

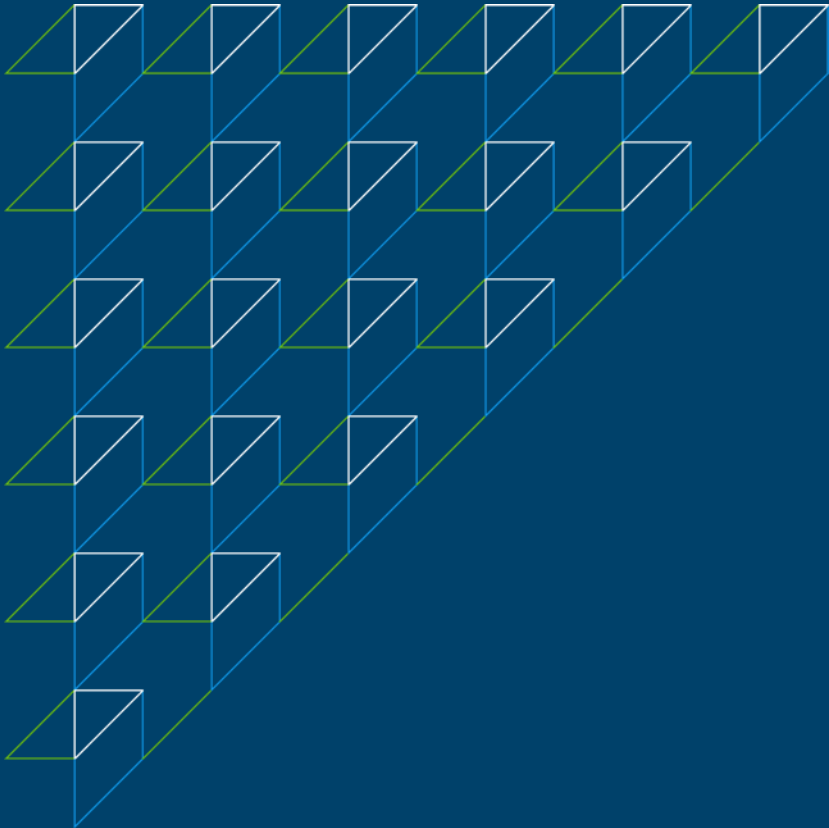
# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 5 January 2017



# Definitions

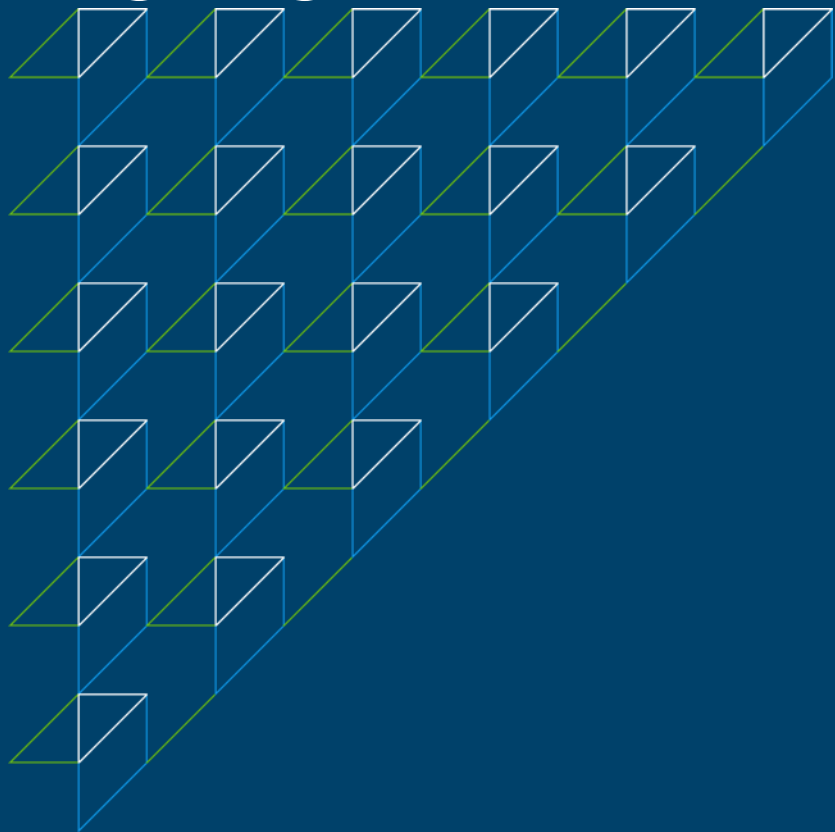


# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

# Highlights



# Weekly Stock Market Ownership and Trading Activity Report

## Highlights

The total value of shares traded for the week ending 5 January 2017 amounted to SAR 24.95 billion, decreasing by 8.99% over the previous week; while total stock market capitalization reached SAR 1,681.03 billion at the end of this period, decreasing by 0.05% over the previous week.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 23.99 billion representing 96.17% of total buying activity, and sales of SAR 24.15 billion representing 96.80% of total selling activity. Total ownership of “Saudi Investors” stood at 93.51% of total market capitalization as of 5 January 2017, representing an increase of 0.06% from the previous week.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.294 billion representing 1.18% of total buying activity, and sales of SAR 0.292 billion representing 1.17% of total selling activity. Total ownership of “GCC Investors” stood at 2.28% of total market capitalization as of 5 January 2017, representing an increase of 0.01% from the previous week.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.663 billion representing 2.66% of total buying activity, and sales of SAR 0.506 billion representing 2.03% of total selling activity. Total ownership of “Foreign Investors” stood at 4.21% of total market capitalization as of 5 January 2017, representing a decrease of 0.08% from the previous week.



# Stock Market Value Traded Breakdown



# Weekly Stock Market Ownership and Trading Activity Report

## Value Traded (by Nationality and Investor Type)

### Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	13,064,749,477	52.37%	13,759,198,750	55.16%	-694,449,273	-2.78%
	HNWIs	7,683,726,494	30.80%	7,943,540,930	31.84%	-259,814,436	-1.04%
	IPs	577,863,153	2.32%	857,843,575	3.44%	-279,980,422	-1.12%
	Individual DPMs	127,461,613	0.51%	209,542,739	0.84%	-82,081,126	-0.33%
	<b>Sub Total (Individuals)</b>	<b>21,453,800,737</b>	<b>86.00%</b>	<b>22,770,125,994</b>	<b>91.28%</b>	<b>-1,316,325,257</b>	<b>-5.28%</b>
	<b>Institutions:</b>						
	Corporates	1,306,344,988	5.24%	577,291,922	2.31%	729,053,065	2.92%
	Mutual Funds	1,019,809,314	4.09%	323,997,340	1.30%	695,811,974	2.79%
	GRE's	15,943,512	0.06%	45,421,378	0.18%	-29,477,866	-0.12%
	Institution DPMs	192,789,367	0.77%	430,423,941	1.73%	-237,634,574	-0.95%
	<b>Sub Total (Institutions)</b>	<b>2,534,887,181</b>	<b>10.16%</b>	<b>1,377,134,581</b>	<b>5.52%</b>	<b>1,157,752,600</b>	<b>4.64%</b>
	<b>Total Saudi Investors</b>	<b>23,988,687,918</b>	<b>96.17%</b>	<b>24,147,260,575</b>	<b>96.80%</b>	<b>-158,572,656</b>	<b>-0.64%</b>
GCC	Individuals	47,956,125	0.19%	34,318,826	0.14%	13,637,299	0.05%
	Institutions	240,823,853	0.97%	251,825,552	1.01%	-11,001,699	-0.04%
	GCC DPMs	5,235,344	0.02%	6,251,973	0.03%	-1,016,629	0.00%
	<b>Total GCC Investors</b>	<b>294,015,322</b>	<b>1.18%</b>	<b>292,396,351</b>	<b>1.17%</b>	<b>1,618,971</b>	<b>0.01%</b>
Foreign	SWAP Holders	247,143,023	0.99%	98,979,353	0.40%	148,163,670	0.59%
	Foreign Residents	410,659,822	1.65%	404,109,575	1.62%	6,550,247	0.03%
	QFIs	4,799,714	0.02%	2,559,946	0.01%	2,239,768	0.01%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>662,602,559</b>	<b>2.66%</b>	<b>505,648,874</b>	<b>2.03%</b>	<b>156,953,685</b>	<b>0.63%</b>
<b>Grand Total</b>		<b>24,945,305,799</b>	<b>100.00%</b>	<b>24,945,305,799</b>	<b>100.00%</b>		

# Weekly Stock Market Ownership and Trading Activity Report

## Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,738,213,881	14.99%	2,804,137,719	11.24%	934,076,163	3.74%
Non-Institutional	21,207,091,918	85.01%	22,141,168,081	88.76%	-934,076,163	-3.74%
<b>Grand Total</b>	<b>24,945,305,799</b>	<b>100.00%</b>	<b>24,945,305,799</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Stock Market Ownership Breakdown



# Weekly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 December 2016		As of 5 January 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	76,220,847,911	4.53%	76,864,321,723	4.57%	643,473,813	0.04%
	HNWIs	136,426,248,691	8.11%	136,222,600,265	8.10%	-203,648,426	-0.01%
	IPIs	265,231,315,838	15.77%	262,968,422,293	15.64%	-2,262,893,544	-0.13%
	Individual DPMs	4,686,800,273	0.28%	4,716,766,209	0.28%	29,965,937	0.00%
	<b>Sub Total (Individuals)</b>	<b>482,565,212,712</b>	<b>28.69%</b>	<b>480,772,110,491</b>	<b>28.60%</b>	<b>-1,793,102,221</b>	<b>-0.09%</b>
	<b>Institutions:</b>						
	Corporates	291,523,004,829	17.33%	291,179,939,869	17.32%	-343,064,960	-0.01%
	Mutual Funds	88,174,995,415	5.24%	88,505,724,884	5.26%	330,729,469	0.02%
	GRE's	662,662,628,769	39.40%	664,980,131,859	39.56%	2,317,503,090	0.16%
	Institution DPMs	46,858,793,203	2.79%	46,523,651,240	2.77%	-335,141,963	-0.02%
	<b>Sub Total (Institutions)</b>	<b>1,089,219,422,216</b>	<b>64.76%</b>	<b>1,091,189,447,851</b>	<b>64.91%</b>	<b>1,970,025,635</b>	<b>0.15%</b>
<b>Total Saudi Investors</b>	<b>1,571,784,634,928</b>	<b>93.45%</b>	<b>1,571,961,558,342</b>	<b>93.51%</b>	<b>176,923,414</b>	<b>0.06%</b>	
GCC	Individuals	2,973,645,002	0.18%	3,012,304,806	0.18%	38,659,804	0.00%
	Institutions	34,431,932,056	2.05%	34,599,893,532	2.06%	167,961,476	0.01%
	GCC DPMs	713,992,684	0.04%	718,103,530	0.04%	4,110,846	0.00%
	<b>Total GCC Investors</b>	<b>38,119,569,742</b>	<b>2.27%</b>	<b>38,330,301,869</b>	<b>2.28%</b>	<b>210,732,126</b>	<b>0.01%</b>
Foreign	SWAP Holders	15,256,538,783	0.91%	15,428,218,571	0.92%	171,679,788	0.01%
	Foreign Residents	4,586,592,885	0.27%	4,539,242,468	0.27%	-47,350,416	0.00%
	QFIs	1,976,896,597	0.12%	1,960,796,673	0.12%	-16,099,924	0.00%
	Foreign DPMs	6,577,781	0.00%	6,553,146	0.00%	-24,636	0.00%
	Strategic Partners	50,218,740,951	2.99%	48,808,265,678	2.90%	-1,410,475,273	-0.08%
	<b>Total Foreign Investors</b>	<b>72,045,346,996</b>	<b>4.28%</b>	<b>70,743,076,535</b>	<b>4.21%</b>	<b>-1,302,270,461</b>	<b>-0.08%</b>
<b>Grand Total</b>		<b>1,681,949,551,666</b>	<b>100.00%</b>	<b>1,681,034,936,745</b>	<b>100.00%</b>	<b>-914,614,921</b>	

# Weekly Stock Market Ownership and Trading Activity Report

## Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 December 2016		As of 5 January 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,461,742,217,178	86.91%	1,460,396,467,483	86.87%	-1,345,749,695	-0.03%
Non-Institutional	220,207,334,488	13.09%	220,638,469,262	13.13%	431,134,774	0.03%
<b>Grand Total</b>	<b>1,681,949,551,666</b>	<b>100.00%</b>	<b>1,681,034,936,745</b>	<b>100.00%</b>	<b>-914,614,921</b>	

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