

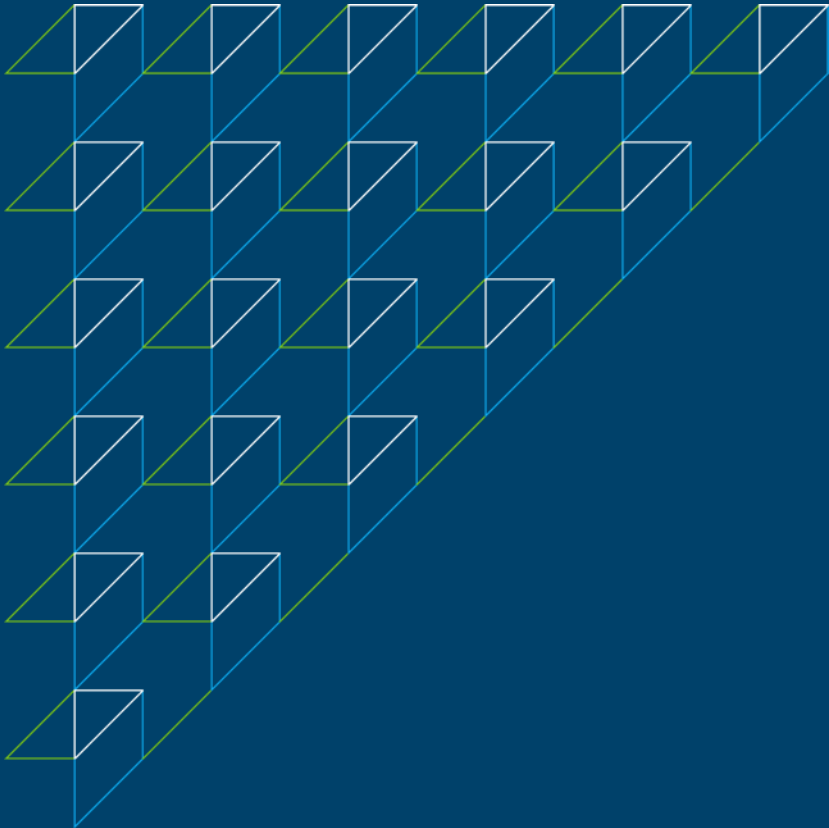
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 15 November 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMS	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMS	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMS	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMS	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,784,953,523	43.36%	7,710,785,604	49.28%	-925,832,081	-5.92%
	HNWIs	4,890,284,836	31.25%	4,318,656,187	27.60%	571,628,649	3.65%
	IPIs	441,536,382	2.82%	695,387,892	4.44%	-253,851,510	-1.62%
	Individual DPMs	200,028,284	1.28%	180,909,143	1.16%	19,119,141	0.12%
	Sub Total (Individuals)	12,316,803,024	78.72%	12,905,738,826	82.48%	-588,935,802	-3.76%
	Institutions:						
	Corporates	1,459,089,214	9.33%	359,390,077	2.30%	1,099,699,137	7.03%
	Mutual Funds	234,439,883	1.50%	282,106,368	1.80%	-47,666,485	-0.30%
	GRE's	554,745	0.00%	3,451,856	0.02%	-2,897,111	-0.02%
	Institution DPMs	200,469,895	1.28%	171,935,295	1.10%	28,534,600	0.18%
	Sub Total (Institutions)	1,894,553,737	12.11%	816,883,597	5.22%	1,077,670,140	6.89%
Total Saudi Investors	14,211,356,761	90.83%	13,722,622,423	87.70%	488,734,338	3.12%	
GCC	Individuals	35,108,645	0.22%	37,455,471	0.24%	-2,346,826	-0.01%
	Institutions	109,074,965	0.70%	220,533,135	1.41%	-111,458,171	-0.71%
	GCC DPMs	482,246	0.00%	920,344	0.01%	-438,099	0.00%
	Total GCC Investors	144,665,856	0.92%	258,908,950	1.65%	-114,243,095	-0.73%
Foreign	SWAP Holders	166,197,835	1.06%	205,123,504	1.31%	-38,925,669	-0.25%
	Foreign Residents	209,394,367	1.34%	220,343,259	1.41%	-10,948,892	-0.07%
	QFIs	914,849,640	5.85%	1,239,481,585	7.92%	-324,631,945	-2.07%
	Foreign DPMs	160,623	0.00%	145,360	0.00%	15,263	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,290,602,465	8.25%	1,665,093,708	10.64%	-374,491,243	-2.39%
Grand Total		15,646,625,082	100.00%	15,646,625,082	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,726,883,710	23.82%	3,359,384,560	21.47%	367,499,150	2.35%
Non-Institutional	11,919,741,371	76.18%	12,287,240,522	78.53%	-367,499,150	-2.35%
Grand Total	15,646,625,082	100.00%	15,646,625,082	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 08 November 2018		As of 15 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,766,062,575	3.91%	72,322,332,983	3.98%	556,270,408	0.07%
	HNWIs	142,027,069,756	7.74%	141,046,894,967	7.76%	-980,174,789	0.02%
	IPIs	249,253,108,307	13.59%	246,921,696,499	13.59%	-2,331,411,808	0.00%
	Individual DPMs	4,966,363,736	0.27%	4,987,622,271	0.27%	21,258,535	0.00%
	Sub Total (Individuals)	468,012,604,374	25.52%	465,278,546,720	25.61%	-2,734,057,654	0.10%
	Institutions:						
	Corporates	285,632,984,821	15.57%	281,568,020,929	15.50%	-4,064,963,892	-0.07%
	Mutual Funds	87,546,764,483	4.77%	87,682,743,317	4.83%	135,978,834	0.05%
	GRE's	739,893,674,131	40.34%	731,009,856,307	40.24%	-8,883,817,824	-0.10%
	Institution DPMs	129,978,647,911	7.09%	128,675,764,429	7.08%	-1,302,883,482	0.00%
	Sub Total (Institutions)	1,243,052,071,346	67.77%	1,228,936,384,982	67.65%	-14,115,686,365	-0.12%
	Total Saudi Investors	1,711,064,675,720	93.29%	1,694,214,931,702	93.27%	-16,849,744,018	-0.02%
GCC	Individuals	2,881,525,095	0.16%	2,840,125,950	0.16%	-41,399,145	0.00%
	Institutions	33,066,053,987	1.80%	32,645,273,841	1.80%	-420,780,147	-0.01%
	GCC DPMs	815,143,595	0.04%	817,922,915	0.05%	2,779,319	0.00%
	Total GCC Investors	36,762,722,678	2.00%	36,303,322,705	2.00%	-459,399,972	-0.01%
Foreign	SWAP Holders	9,512,783,106	0.52%	9,273,849,400	0.51%	-238,933,706	-0.01%
	Foreign Residents	3,224,932,665	0.18%	3,191,124,635	0.18%	-33,808,030	0.00%
	QFIs	13,174,678,531	0.72%	13,107,255,152	0.72%	-67,423,379	0.00%
	Foreign DPMs	3,441,837,367	0.19%	3,551,788,085	0.20%	109,950,718	0.01%
	Strategic Partners	56,970,807,197	3.11%	56,865,607,584	3.13%	-105,199,614	0.02%
Total Foreign Investors	86,325,038,865	4.71%	85,989,624,856	4.73%	-335,414,009	0.03%	
Grand Total		1,834,152,437,262	100.00%	1,816,507,879,263	100.00%	-17,644,558,000	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 08 November 2018		As of 15 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,614,252,847,171	88.01%	1,597,107,400,728	87.92%	-17,145,446,444	-0.09%
Non-Institutional	219,899,590,091	11.99%	219,400,478,535	12.08%	-499,111,556	0.09%
Grand Total	1,834,152,437,262	100.00%	1,816,507,879,263	100.00%	-17,644,558,000	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	3,751,240	81.86%	2,822,882	61.60%	928,358	20.26%
	Corporates	140,403	3.06%	57,500	1.25%	82,903	1.81%
	Institution	27,387	0.60%	1,626,374	35.49%	-1,598,987	-34.89%
	Total Saudi Investors	3,919,030	85.52%	4,506,755	98.35%	-587,726	-12.83%
GCC	Individuals	0	0.00%	23,460	0.51%	-23,460	-0.51%
	Institutions	0	0.00%	52,150	1.14%	-52,150	-1.14%
	Total GCC Investors	0	0.00%	75,610	1.65%	-75,610	-1.65%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	10	0.00%	23	0.00%	-13	0.00%
	QFIs	663,348	14.48%	0	0.00%	663,348	14.48%
	Total Foreign Investors	663,358	14.48%	23	0.00%	663,335	14.48%
Grand Total		4,582,388	100.00%	4,582,388	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	831,138	18.14%	1,736,024	37.88%	-904,886	-19.75%
Non-Institutional	3,751,250	81.86%	2,846,364	62.12%	904,886	19.75%
Grand Total	4,582,388	100.00%	4,582,388	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 08 November 2018		As of 15 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,954,896,885	91.54%	1,927,744,272	91.66%	-27,152,612	0.12%
	Corporates	114,965,124	5.38%	112,347,525	5.34%	-2,617,599	-0.04%
	Institution	38,267,930	1.79%	35,836,543	1.70%	-2,431,387	-0.09%
	Total Saudi Investors	2,108,129,939	98.72%	2,075,928,340	98.71%	-32,201,599	-0.01%
GCC	Individuals	24,336	0.00%	0	0.00%	-24,336	0.00%
	Institutions	13,763,597	0.64%	13,400,185	0.64%	-363,412	-0.01%
	Total GCC Investors	13,787,933	0.65%	13,400,185	0.64%	-387,748	-0.01%
Foreign	SWAP Holders	157,536	0.01%	156,988	0.01%	-549	0.00%
	Foreign Residents	2,198,703	0.10%	2,143,603	0.10%	-55,100	0.00%
	QFIs	11,225,359	0.53%	11,491,885	0.55%	266,526	0.02%
	Total Foreign Investors	13,581,599	0.64%	13,792,475	0.66%	210,877	0.02%
Grand Total		2,135,499,470	100.00%	2,103,121,000	100.00%	-32,378,470	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 08 November 2018		As of 15 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	178,379,546	8.35%	173,233,124	8.24%	-5,146,422	-0.12%
Non-Institutional	1,957,119,924	91.65%	1,929,887,876	91.76%	-27,232,048	0.12%
Grand Total	2,135,499,470	100.00%	2,103,121,000	100.00%	-32,378,470	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

