

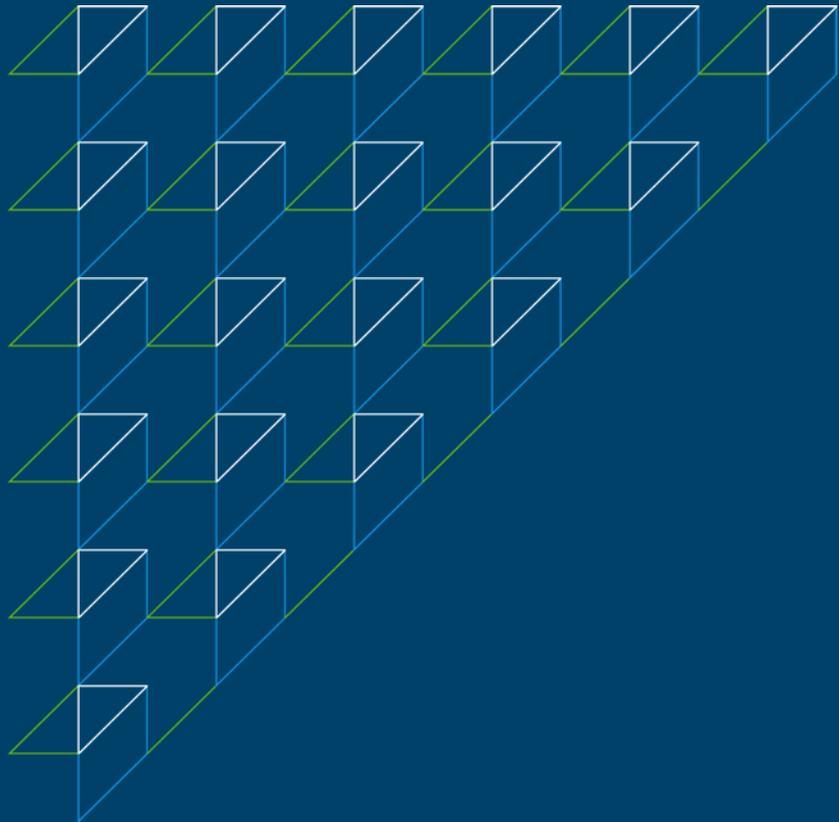
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 26 November 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

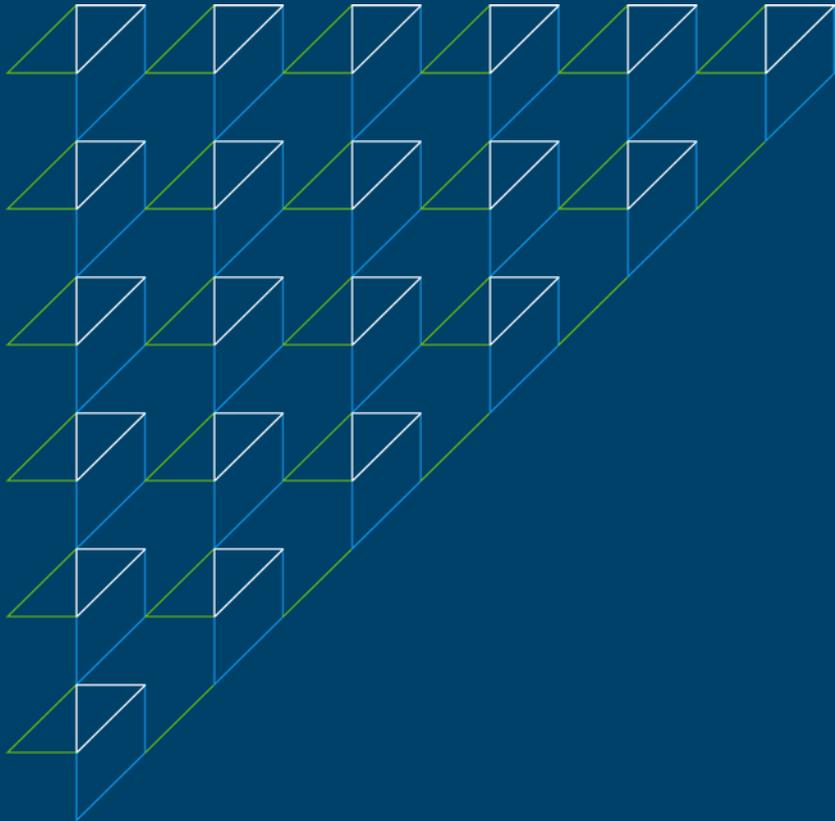
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	43,636,450,785	65.18%	43,897,904,216	65.57%	-261,453,431	-0.39%	
	HNWIs	15,102,714,368	22.56%	15,619,794,440	23.33%	-517,080,072	-0.77%	
	IPIs	1,476,726,598	2.21%	1,210,239,220	1.81%	266,487,377	0.40%	
	Individual DPMS	385,415,929	0.58%	410,494,103	0.61%	-25,078,175	-0.04%	
	Sub Total (Individuals)	60,601,307,679	90.52%	61,138,431,980	91.32%	-537,124,301	-0.80%	
	Institutions:							
	Corporates	1,206,095,788	1.80%	833,642,420	1.25%	372,453,368	0.56%	
	Mutual Funds	458,597,401	0.69%	907,605,784	1.36%	-449,008,383	-0.67%	
	GRE's	112,170,545	0.17%	61,367,592	0.09%	50,802,953	0.08%	
	Institution DPMS	325,586,528	0.49%	259,704,665	0.39%	65,881,863	0.10%	
	Sub Total (Institutions)	2,102,450,262	3.14%	2,062,320,461	3.08%	40,129,801	0.06%	
	Total Saudi Investors	62,703,757,941	93.66%	63,200,752,441	94.40%	-496,994,500	-0.74%	
GCC	Individuals	122,339,930	0.18%	99,523,160	0.15%	22,816,770	0.03%	
	Institutions	331,295,571	0.49%	171,419,429	0.26%	159,876,142	0.24%	
	GCC DPMS	491,131	0.00%	2,861,922	0.00%	-2,370,791	0.00%	
	Total GCC Investors	454,126,632	0.68%	273,804,511	0.41%	180,322,121	0.27%	
Foreign	SWAP Holders	10,702,886	0.02%	26,046,316	0.04%	-15,343,429	-0.02%	
	Foreign Residents & Others	1,174,284,161	1.75%	1,168,184,823	1.74%	6,099,338	0.01%	
	QFIs	2,604,855,172	3.89%	2,278,972,313	3.40%	325,882,860	0.49%	
	Foreign DPMS	526,768	0.00%	493,157	0.00%	33,610	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	3,790,368,987	5.66%	3,473,696,608	5.19%	316,672,379	0.47%	
Grand Total		66,948,253,560	100.00%	66,948,253,560	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,912,464,317	10.33%	6,162,846,922	9.21%	749,617,395	1.12%
Non-Institutional	60,035,789,244	89.67%	60,785,406,638	90.79%	-749,617,395	-1.12%
Grand Total	66,948,253,560	100.00%	66,948,253,560	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 19 November 2020			As of 26 November 2020			Weekly Change				
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership			
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)		
Saudi	Individuals:											
	Retail	126,010,062,312	1.38%	9.81%	128,176,145,103	1.38%	9.85%	2,166,082,791	0.00%	0.03%		
	HNWIs	179,427,256,585	1.96%	13.81%	181,810,901,186	1.96%	13.80%	2,383,644,601	0.00%	-0.01%		
	IPIs	292,012,772,193	3.20%	16.67%	296,939,538,368	3.20%	16.65%	4,926,766,176	0.01%	-0.02%		
	Individual DPMs	6,128,540,564	0.07%	0.48%	6,218,678,107	0.07%	0.48%	90,137,543	0.00%	0.00%		
	Sub Total (Individuals)	603,578,631,654	6.61%	40.77%	613,145,262,764	6.62%	40.77%	9,566,631,110	0.01%	0.00%		
	Institutions:											
	Corporates	612,482,252,871	6.70%	22.29%	621,463,217,108	6.71%	22.36%	8,980,964,237	0.00%	0.07%		
	Mutual Funds	206,621,927,585	2.26%	11.15%	211,943,049,761	2.29%	11.06%	5,321,122,176	0.03%	-0.09%		
	GRE's	7,413,312,396,790	81.13%	6.54%	7,514,739,074,186	81.08%	6.52%	101,426,677,396	-0.05%	-0.02%		
	Institution DPMs	50,491,342,919	0.55%	3.94%	51,051,111,452	0.55%	3.93%	559,768,533	0.00%	-0.01%		
	Sub Total (Institutions)	8,282,907,920,166	90.65%	43.92%	8,399,196,452,508	90.63%	43.87%	116,288,532,342	-0.02%	-0.05%		
Total Saudi Investors	8,886,486,551,819	97.26%	84.69%	9,012,341,715,272	97.24%	84.64%	125,855,163,453	-0.01%	-0.05%			
GCC	Individuals	2,551,869,220	0.03%	0.20%	2,586,818,402	0.03%	0.20%	34,949,182	0.00%	0.00%		
	Institutions	41,863,145,934	0.46%	2.25%	42,553,331,771	0.46%	2.26%	690,185,837	0.00%	0.01%		
	GCC DPMs	255,450,404	0.00%	0.02%	257,281,033	0.00%	0.02%	1,830,629	0.00%	0.00%		
	Total GCC Investors	44,670,465,558	0.49%	2.47%	45,397,431,207	0.49%	2.48%	726,965,648	0.00%	0.01%		
Foreign	SWAP Holders	2,108,191,091	0.02%	0.16%	2,059,647,715	0.02%	0.16%	-48,543,376	0.00%	-0.01%		
	Foreign Residents & Others	7,897,412,743	0.09%	0.48%	8,177,995,340	0.09%	0.49%	280,582,596	0.00%	0.01%		
	QFIs	155,642,231,715	1.70%	12.09%	158,448,872,807	1.71%	12.15%	2,806,641,092	0.01%	0.06%		
	Foreign DPMs	1,360,011,201	0.01%	0.11%	1,082,864,599	0.01%	0.08%	-277,146,602	0.00%	-0.02%		
	Strategic Investors	39,030,168,668	0.43%	0.00%	40,473,454,779	0.44%	0.00%	1,443,286,111	0.01%	0.00%		
	Total Foreign Investors	206,038,015,418	2.25%	12.84%	210,242,835,239	2.27%	12.88%	4,204,819,821	0.01%	0.04%		
Grand Total		9,137,195,032,796	100.00%	100.00%	9,267,981,981,718	100.00%	100.00%	130,786,948,922				

* Non deposited shares was not reflected as on 26 November holding value (A naam International Holding Group. 4061- Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 19 November 2020			As of 26 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,822,993,321,840	96.54%	75.69%	8,948,959,539,387	96.54%	75.66%	125,966,217,547	0.00%	-0.03%
Non-Institutional	314,201,710,956	3.46%	24.31%	319,022,442,331	3.46%	24.34%	4,820,731,375	0.00%	0.03%
Grand Total	9,137,195,032,796	100.00%	100.00%	9,267,981,981,718	100.00%	100.00%	130,786,948,922		

Definitions

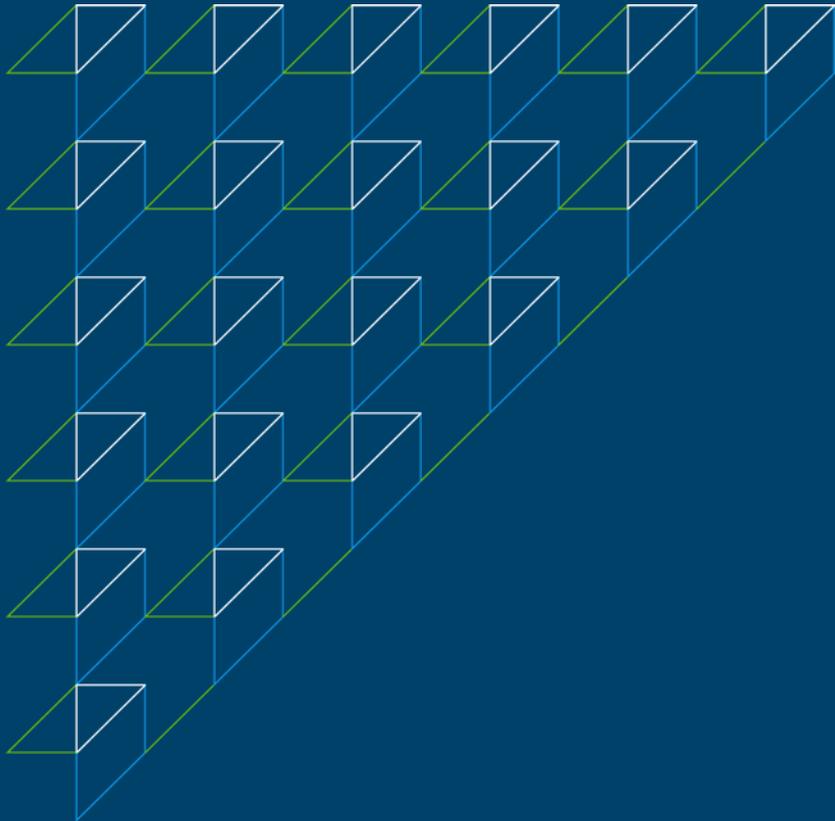
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	544,584	0.19%	96,061	0.03%	448,523	0.16%
	Corporates	975,000	0.34%	5,737,578	2.03%	-4,762,578	-1.68%
	Institution	275,997,557	97.59%	266,194,595	94.13%	9,802,962	3.47%
	Total Saudi Investors	277,517,141	98.13%	272,028,234	96.19%	5,488,907	1.94%
GCC	Individuals	0	0.00%	30,200	0.01%	-30,200	-0.01%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	30,200	0.01%	-30,200	-0.01%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,603,069	1.63%	6,891,112	2.44%	-2,288,043	-0.81%
	QFIs	686,167	0.24%	3,856,831	1.36%	-3,170,664	-1.12%
	Total Foreign Investors	5,289,236	1.87%	10,747,943	3.80%	-5,458,707	-1.93%
Grand Total		282,806,377	100.00%	282,806,377	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	277,658,724	98.18%	275,789,005	97.52%	1,869,719	0.66%
Non-Institutional	5,147,653	1.82%	7,017,373	2.48%	-1,869,719	-0.66%
Grand Total	282,806,377	100.00%	282,806,377	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 19 November 2020			As of 26 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,053,386,408	69.55%	70.52%	6,389,536,708	64.14%	69.30%	-663,849,700	-5.42%	-1.22%
	Corporates	2,970,562,941	29.29%	26.28%	3,447,924,583	34.61%	27.33%	477,361,643	5.32%	1.05%
	Institution	12,374,832	0.12%	0.24%	13,459,173	0.14%	0.25%	1,084,341	0.01%	0.01%
	Total Saudi Investors	10,036,324,181	98.97%	97.04%	9,850,920,464	98.88%	96.88%	-185,403,717	-0.09%	-0.16%
GCC	Individuals	3,131,475	0.03%	0.09%	3,362,394	0.03%	0.09%	230,918	0.00%	0.01%
	Institutions	90,162,155	0.89%	2.55%	96,810,804	0.97%	2.71%	6,648,648	0.08%	0.16%
	Total GCC Investors	93,293,631	0.92%	2.64%	100,173,197	1.01%	2.80%	6,879,566	0.09%	0.16%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	8,665,730	0.09%	0.25%	9,424,117	0.09%	0.26%	758,388	0.01%	0.02%
	QFIs	2,716,459	0.03%	0.08%	1,982,222	0.02%	0.06%	-734,237	-0.01%	-0.02%
	Total Foreign Investors	11,382,188	0.11%	0.32%	11,406,339	0.11%	0.32%	24,151	0.00%	0.00%
Grand Total		10,141,000,000	100.00%	100.00%	9,962,500,000	100.00%	100.00%	-178,500,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 19 November 2020			As of 26 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,075,816,387	30.33%	29.15%	3,560,176,781	35.74%	30.34%	484,360,394	5.41%	1.20%
Non-Institutional	7,065,183,613	69.67%	70.85%	6,402,323,219	64.26%	69.66%	-662,860,394	-5.41%	-1.20%
Grand Total	10,141,000,000	100%	100%	9,962,500,000	100%	100%	-178,500,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

