

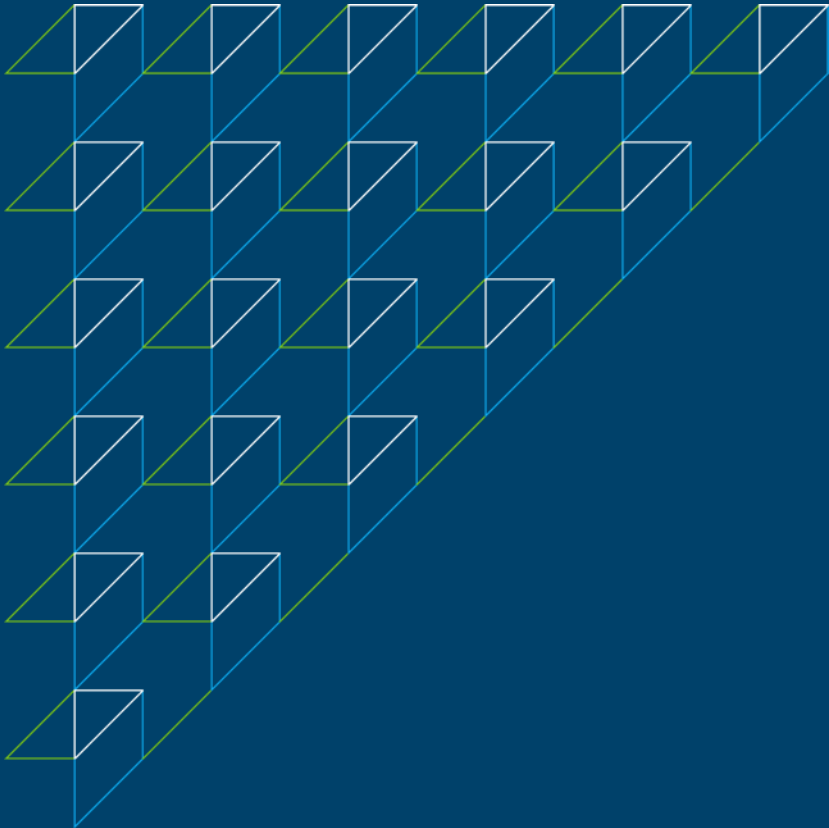
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 12 July 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	4,776,882,543	31.88%	6,823,772,586	45.54%	-2,046,890,043	-13.66%
	HNWIs	4,852,266,395	32.38%	5,523,966,789	36.87%	-671,700,394	-4.48%
	IPIs	725,390,175	4.84%	613,800,207	4.10%	111,589,968	0.74%
	Individual DPMS	146,531,652	0.98%	74,696,201	0.50%	71,835,451	0.48%
	<b>Sub Total (Individuals)</b>	<b>10,501,070,765</b>	<b>70.08%</b>	<b>13,036,235,783</b>	<b>87.00%</b>	<b>-2,535,165,018</b>	<b>-16.92%</b>
	<b>Institutions:</b>						
	Corporates	2,166,196,022	14.46%	351,125,591	2.34%	1,815,070,431	12.11%
	Mutual Funds	662,164,651	4.42%	381,344,593	2.55%	280,820,058	1.87%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMS	125,717,175	0.84%	148,310,974	0.99%	-22,593,799	-0.15%
	<b>Sub Total (Institutions)</b>	<b>2,954,077,848</b>	<b>19.71%</b>	<b>880,781,158</b>	<b>5.88%</b>	<b>2,073,296,690</b>	<b>13.84%</b>
<b>Total Saudi Investors</b>	<b>13,455,148,613</b>	<b>89.80%</b>	<b>13,917,016,941</b>	<b>92.88%</b>	<b>-461,868,328</b>	<b>-3.08%</b>	
GCC	Individuals	22,389,626	0.15%	29,213,142	0.19%	-6,823,515	-0.05%
	Institutions	580,538,604	3.87%	205,551,604	1.37%	374,986,999	2.50%
	GCC DPMS	299,156	0.00%	862,664	0.01%	-563,508	0.00%
	<b>Total GCC Investors</b>	<b>603,227,386</b>	<b>4.03%</b>	<b>235,627,410</b>	<b>1.57%</b>	<b>367,599,976</b>	<b>2.45%</b>
Foreign	SWAP Holders	195,403,868	1.30%	223,157,327	1.49%	-27,753,459	-0.19%
	Foreign Residents	147,897,201	0.99%	213,121,971	1.42%	-65,224,769	-0.44%
	QFIs	582,120,535	3.88%	395,097,260	2.64%	187,023,275	1.25%
	Foreign DPMS	223,305	0.00%	0	0.00%	223,305	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>925,644,910</b>	<b>6.18%</b>	<b>831,376,558</b>	<b>5.55%</b>	<b>94,268,352</b>	<b>0.63%</b>
<b>Grand Total</b>		<b>14,984,020,909</b>	<b>100.00%</b>	<b>14,984,020,909</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,184,585,143	34.60%	2,393,946,422	15.98%	2,790,638,722	18.62%
Non-Institutional	9,799,435,766	65.40%	12,590,074,488	84.02%	-2,790,638,722	-18.62%
<b>Grand Total</b>	<b>14,984,020,909</b>	<b>100.00%</b>	<b>14,984,020,909</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 05 July 2018		As of 12 July 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	77,323,154,930	3.98%	79,258,806,057	3.99%	1,935,651,126	0.02%
	HNWIs	155,657,158,608	8.00%	158,650,138,364	7.99%	2,992,979,756	-0.01%
	IPIs	266,129,930,939	13.68%	271,958,070,931	13.70%	5,828,139,993	0.02%
	Individual DPMs	5,458,346,264	0.28%	5,683,771,541	0.29%	225,425,277	0.01%
	<b>Sub Total (Individuals)</b>	<b>504,568,590,741</b>	<b>25.94%</b>	<b>515,550,786,893</b>	<b>25.98%</b>	<b>10,982,196,152</b>	<b>0.04%</b>
	<b>Institutions:</b>						
	Corporates	300,570,248,752	15.45%	308,333,333,236	15.54%	7,763,084,483	0.08%
	Mutual Funds	175,978,632,339	9.05%	180,163,782,542	9.08%	4,185,150,203	0.03%
	GRE's	762,432,395,139	39.20%	775,690,306,412	39.09%	13,257,911,273	-0.11%
	Institution DPMs	64,027,256,332	3.29%	63,955,969,234	3.22%	-71,287,099	-0.07%
	<b>Sub Total (Institutions)</b>	<b>1,303,008,532,563</b>	<b>66.99%</b>	<b>1,328,143,391,423</b>	<b>66.93%</b>	<b>25,134,858,861</b>	<b>-0.06%</b>
<b>Total Saudi Investors</b>	<b>1,807,577,123,304</b>	<b>92.93%</b>	<b>1,843,694,178,316</b>	<b>92.91%</b>	<b>36,117,055,012</b>	<b>-0.03%</b>	
GCC	Individuals	3,193,527,748	0.16%	3,267,665,949	0.16%	74,138,201	0.00%
	Institutions	36,311,759,754	1.87%	37,226,853,951	1.88%	915,094,197	0.01%
	GCC DPMs	671,110,910	0.03%	685,932,138	0.03%	14,821,227	0.00%
	<b>Total GCC Investors</b>	<b>40,176,398,412</b>	<b>2.07%</b>	<b>41,180,452,038</b>	<b>2.08%</b>	<b>1,004,053,626</b>	<b>0.01%</b>
Foreign	SWAP Holders	15,922,948,775	0.82%	16,048,162,965	0.81%	125,214,190	-0.01%
	Foreign Residents	4,084,483,662	0.21%	4,139,170,094	0.21%	54,686,432	0.00%
	QFIs	18,574,069,167	0.95%	19,193,980,160	0.97%	619,910,993	0.01%
	Foreign DPMs	8,549,543	0.00%	8,776,187	0.00%	226,644	0.00%
	Strategic Partners	58,673,214,373	3.02%	60,183,884,305	3.03%	1,510,669,932	0.02%
	<b>Total Foreign Investors</b>	<b>97,263,265,519</b>	<b>5.00%</b>	<b>99,573,973,711</b>	<b>5.02%</b>	<b>2,310,708,192</b>	<b>0.02%</b>
<b>Grand Total</b>		<b>1,945,016,787,235</b>	<b>100.00%</b>	<b>1,984,448,604,066</b>	<b>100.00%</b>	<b>39,431,816,831</b>	

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 05 July 2018		As of 12 July 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,704,758,462,287	87.65%	1,739,132,823,602	87.64%	34,374,361,315	-0.01%
Non-Institutional	240,258,324,948	12.35%	245,315,780,464	12.36%	5,057,455,516	0.01%
<b>Grand Total</b>	<b>1,945,016,787,235</b>	<b>100.00%</b>	<b>1,984,448,604,066</b>	<b>100.00%</b>	<b>39,431,816,831</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	11,698,182	70.33%	4,735,817	28.47%	6,962,365	41.86%
	Corporates	2,478,926	14.90%	5,560,263	33.43%	-3,081,337	-18.53%
	Institution	925,046	5.56%	6,004,076	36.10%	-5,079,031	-30.54%
	<b>Total Saudi Investors</b>	<b>15,102,154</b>	<b>90.79%</b>	<b>16,300,157</b>	<b>98.00%</b>	<b>-1,198,003</b>	<b>-7.20%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	21,500	0.13%	-21,500	-0.13%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>21,500</b>	<b>0.13%</b>	<b>-21,500</b>	<b>-0.13%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	283,978	1.71%	309,079	1.86%	-25,102	-0.15%
	QFIs	1,247,147	7.50%	2,542	0.02%	1,244,604	7.48%
	<b>Total Foreign Investors</b>	<b>1,531,124</b>	<b>9.21%</b>	<b>311,621</b>	<b>1.87%</b>	<b>1,219,503</b>	<b>7.33%</b>
<b>Grand Total</b>		<b>16,633,278</b>	<b>100.00%</b>	<b>16,633,278</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,651,118	27.96%	11,588,382	69.67%	-6,937,264	-41.71%
Non-Institutional	11,982,160	72.04%	5,044,896	30.33%	6,937,264	41.71%
<b>Grand Total</b>	<b>16,633,278</b>	<b>100.00%</b>	<b>16,633,278</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 05 July 2018		As of 12 July 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,710,894,013	89.99%	2,091,572,059	91.29%	380,678,045	1.30%
	Corporates	108,733,495	5.72%	106,824,313	4.66%	-1,909,182	-1.06%
	Institution	59,426,515	3.13%	69,275,092	3.02%	9,848,578	-0.10%
	<b>Total Saudi Investors</b>	<b>1,879,054,023</b>	<b>98.83%</b>	<b>2,267,671,464</b>	<b>98.97%</b>	<b>388,617,440</b>	<b>0.14%</b>
GCC	Individuals	29,153	0.00%	27,834	0.00%	-1,318	0.00%
	Institutions	12,983,916	0.68%	13,324,898	0.58%	340,982	-0.10%
	<b>Total GCC Investors</b>	<b>13,013,069</b>	<b>0.68%</b>	<b>13,352,732</b>	<b>0.58%</b>	<b>339,663</b>	<b>-0.10%</b>
Foreign	SWAP Holders	805,603	0.04%	782,799	0.03%	-22,804	-0.01%
	Foreign Residents	2,255,533	0.12%	2,460,563	0.11%	205,030	-0.01%
	QFIs	6,119,223	0.32%	6,897,393	0.30%	778,170	-0.02%
	<b>Total Foreign Investors</b>	<b>9,180,358</b>	<b>0.48%</b>	<b>10,140,755</b>	<b>0.44%</b>	<b>960,396</b>	<b>-0.04%</b>
<b>Grand Total</b>		<b>1,901,247,450</b>	<b>100.00%</b>	<b>2,291,164,950</b>	<b>100.00%</b>	<b>389,917,500</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 05 July 2018		As of 12 July 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	188,068,751	9.89%	197,104,494	8.60%	9,035,743	-1.29%
Non-Institutional	1,713,178,699	90.11%	2,094,060,456	91.40%	380,881,757	1.29%
<b>Grand Total</b>	<b>1,901,247,450</b>	<b>100.00%</b>	<b>2,291,164,950</b>	<b>100.00%</b>	<b>389,917,500</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

