<b>484,315,287</b>	<b>1.64%</b>	<b>286,072,202</b>	<b>0.97%</b>	<b>198,243,084</b>	<b>0.67%</b>	
Foreign	SWAP Holders	24,354,192	0.08%	11,358,432	0.04%	12,995,760	0.04%	
	Foreign Residents & Others	448,155,187	1.52%	431,560,657	1.46%	16,594,530	0.06%	
	QFIs	2,830,010,476	9.58%	1,904,867,685	6.45%	925,142,790	3.13%	
	Foreign DPMs	2,137,850	0.01%	0	0.00%	2,137,850	0.01%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	<b>Total Foreign Investors</b>	<b>3,304,657,705</b>	<b>11.19%</b>	<b>2,347,786,775</b>	<b>7.95%</b>	<b>956,870,930</b>	<b>3.24%</b>	
<b>Grand Total</b>		<b>29,532,687,452</b>	<b>100.00%</b>	<b>29,532,687,452</b>	<b>100.00%</b>			

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,869,500,828	19.87%	4,766,378,880	16.14%	1,103,121,948	3.74%
Non-Institutional	23,663,186,624	80.13%	24,766,308,572	83.86%	-1,103,121,948	-3.74%
<b>Grand Total</b>	<b>29,532,687,452</b>	<b>100.00%</b>	<b>29,532,687,452</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 16 December 2021			As of 23 December 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	<b>Individuals:</b>									
	Retail	151,391,709,922	1.53%	8.78%	161,049,118,160	1.61%	8.99%	9,657,408,238	0.08%	0.21%
	HNWIs	250,513,602,681	2.53%	14.35%	247,011,201,907	2.48%	14.18%	-3,502,400,773	-0.06%	-0.17%
	IPIs	400,389,726,793	4.05%	17.10%	391,427,064,742	3.92%	17.03%	-8,962,662,051	-0.12%	-0.07%
	Individual DPMs	8,483,559,358	0.09%	0.49%	8,435,890,789	0.08%	0.49%	-47,668,569	0.00%	0.00%
	<b>Sub Total (Individuals)</b>	<b>810,778,598,753</b>	<b>8.19%</b>	<b>40.72%</b>	<b>807,923,275,599</b>	<b>8.10%</b>	<b>40.69%</b>	<b>-2,855,323,155</b>	<b>-0.10%</b>	<b>-0.03%</b>
	<b>Institutions:</b>									
	Corporates	849,545,602,563	8.59%	23.77%	849,556,277,307	8.51%	23.87%	10,674,745	-0.07%	0.10%
	Mutual Funds	275,039,201,685	2.78%	11.52%	273,799,055,031	2.74%	11.56%	-1,240,146,654	-0.04%	0.04%
	GRE's	7,529,582,014,532	76.11%	2.35%	7,620,782,213,629	76.37%	2.34%	91,200,199,096	0.27%	-0.02%
	Institution DPMs	74,394,425,088	0.75%	4.30%	70,508,915,978	0.71%	4.09%	-3,885,509,111	-0.05%	-0.21%
	<b>Sub Total (Institutions)</b>	<b>8,728,561,243,868</b>	<b>88.22%</b>	<b>41.95%</b>	<b>8,814,646,461,944</b>	<b>88.34%</b>	<b>41.86%</b>	<b>86,085,218,076</b>	<b>0.11%</b>	<b>-0.09%</b>
<b>Total Saudi Investors</b>	<b>9,539,339,842,621</b>	<b>96.42%</b>	<b>82.67%</b>	<b>9,622,569,737,543</b>	<b>96.43%</b>	<b>82.54%</b>	<b>83,229,894,922</b>	<b>0.02%</b>	<b>-0.12%</b>	
GCC	Individuals	3,240,390,709	0.03%	0.19%	3,200,523,303	0.03%	0.19%	-39,867,406	0.00%	0.00%
	Institutions	49,478,337,506	0.50%	2.10%	49,214,903,285	0.49%	2.11%	-263,434,221	-0.01%	0.00%
	GCC DPMs	176,413,070	0.00%	0.01%	174,445,964	0.00%	0.01%	-1,967,106	0.00%	0.00%
	<b>Total GCC Investors</b>	<b>52,895,141,285</b>	<b>0.53%</b>	<b>2.31%</b>	<b>52,589,872,552</b>	<b>0.53%</b>	<b>2.31%</b>	<b>-305,268,733</b>	<b>-0.01%</b>	<b>0.00%</b>
Foreign	SWAP Holders	2,075,390,718	0.02%	0.12%	2,076,275,349	0.02%	0.12%	884,630	0.00%	0.00%
	Foreign Residents & Others	7,694,227,178	0.08%	0.37%	7,587,599,190	0.08%	0.37%	-106,627,988	0.00%	0.00%
	QFIs	242,965,640,985	2.46%	14.53%	244,315,348,903	2.45%	14.66%	1,349,707,919	-0.01%	0.12%
	Foreign DPMs	20,713,364	0.00%	0.00%	23,156,441	0.00%	0.00%	2,443,078	0.00%	0.00%
	Strategic Investors	48,677,387,291	0.49%	0.00%	49,233,197,315	0.49%	0.00%	555,810,023	0.00%	0.00%
	<b>Total Foreign Investors</b>	<b>301,433,359,536</b>	<b>3.05%</b>	<b>15.03%</b>	<b>303,235,577,198</b>	<b>3.04%</b>	<b>15.15%</b>	<b>1,802,217,662</b>	<b>-0.01%</b>	<b>0.12%</b>
<b>Grand Total</b>		<b>9,893,668,343,442</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,978,395,187,293</b>	<b>100.00%</b>	<b>100.00%</b>	<b>84,726,843,851</b>		

\*Non deposited shares was not reflected as on 23 December holding value (National Metal Manufacturing and Casting Co. 2220 - Corporate Action - Right Issue)

\*Non deposited shares was not reflected as on 23 December holding value (Sadr Logistics Co. 1832 - Corporate Action - Right Issue)

\*Non deposited shares was not reflected as on 23 December holding value (Batic Investments and Logistics Co. 410 - Corporate Action - Right Issue)

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 16 December 2021			As of 23 December 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,482,372,819,901	95.83%	76.31%	9,561,045,805,453	95.80%	76.27%	78,672,985,552	-0.02%	-0.04%
Non-Institutional	411,295,523,541	4.17%	23.69%	417,349,381,840	4.20%	23.73%	6,053,858,299	0.02%	0.04%
<b>Grand Total</b>	<b>9,893,668,343,442</b>	<b>100.00%</b>	<b>100.00%</b>	<b>9,978,395,187,293</b>	<b>100.00%</b>	<b>100.00%</b>	<b>84,726,843,851</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.





# Nomu-Parallel Market - Value Traded & Ownership Breakdown

# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	584,884,921	95.62%	561,973,597	91.88%	22,911,324	3.75%
	Corporates	4,633,669	0.76%	12,317,326	2.01%	-7,683,657	-1.26%
	Institution	360,364	0.06%	5,074,623	0.83%	-4,714,259	-0.77%
	<b>Total Saudi Investors</b>	<b>589,878,954</b>	<b>96.44%</b>	<b>579,365,546</b>	<b>94.72%</b>	<b>10,513,408</b>	<b>1.72%</b>
GCC	Individuals	847,089	0.14%	437,148	0.07%	409,940	0.07%
	Institutions	187,955	0.03%	17,050,266	2.79%	-16,862,311	-2.76%
	<b>Total GCC Investors</b>	<b>1,035,044</b>	<b>0.17%</b>	<b>17,487,414</b>	<b>2.86%</b>	<b>-16,452,370</b>	<b>-2.69%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	15,270,674	2.50%	11,019,622	1.80%	4,251,052	0.69%
	QFIs	5,480,089	0.90%	3,792,178	0.62%	1,687,911	0.28%
	<b>Total Foreign Investors</b>	<b>20,750,763</b>	<b>3.39%</b>	<b>14,811,800</b>	<b>2.42%</b>	<b>5,938,963</b>	<b>0.97%</b>
<b>Grand Total</b>		<b>611,664,760</b>	<b>100.00%</b>	<b>611,664,760</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	10,662,077	1.74%	38,234,393	6.25%	-27,572,316	-4.51%
Non-Institutional	601,002,683	98.26%	573,430,367	93.75%	27,572,316	4.51%
<b>Grand Total</b>	<b>611,664,760</b>	<b>100.00%</b>	<b>611,664,760</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 16 December 2021			As of 23 December 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	14,213,954,528	74.77%	70.00%	14,628,227,646	75.60%	70.46%	414,273,118	0.83%	0.46%
	Corporates	4,556,945,298	23.97%	27.73%	4,495,255,438	23.23%	27.42%	-61,689,860	-0.74%	-0.31%
	Institution	114,402,444	0.60%	0.70%	109,945,680	0.57%	0.67%	-4,456,764	-0.03%	-0.03%
	<b>Total Saudi Investors</b>	<b>18,885,302,270</b>	<b>99.34%</b>	<b>98.42%</b>	<b>19,233,428,764</b>	<b>99.40%</b>	<b>98.55%</b>	<b>348,126,493</b>	<b>0.06%</b>	<b>0.13%</b>
GCC	Individuals	4,665,915	0.02%	0.06%	4,731,713	0.02%	0.06%	65,799	0.00%	0.00%
	Institutions	23,467,471	0.12%	0.29%	8,407,563	0.04%	0.11%	-15,059,908	-0.08%	-0.19%
	<b>Total GCC Investors</b>	<b>28,133,385</b>	<b>0.15%</b>	<b>0.35%</b>	<b>13,139,276</b>	<b>0.07%</b>	<b>0.16%</b>	<b>-14,994,109</b>	<b>-0.08%</b>	<b>-0.19%</b>
Foreign	SWAP Holders	18,358,649	0.10%	0.23%	20,070,305	0.10%	0.25%	1,711,656	0.01%	0.02%
	Foreign Residents	15,858,568	0.08%	0.20%	20,258,954	0.10%	0.25%	4,400,386	0.02%	0.05%
	QFIs	63,624,128	0.33%	0.80%	62,427,702	0.32%	0.78%	-1,196,426	-0.01%	-0.02%
	<b>Total Foreign Investors</b>	<b>97,841,345</b>	<b>0.51%</b>	<b>1.22%</b>	<b>102,756,960</b>	<b>0.53%</b>	<b>1.28%</b>	<b>4,915,616</b>	<b>0.02%</b>	<b>0.06%</b>
<b>Grand Total</b>		<b>19,011,277,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>19,349,325,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>338,048,000</b>		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 16 December 2021			As of 23 December 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
<b>Institutional</b>	4,776,797,990	25.13%	29.74%	4,696,106,688	24.27%	29.22%	-80,691,302	-0.86%	-0.52%
<b>Non-Institutional</b>	14,234,479,010	74.87%	70.26%	14,653,218,313	75.73%	70.78%	418,739,302	0.86%	0.52%
<b>Grand Total</b>	<b>19,011,277,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>19,349,325,000</b>	<b>100.00%</b>	<b>100.00%</b>	<b>338,048,000</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you