

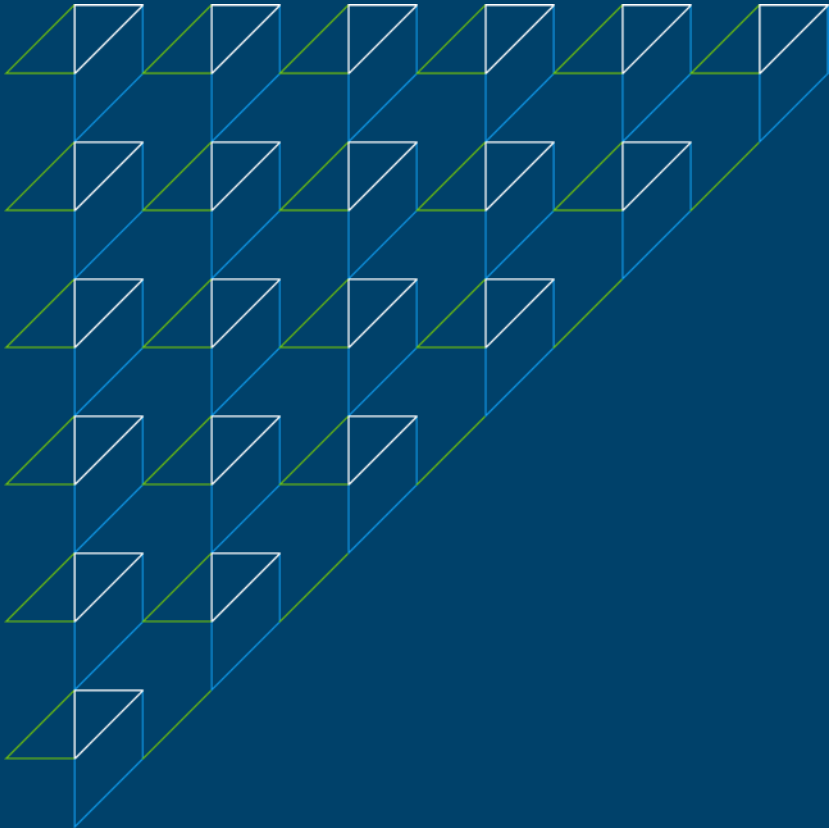
Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 29 October 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

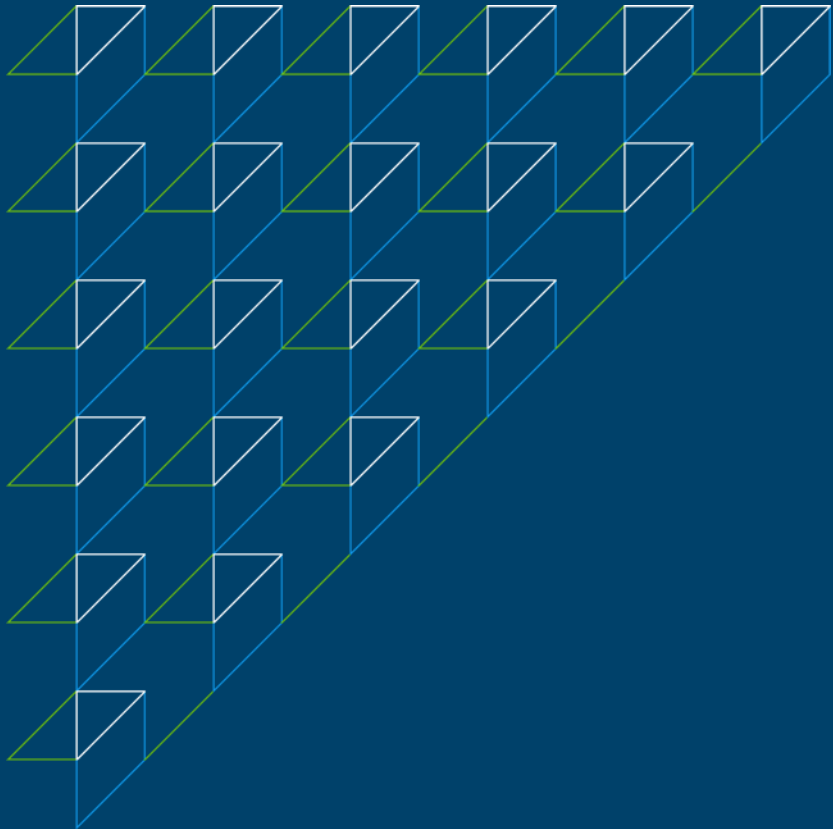
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Highlights



The total value of shares traded for the month ending 29 October 2020 amounted to SAR 221.35 billion, decreasing by 17.24% over the previous month; while total stock market capitalization reached SAR 8,633.75 billion at the end of this period, decreasing by 5.44% over the previous month.



- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 208.22 billion representing 94.07 % of total buying activity, and sales of SAR 210.75 billion representing 95.21 % of total selling activity. Total ownership of “Saudi Investors” stood at 97.34 % of total market capitalization as of 29 October 2020, representing a decrease of 0.03 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.46 billion representing 0.66 % of total buying activity, and sales of SAR 1.34 billion representing 0.60% of total selling activity. Total ownership of “GCC Investors” stood at 0.46% of total market capitalization as of 29 October 2020, representing a decrease of 0.01% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 11.67 billion representing 5.27% of total buying activity, and sales of SAR 9.26 billion representing 4.18% of total selling activity. Total ownership of “Foreign Investors” stood at 2.20% of total market capitalization as of 29 October 2020, representing an increase of 0.05% from the previous month.

Main Market - Value Traded & Ownership Breakdown



Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	142,693,635,784	64.46%	144,752,588,677	65.39%	-2,058,952,893	-0.93%
	HNWIs	51,047,350,684	23.06%	53,752,602,918	24.28%	-2,705,252,234	-1.22%
	IPIs	4,374,685,837	1.98%	4,634,384,384	2.09%	-259,698,547	-0.12%
	Individual DPMs	1,497,044,763	0.68%	1,528,089,442	0.69%	-31,044,679	-0.01%
	Sub Total (Individuals)	199,612,717,068	90.18%	204,667,665,422	92.46%	-5,054,948,354	-2.28%
	Institutions:						
	Corporates	4,759,489,218	2.15%	2,699,321,625	1.22%	2,060,167,593	0.93%
	Mutual Funds	2,160,681,842	0.98%	2,301,034,639	1.04%	-140,352,797	-0.06%
	GRE's	396,853,541	0.18%	149,526,069	0.07%	247,327,472	0.11%
	Institution DPMs	1,295,211,795	0.59%	937,069,583	0.42%	358,142,213	0.16%
	Sub Total (Institutions)	8,612,236,397	3.89%	6,086,951,916	2.75%	2,525,284,481	1.14%
	Total Saudi Investors	208,224,953,464	94.07%	210,754,617,337	95.21%	-2,529,663,873	-1.14%
	GCC	Individuals	388,717,295	0.18%	375,314,921	0.17%	13,402,374
Institutions		1,063,809,360	0.48%	895,902,429	0.40%	167,906,931	0.08%
GCC DPMs		2,883,725	0.00%	66,828,568	0.03%	-63,944,844	-0.03%
Total GCC Investors		1,455,410,381	0.66%	1,338,045,919	0.60%	117,364,462	0.05%
Foreign	SWAP Holders	93,855,514	0.04%	82,316,226	0.04%	11,539,288	0.01%
	Foreign Residents & Others	4,297,148,194	1.94%	4,350,128,461	1.97%	-52,980,268	-0.02%
	QFIs	7,275,726,935	3.29%	4,820,339,352	2.18%	2,455,387,583	1.11%
	Foreign DPMs	4,520,786	0.00%	6,167,977	0.00%	-1,647,192	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	11,671,251,428	5.27%	9,258,952,017	4.18%	2,412,299,411	1.09%	
Grand Total		221,351,615,273	100.00%	221,351,615,273	100.00%		

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	22,924,763,316	10.36%	18,120,980,295	8.19%	4,803,783,021	2.17%
Non-Institutional	198,426,851,957	89.64%	203,230,634,978	91.81%	-4,803,783,021	-2.17%
Grand Total	221,351,615,273	100.00%	221,351,615,273	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2020			As of 29 October 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	122,725,618,783	1.34%	9.99%	111,155,689,914	1.29%	9.44%	-11,569,928,870	-0.06%	-0.55%
	HNWIs	174,418,591,104	1.91%	14.02%	164,050,373,012	1.90%	13.81%	-10,368,218,092	-0.01%	-0.21%
	IPIs	289,361,063,012	3.17%	17.03%	272,944,457,646	3.16%	16.94%	-16,416,605,366	-0.01%	-0.09%
	Individual DPMs	6,140,361,834	0.07%	0.50%	5,613,766,389	0.07%	0.48%	-526,595,445	0.00%	-0.02%
	Sub Total (Individuals)	592,645,634,733	6.49%	41.55%	553,764,286,961	6.41%	40.66%	-38,881,347,772	-0.08%	-0.88%
	Institutions:									
	Corporates	577,161,385,207	6.32%	22.40%	570,610,246,046	6.61%	22.58%	-6,551,139,161	0.29%	0.18%
	Mutual Funds	190,446,261,024	2.09%	10.99%	190,177,624,006	2.20%	11.03%	-268,637,018	0.12%	0.04%
	GRE's	7,482,726,334,684	81.96%	6.49%	7,043,573,530,162	81.58%	6.65%	-439,152,804,522	-0.37%	0.16%
	Institution DPMs	47,986,101,938	0.53%	3.89%	46,391,936,099	0.54%	3.94%	-1,594,165,839	0.01%	0.05%
Sub Total (Institutions)	8,298,320,082,853	90.89%	43.78%	7,850,753,336,313	90.93%	44.21%	-447,566,746,540	0.04%	0.43%	
Total Saudi Investors	8,890,965,717,586	97.38%	85.33%	8,404,517,623,273	97.34%	84.87%	-486,448,094,313	-0.03%	-0.45%	
GCC	Individuals	2,486,234,167	0.03%	0.20%	2,372,260,401	0.03%	0.20%	-113,973,765	0.00%	0.00%
	Institutions	39,852,301,461	0.44%	1.92%	36,820,019,269	0.43%	2.08%	-3,032,282,192	-0.01%	0.16%
	GCC DPMs	309,152,565	0.00%	0.03%	235,936,772	0.00%	0.02%	-73,215,793	0.00%	-0.01%
	Total GCC Investors	42,647,688,193	0.47%	2.15%	39,428,216,442	0.46%	2.30%	-3,219,471,751	-0.01%	0.15%
Foreign	SWAP Holders	2,019,616,142	0.02%	0.16%	1,926,194,029	0.02%	0.16%	-93,422,113	0.00%	0.00%
	Foreign Residents & Others	7,884,186,681	0.09%	0.50%	7,205,125,986	0.08%	0.52%	-679,060,696	0.00%	0.02%
	QFIs	145,058,630,048	1.59%	11.74%	142,637,264,942	1.65%	12.02%	-2,421,365,106	0.06%	0.28%
	Foreign DPMs	1,547,052,448	0.02%	0.13%	1,498,514,132	0.02%	0.13%	-48,538,316	0.00%	0.00%
	Strategic Investors	40,063,698,096	0.44%	0.00%	36,535,342,917	0.42%	0.00%	-3,528,355,179	-0.02%	0.00%
Total Foreign Investors	196,573,183,415	2.15%	12.52%	189,802,442,005	2.20%	12.83%	-6,770,741,410	0.05%	0.30%	
Grand Total	9,130,186,589,194	100.00%	100.00%	8,633,748,281,721	100.00%	100.00%	-496,438,307,473			

* Non deposited shares was not reflected as on 29 October holding value (Al Kathiri Holding Co. 3008 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 29 October holding value (Mobile Telecommunication Company Saudi Arabia. 7030 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 29 October holding value (Anaam International Holding Group. 4061- Corporate Action -Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 September 2020			As of 29 October 2020			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,824,380,714,214	96.63%	75.29%	8,350,425,942,695	96.70%	76.04%	-473,954,771,519	0.07%	0.75%
Non-Institutional	305,805,874,980	3.37%	24.71%	283,322,339,026	3.30%	23.96%	-22,483,535,954	-0.07%	-0.75%
Grand Total	9,130,186,589,194	100.00%	100.00%	8,633,748,281,721	100.00%	100.00%	-496,438,307,473		

Definitions

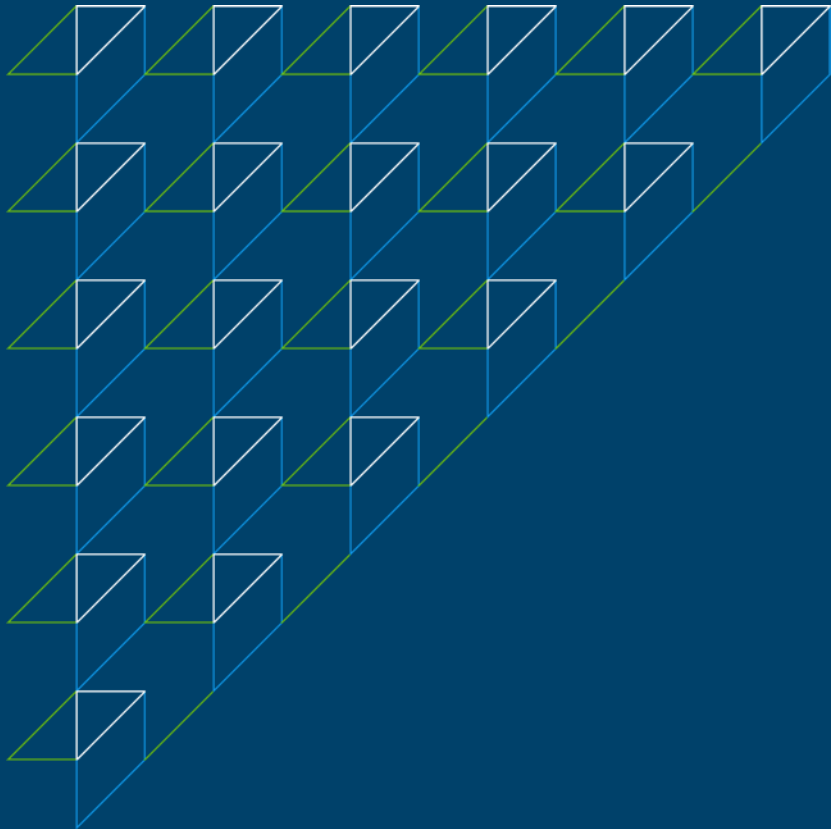
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Highlights

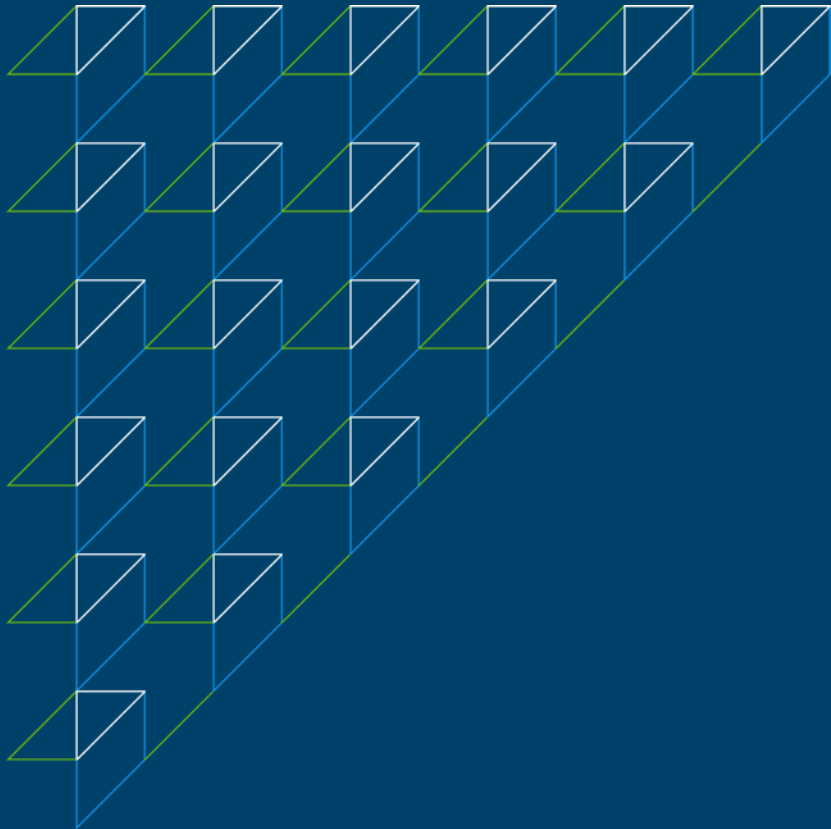


The total value of shares traded for the month ending 29 October 2020 amounted to SAR 827.24 million, decreasing by 13.57% over the previous month; while total stock market capitalization reached SAR 8,284.50 million at the end of this period, increasing by 14.16 % over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 812.66 million representing 98.24% of total buying activity, and sales of SAR 814.18 million representing 98.42% of total selling activity. Total ownership of “Saudi Investors” stood at 98.79% of total market capitalization as of 29 October 2020, representing an increase of 0.17% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.00 million representing 0.00% of total buying activity, and sales of SAR 0.07 million representing 0.01% of total selling activity. Total ownership of “GCC Investors” stood at 1.09% of total market capitalization as of 29 October 2020, representing a decrease of 0.20% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 14.57 million representing 1.76% of total buying activity, and sales of SAR 12.99 million representing 1.57% of total selling activity. Total ownership of “Foreign Investors” stood at 0.11% of total market capitalization as of 29 October 2020, representing an increase of 0.03% from the previous month.



Nomu-Parallel Market - Value Traded & Ownership Breakdown



Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	791,957,352	95.74%	766,709,411	92.68%	25,247,941	3.05%
	Corporates	19,214,218	2.32%	47,315,271	5.72%	-28,101,053	-3.40%
	Institution	1,489,076	0.18%	152,350	0.02%	1,336,726	0.16%
	Total Saudi Investors	812,660,645	98.24%	814,177,031	98.42%	-1,516,386	-0.18%
GCC	Individuals	0	0.00%	68,650	0.01%	-68,650	-0.01%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	68,650	0.01%	-68,650	-0.01%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	11,185,820	1.35%	10,455,496	1.26%	730,324	0.09%
	QFIs	3,388,853	0.41%	2,534,141	0.31%	854,712	0.10%
	Total Foreign Investors	14,574,673	1.76%	12,989,636	1.57%	1,585,036	0.19%
Grand Total		827,235,318	100.00%	827,235,318	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	24,092,146	2.91%	50,001,761	6.04%	-25,909,615	-3.13%
Non-Institutional	803,143,172	97.09%	777,233,557	93.96%	25,909,615	3.13%
Grand Total	827,235,318	100.00%	827,235,318	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 September 2020			As of 29 October 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	4,361,414,240	60.10%	65.05%	5,368,564,770	64.80%	67.24%	1,007,150,530	4.70%	2.19%
	Corporates	2,785,494,473	38.38%	31.38%	2,804,523,048	33.85%	29.23%	19,028,574	-4.53%	-2.16%
	Institution	9,837,849	0.14%	0.22%	11,443,302	0.14%	0.25%	1,605,453	0.00%	0.03%
	Total Saudi Investors	7,156,746,563	98.62%	96.65%	8,184,531,119	98.79%	96.72%	1,027,784,556	0.17%	0.07%
GCC	Individuals	3,110,567	0.04%	0.10%	2,945,916	0.04%	0.10%	-164,651	-0.01%	-0.01%
	Institutions	90,810,804	1.25%	3.04%	87,567,561	1.06%	2.88%	-3,243,243	-0.19%	-0.16%
	Total GCC Investors	93,921,371	1.29%	3.14%	90,513,477	1.09%	2.97%	-3,407,894	-0.20%	-0.17%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	6,332,067	0.09%	0.21%	8,344,185	0.10%	0.27%	2,012,118	0.01%	0.06%
	QFIs	0	0.00%	0.00%	1,111,219	0.01%	0.04%	1,111,219	0.01%	0.04%
	Total Foreign Investors	6,332,067	0.09%	0.21%	9,455,404	0.11%	0.31%	3,123,337	0.03%	0.10%
Grand Total		7,257,000,000	100.00%	100.00%	8,284,500,000	100.00%	100.00%	1,027,500,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 September 2020			As of 29 October 2020			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	2,886,143,126	39.77%	34.64%	2,904,645,129	35.06%	32.39%	18,502,003	-4.71%	-2.24%
Non-Institutional	4,370,856,874	60.23%	65.36%	5,379,854,871	64.94%	67.61%	1,008,997,997	4.71%	2.24%
Grand Total	7,257,000,000	100%	100%	8,284,500,000	100%	100%	1,027,500,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

